

Slave Boson theories of multi-orbital correlated systems

The multi-orbital gGA theory as a Quantum Embedding framework

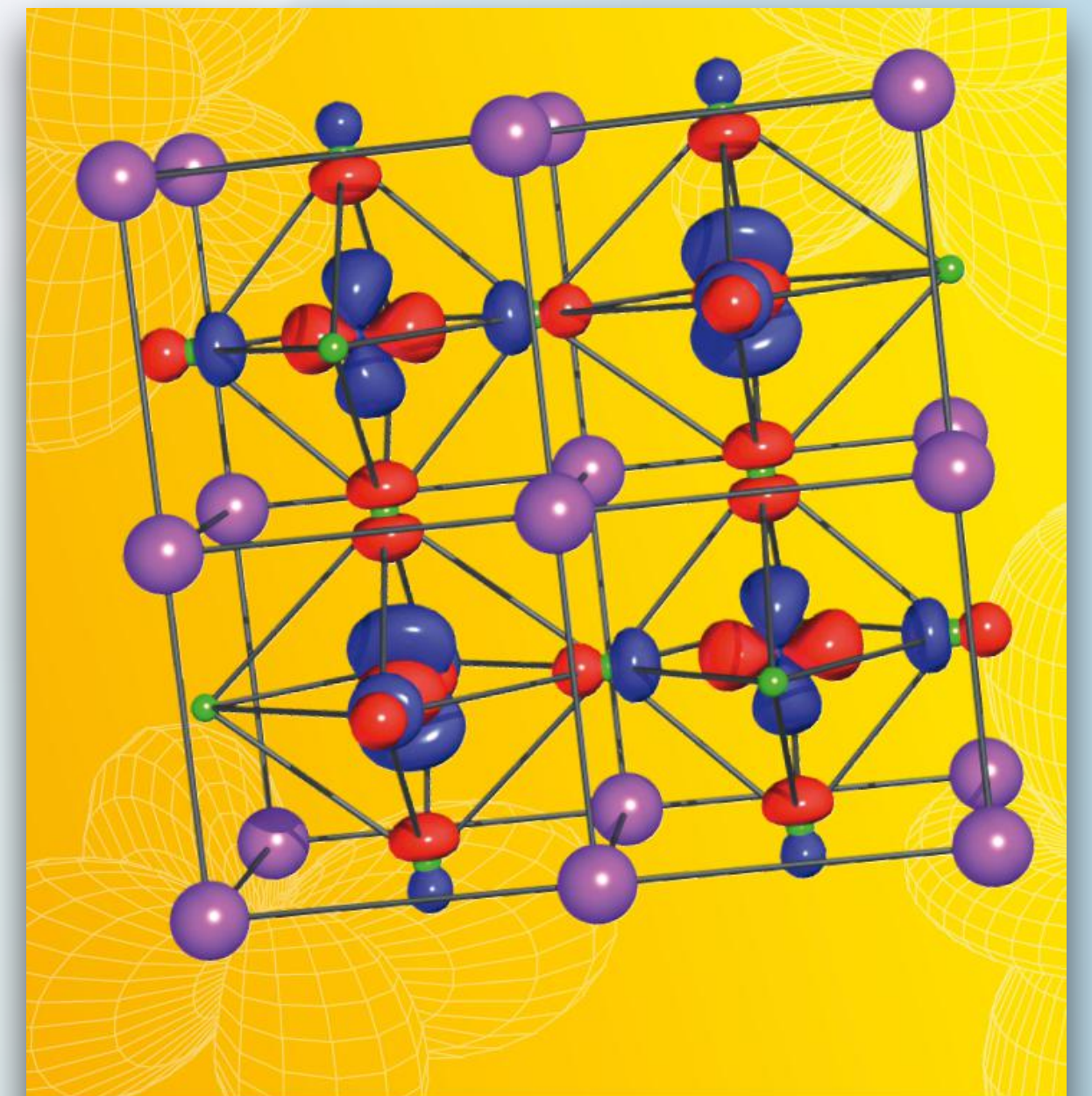
September 20, 2023

Nicola Lanatà

nxlsps@rit.edu

nlanata@flatironinstitute.org

RIT | Rochester Institute
of Technology



Autumn School on Correlated Electrons

**Orbital Physics
in Correlated Matter**

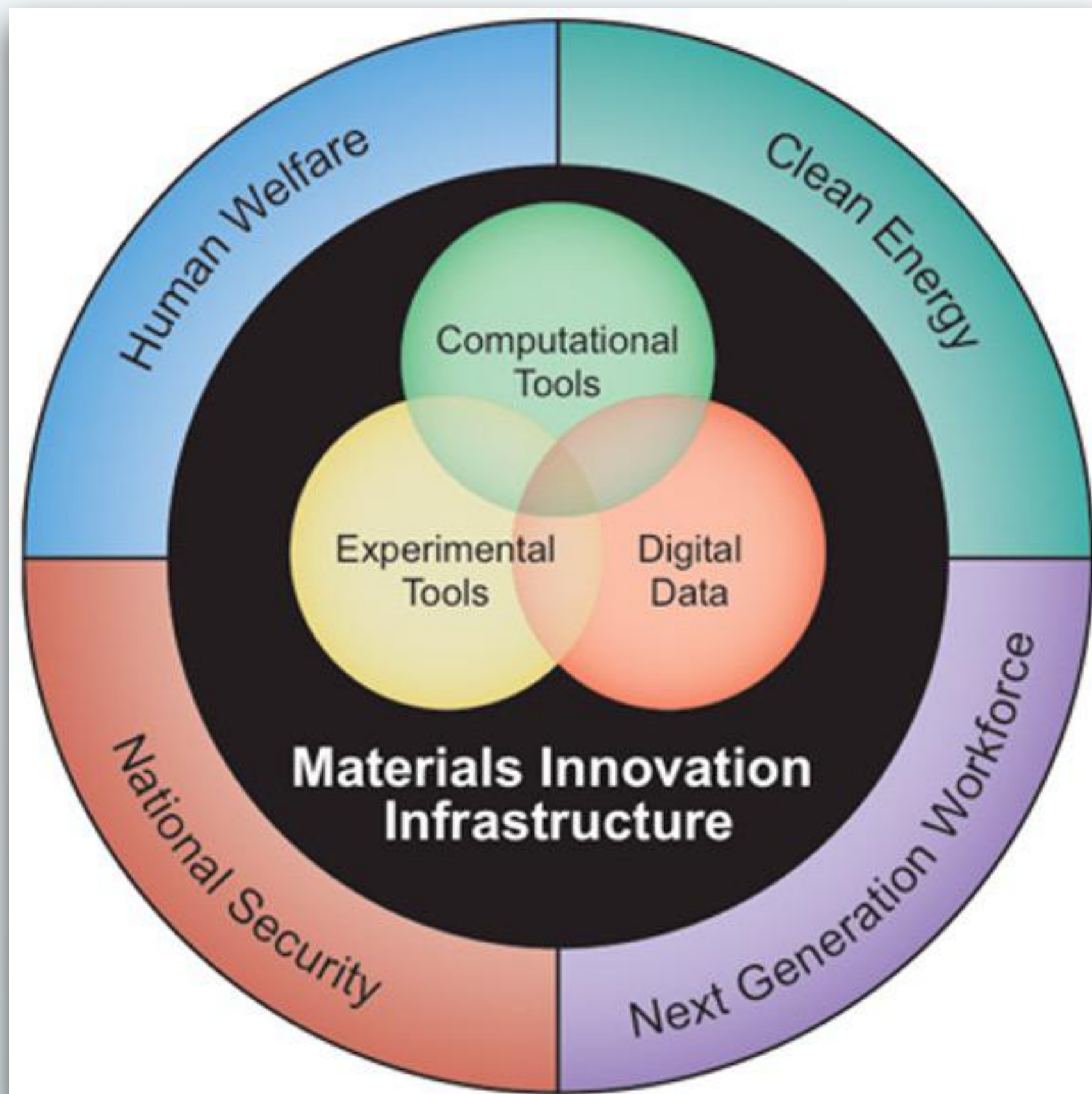
18 – 22 September 2023
Forschungszentrum Jülich

Why useful?

1. *Less computationally demanding than DMFT, with comparable accuracy (with “ghost” extension).*
2. *Variational ($T=0$).*
3. *Computationally convenient extension to non-equilibrium problems.*

Why is computational speed important?

Exploring large chemical spaces



THE U.S. MATERIALS GENOME INITIATIVE

"...to discover, develop, and deploy new materials twice as fast, we're launching what we call the Materials Genome Initiative"
— President Obama, 2011

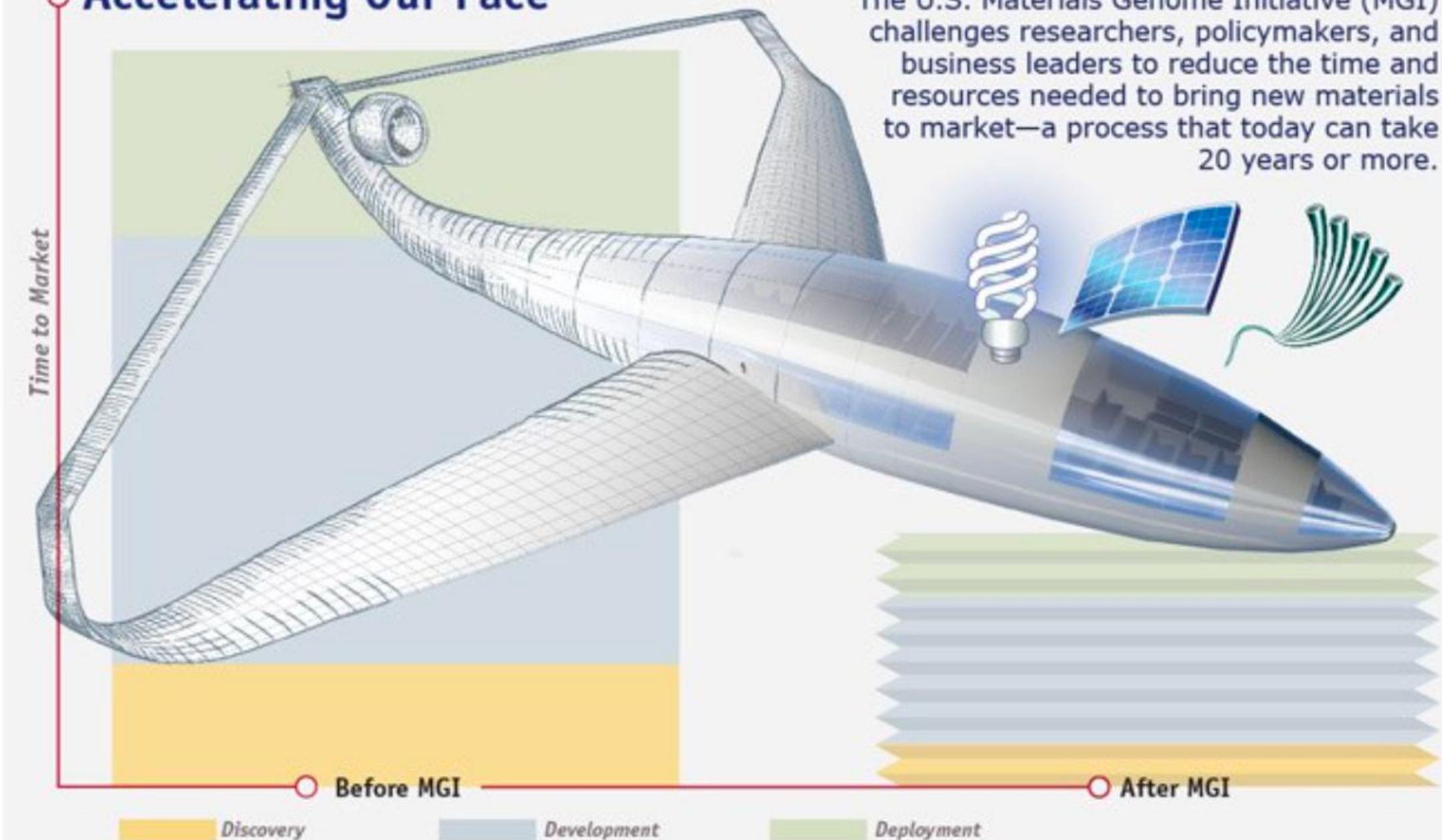
Meeting Societal Needs

Advanced materials are at the heart of innovation, economic opportunities, and global competitiveness. They are the foundation for new capabilities, tools, and technologies that meet urgent societal needs including clean energy, human welfare, and national security.



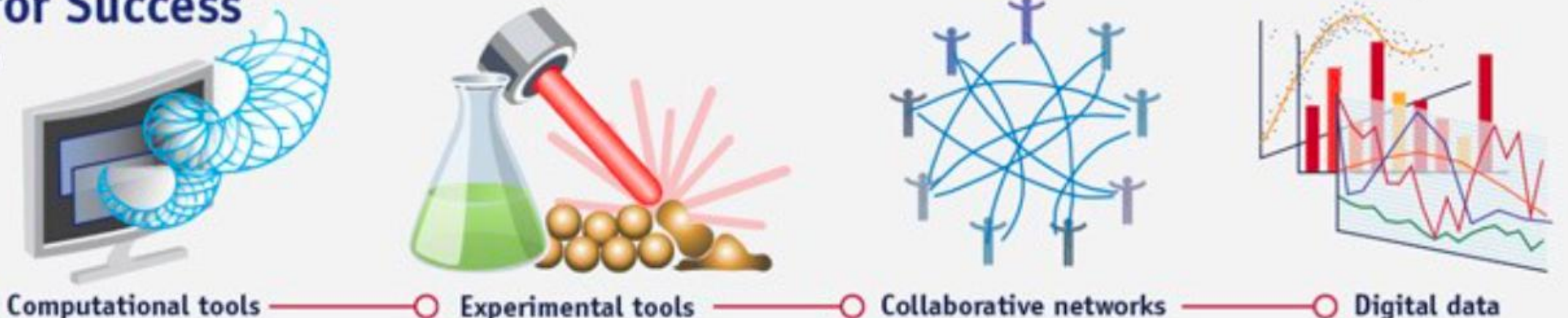
Accelerating Our Pace

The U.S. Materials Genome Initiative (MGI) challenges researchers, policymakers, and business leaders to reduce the time and resources needed to bring new materials to market—a process that today can take 20 years or more.



Building Infrastructure for Success

The MGI is a multi-agency initiative to renew investments in infrastructure designed for performance, and to foster a more open, collaborative approach to developing advanced materials, helping U.S. Institutions accelerate their time-to-market.



Outline

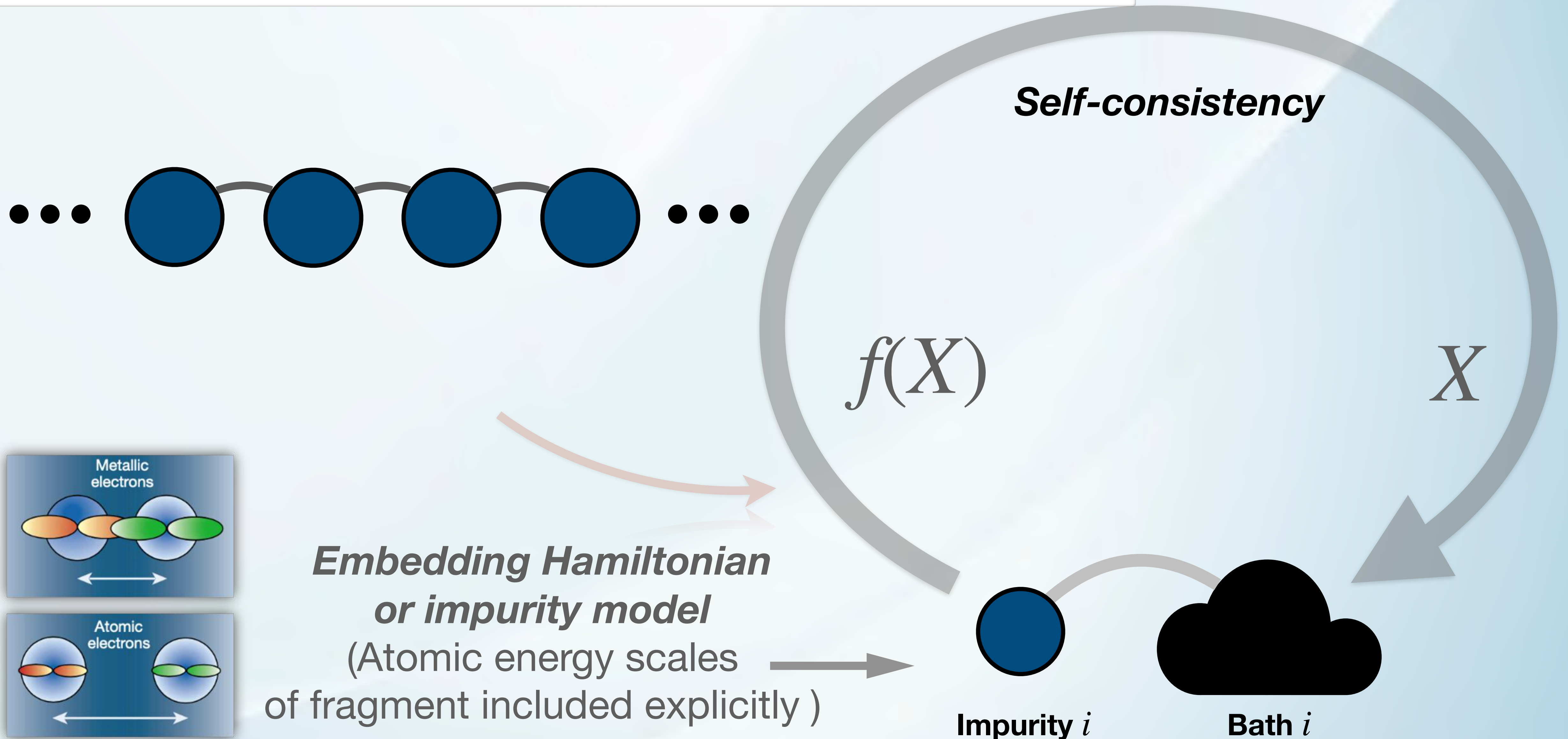
A. Quantum Embedding (QE) methods.

B. gGA method (multi-orbital models): *QE formulation*.

Supplementary topics:

- Spectral properties
- Examples of applications.
- Recent formalism extensions and open problems.

Algorithmic structure of QE methods (DMFT, DMET, GA, gGA,...)



Example: DMFT

Dynamical mean-field theory of strongly correlated fermion systems and the limit of infinite dimensions

Antoine Georges

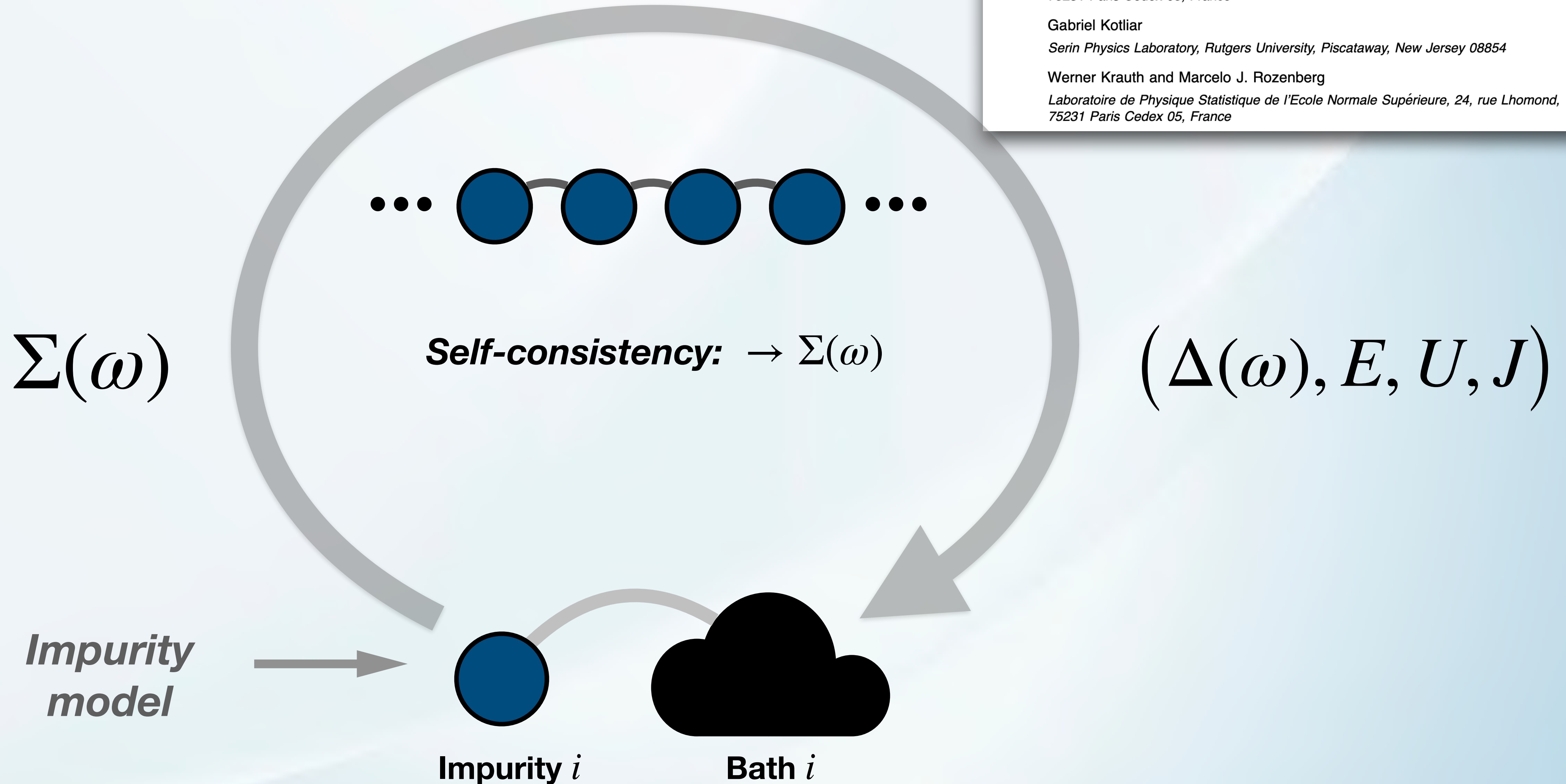
Laboratoire de Physique Théorique de l'Ecole Normale Supérieure, 24, rue Lhomond, 75231 Paris Cedex 05, France

Gabriel Kotliar

Serin Physics Laboratory, Rutgers University, Piscataway, New Jersey 08854

Werner Krauth and Marcelo J. Rozenberg

Laboratoire de Physique Statistique de l'Ecole Normale Supérieure, 24, rue Lhomond, 75231 Paris Cedex 05, France



GA/RISB (QE formulation)

PHYSICAL REVIEW X **5**, 011008 (2015)

Phase Diagram and Electronic Structure of Praseodymium and Plutonium

Nicola Lanatà,^{1,*} Yongxin Yao,^{2,†} Cai-Zhuang Wang,² Kai-Ming Ho,² and Gabriel Kotliar¹

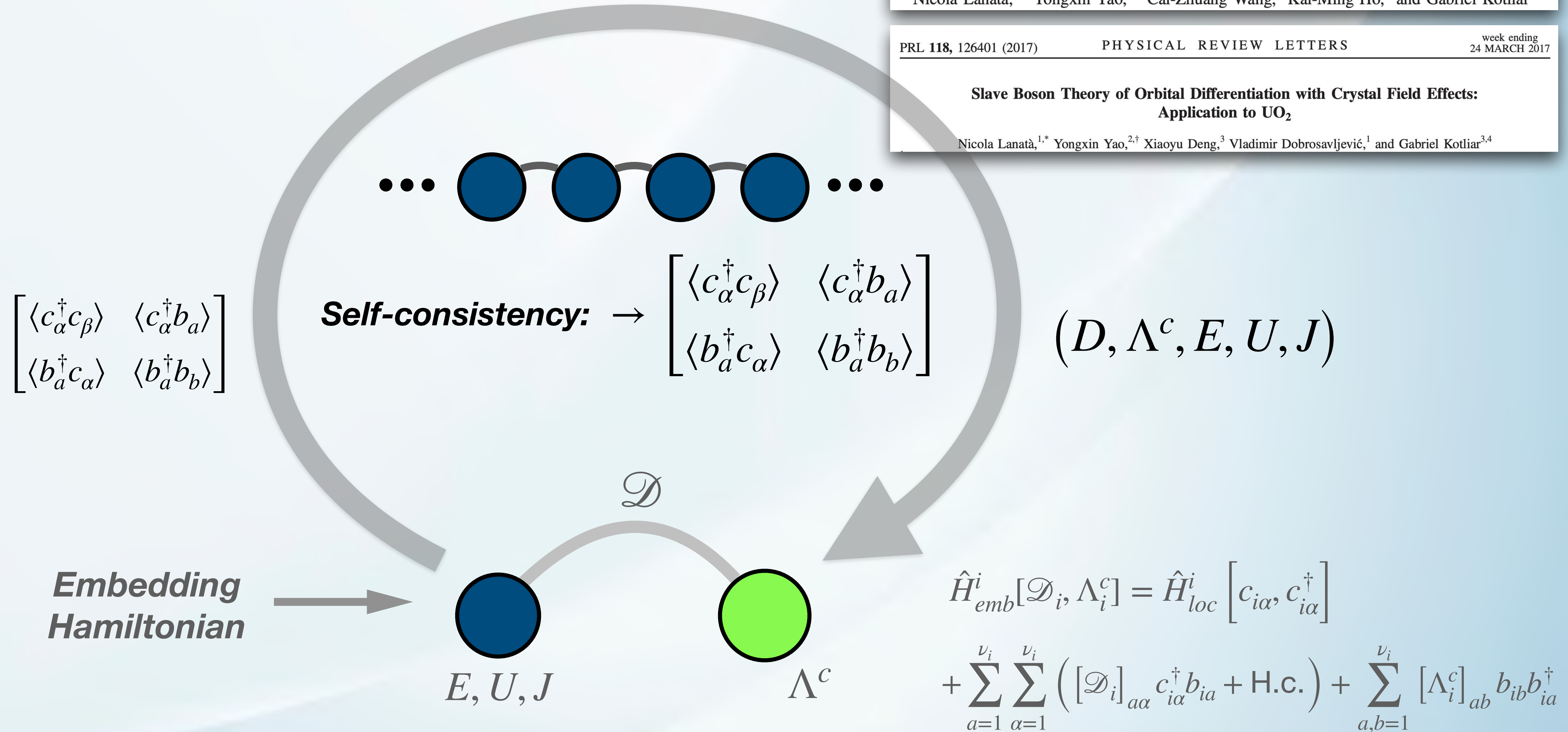
PRL **118**, 126401 (2017)

PHYSICAL REVIEW LETTERS

week ending
24 MARCH 2017

Slave Boson Theory of Orbital Differentiation with Crystal Field Effects: Application to UO₂

Nicola Lanatà,^{1,*} Yongxin Yao,^{2,†} Xiaoyu Deng,³ Vladimir Dobrosavljević,¹ and Gabriel Kotliar^{3,4}



gGA/gRISB (QE formulation)

PHYSICAL REVIEW B **96**, 195126 (2017)

Emergent Bloch excitations in Mott matter

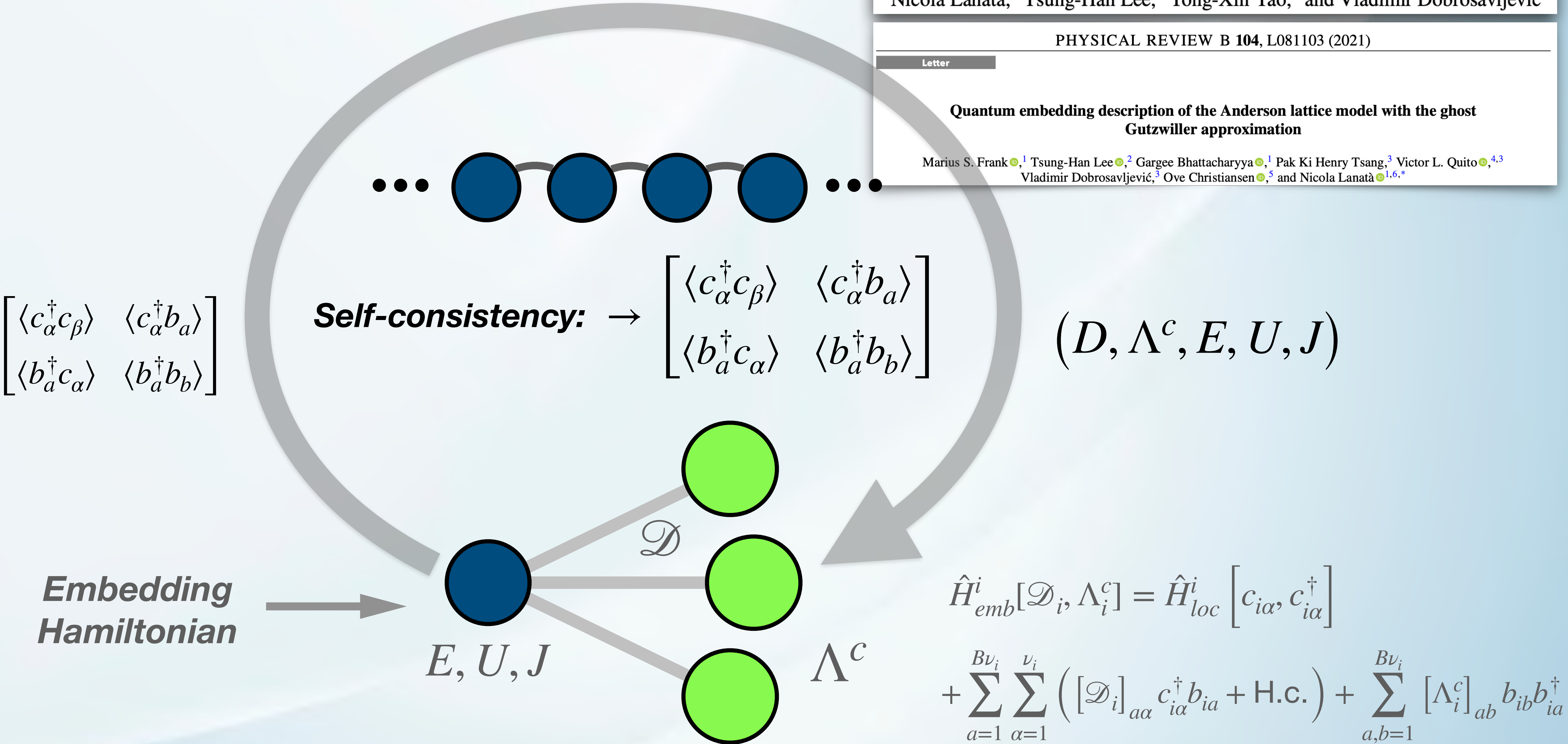
Nicola Lanatà,¹ Tsung-Han Lee,¹ Yong-Xin Yao,² and Vladimir Dobrosavljević¹

PHYSICAL REVIEW B **104**, L081103 (2021)

Letter

Quantum embedding description of the Anderson lattice model with the ghost Gutzwiller approximation

Marius S. Frank¹, Tsung-Han Lee², Gargee Bhattacharyya¹, Pak Ki Henry Tsang,³ Victor L. Quito^{4,3}, Vladimir Dobrosavljević,³ Ove Christiansen⁵ and Nicola Lanatà^{1,6,*}



Outline

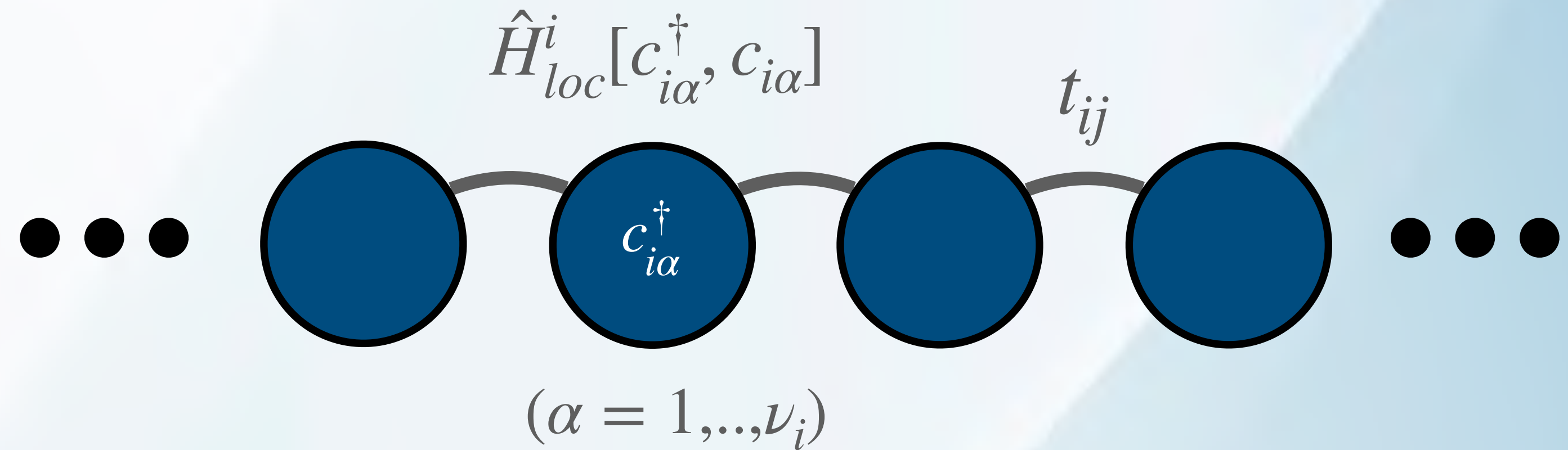
A. Quantum Embedding (QE) methods.

B. gGA method (multi-orbital models): *QE formulation*.

Supplementary topics:

- Spectral properties
- Examples of applications.
- Recent formalism extensions and open problems.

The Hamiltonian:



$$\hat{H} = \sum_{i=1}^{\mathcal{N}} \hat{H}_{loc}^i[c_{i\alpha}^\dagger, c_{i\alpha}] + \sum_{i \neq j} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} [t_{ij}]_{\alpha\beta} c_{i\alpha}^\dagger c_{j\beta}$$

- i, j : Indices of the fragments of the lattice.
- $\hat{H}_{loc}^i[c_{i\alpha}^\dagger, c_{i\alpha}]$: Local operator on fragment i
- α, β : Indices of Fermionic modes within each fragment.
- $[t_{ij}]_{\alpha\beta}$: Matrix elements of the hopping term.

The gGA variational wave function:

$$|\Psi_G\rangle = \hat{\mathcal{P}}_G |\Psi_0\rangle = \prod_{i=1}^{\mathcal{N}} \hat{\mathcal{P}}_i |\Psi_0\rangle$$

Evaluating and minimizing

$$\langle \Psi_G | \hat{H} | \Psi_G \rangle = \langle \Psi_0 | \hat{\mathcal{P}}_G^\dagger \hat{H} \hat{\mathcal{P}}_G | \Psi_0 \rangle$$

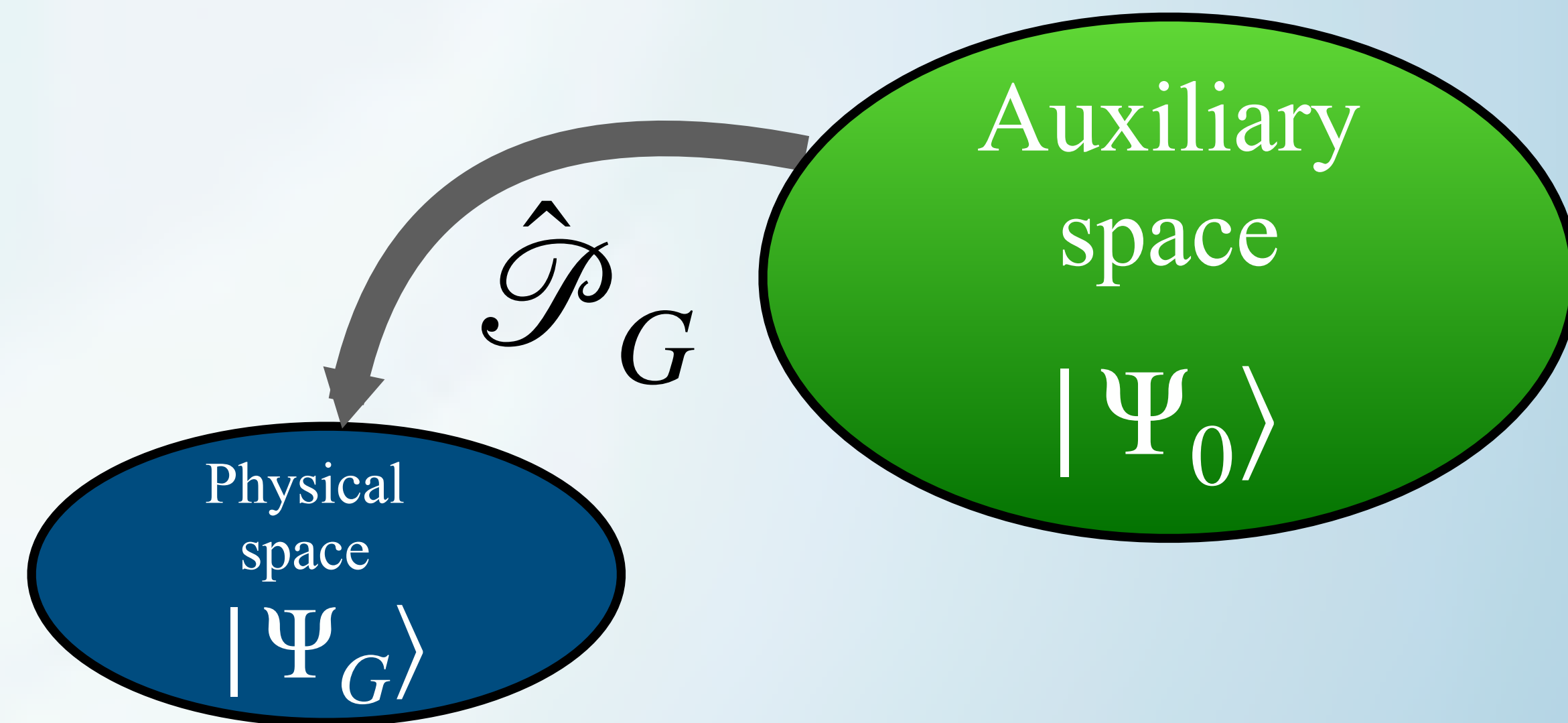
The gGA variational wave function:

$$|\Psi_G\rangle = \hat{\mathcal{P}}_G |\Psi_0\rangle = \prod_{i=1}^{\mathcal{N}} \hat{\mathcal{P}}_i |\Psi_0\rangle$$

$$\hat{\mathcal{P}}_i = \sum_{\Gamma=0}^{2^{\nu_i}-1} \sum_{n=0}^{2^{B\nu_i}-1} [\hat{\Lambda}_i]_{\Gamma n} |\Gamma, i\rangle \langle n, i|$$

$$|\Gamma, i\rangle = [c_{i1}^\dagger]^{q_1(\Gamma)} \dots [c_{iq_{\nu_i}}^\dagger]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n, i\rangle = [f_{i1}^\dagger]^{q_1(\Gamma)} \dots [f_{iq_{B\nu_i}}^\dagger]^{q_{B\nu_i}(\Gamma)} |0\rangle$$



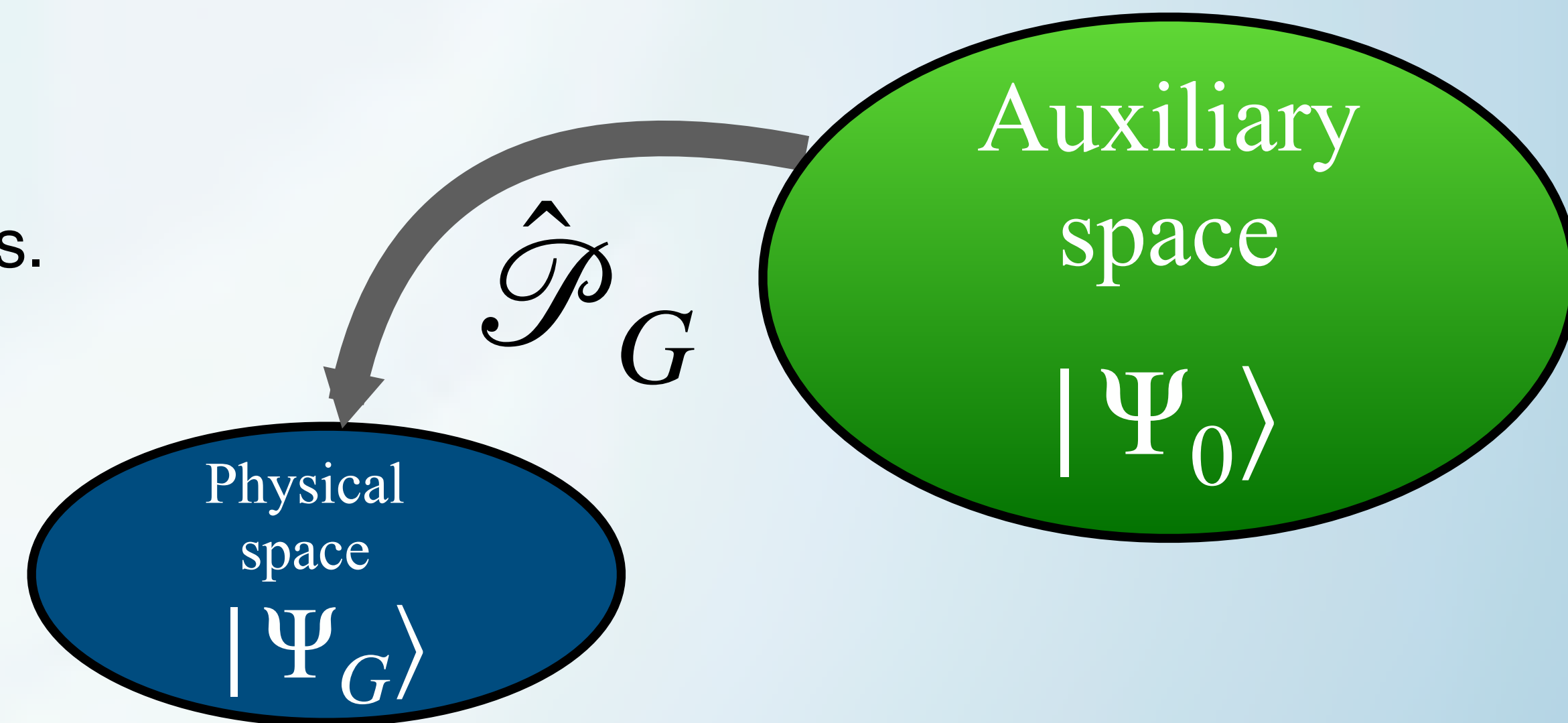
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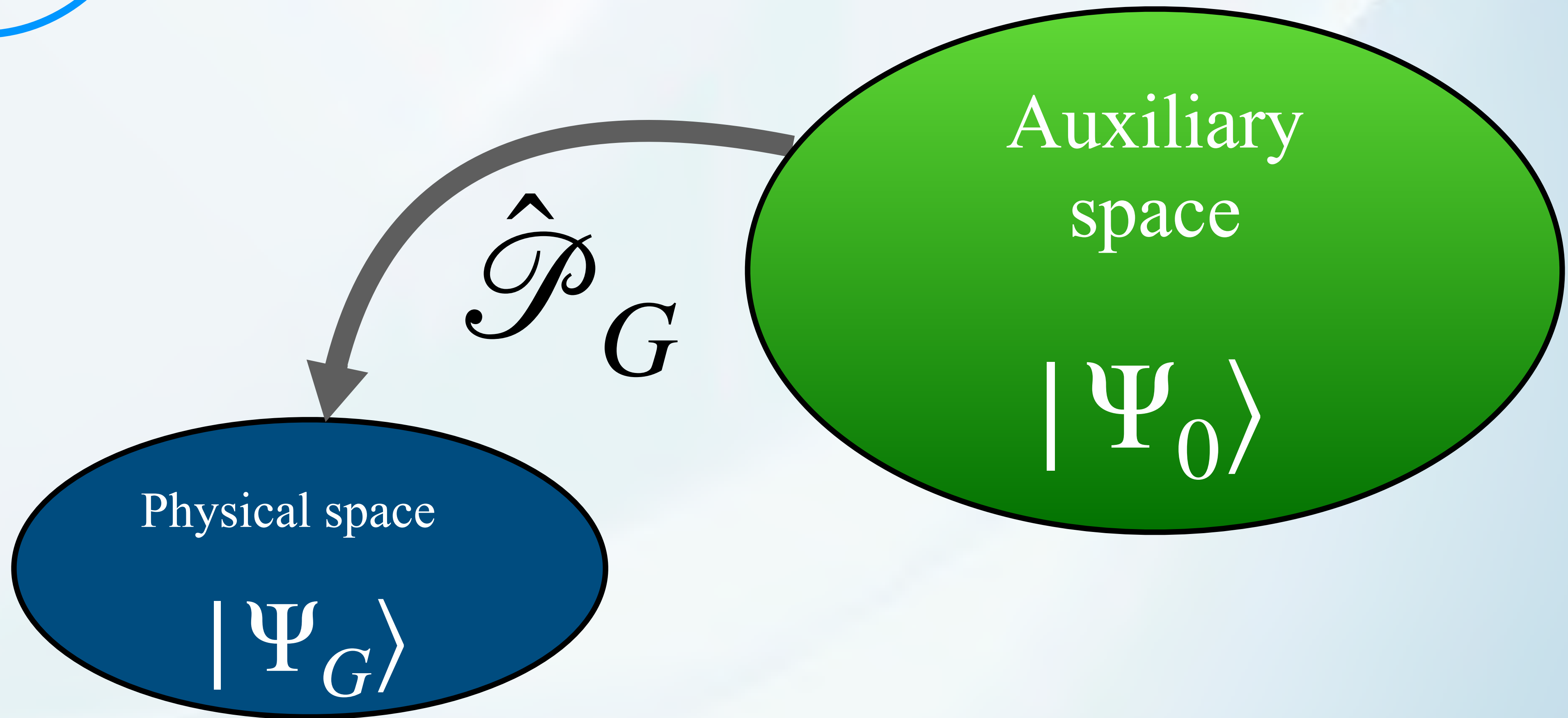
Suggestive analogies:

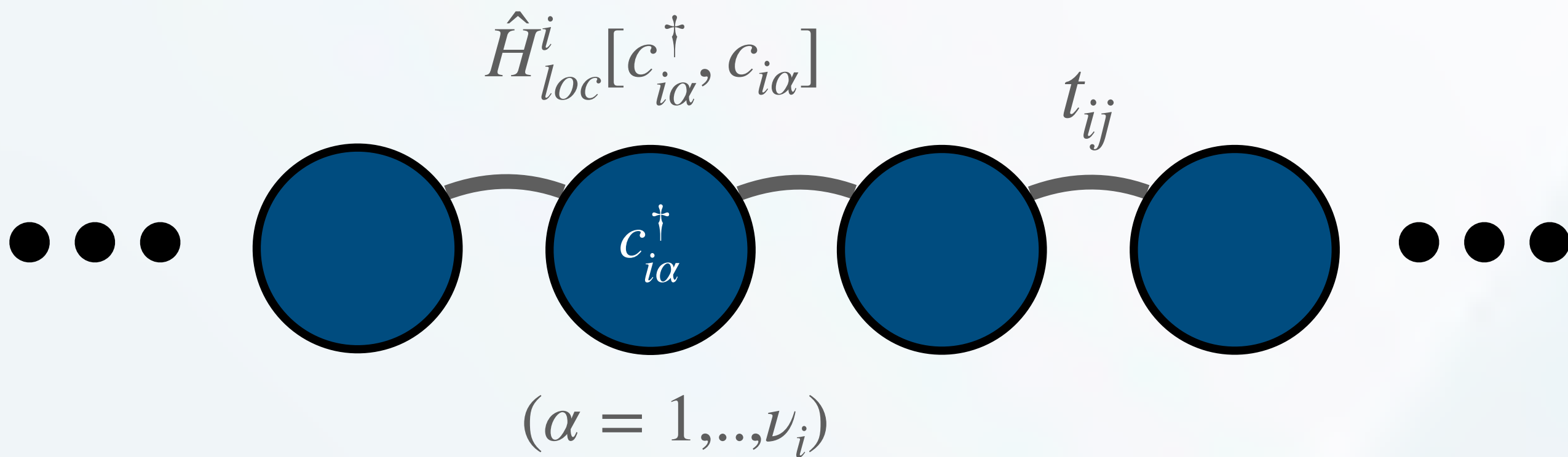
- Matrix product states and projected entangled pair states.
- Ancilla qubit technique (S. Sachdev)
- Hidden Fermion (M. Imada)
- Hidden Fermi liquid (P. Anderson)



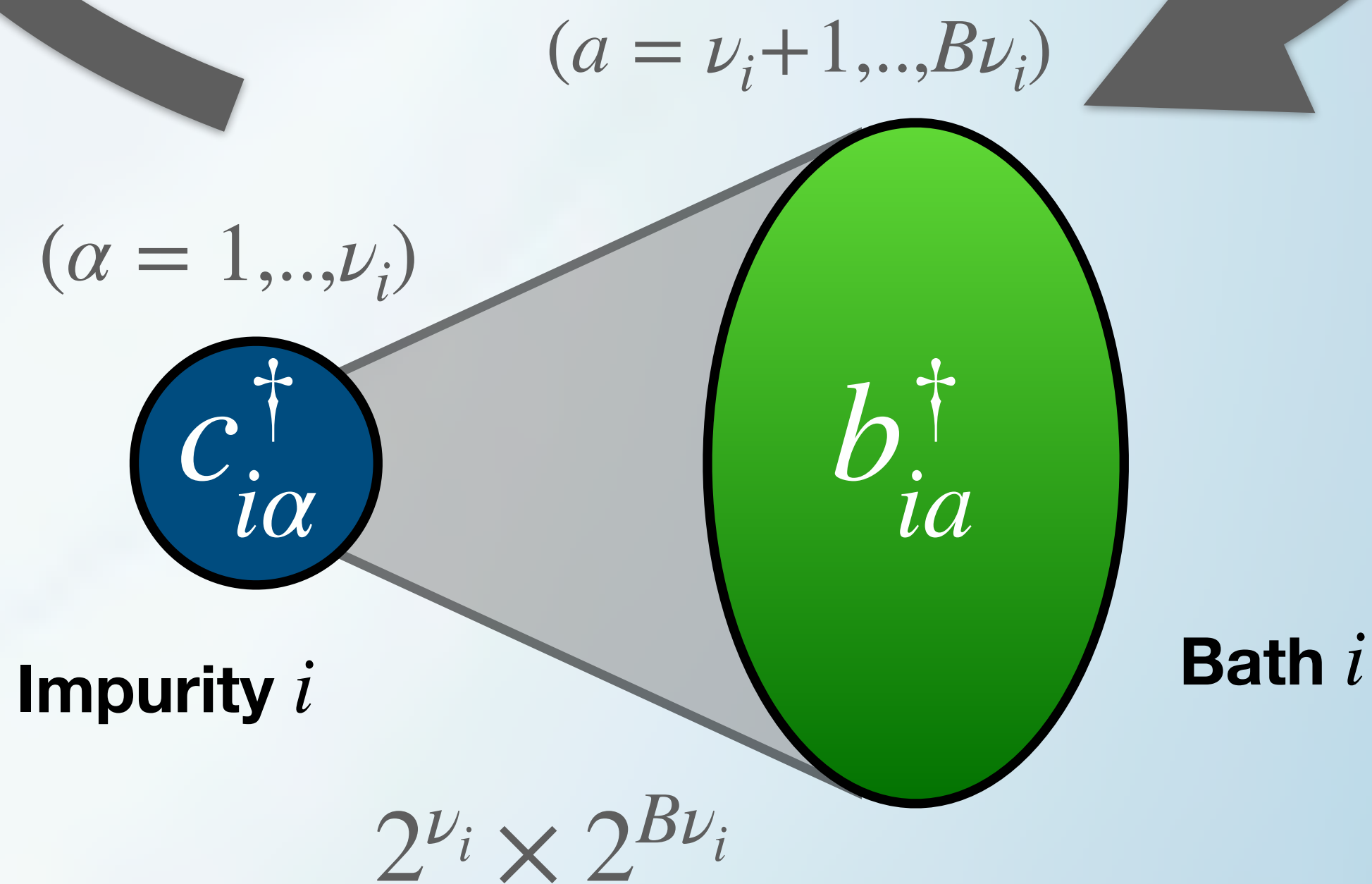
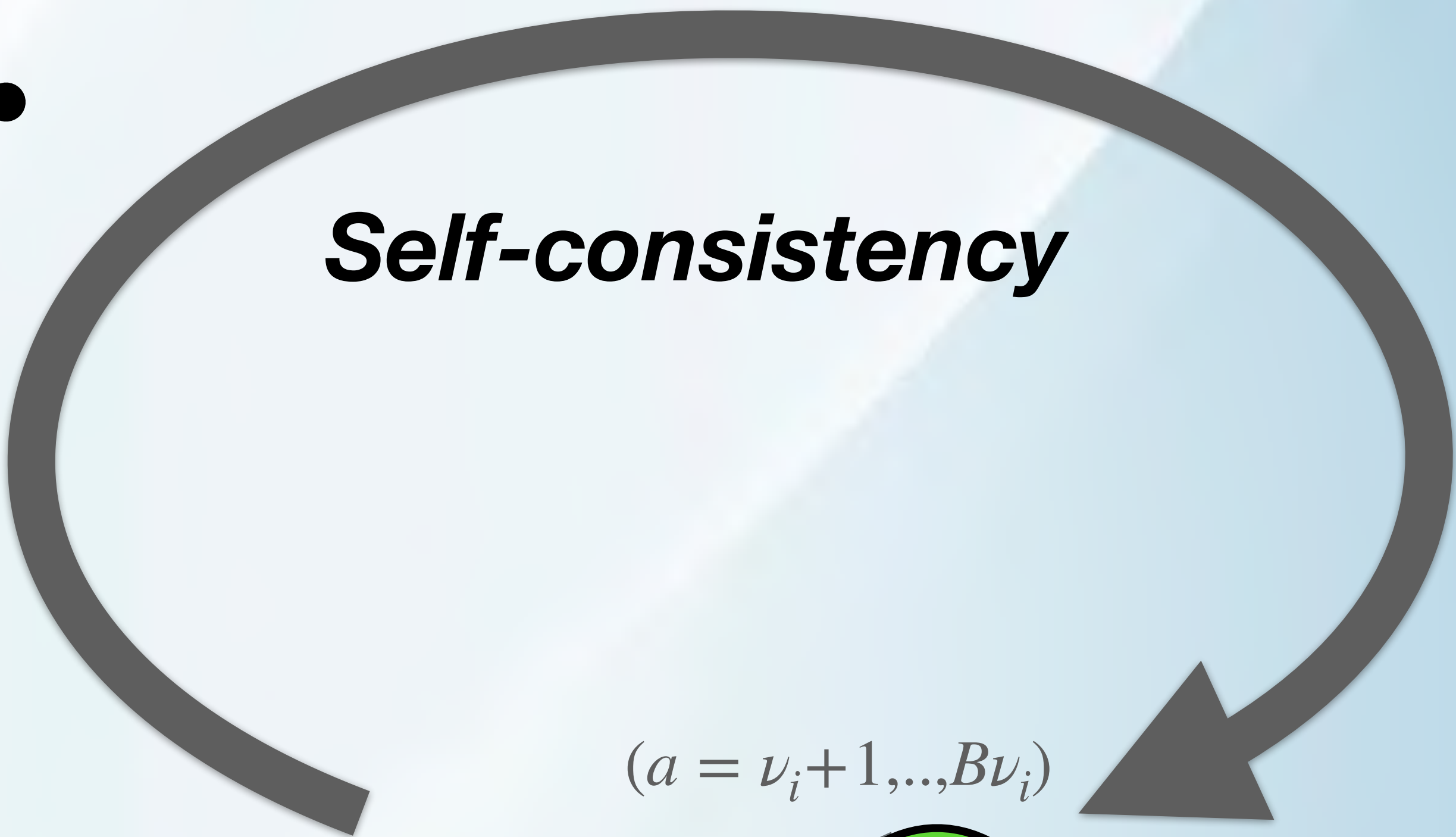
Our goal is to minimize $\langle \Psi_0 | \hat{\mathcal{P}}_G^\dagger \hat{H} \hat{\mathcal{P}}_G | \Psi_0 \rangle$
w.r.t. $\{\hat{\Lambda}_i\}, |\Psi_0\rangle$

$$2^{\nu_i} \times 2^{B\nu_i}$$





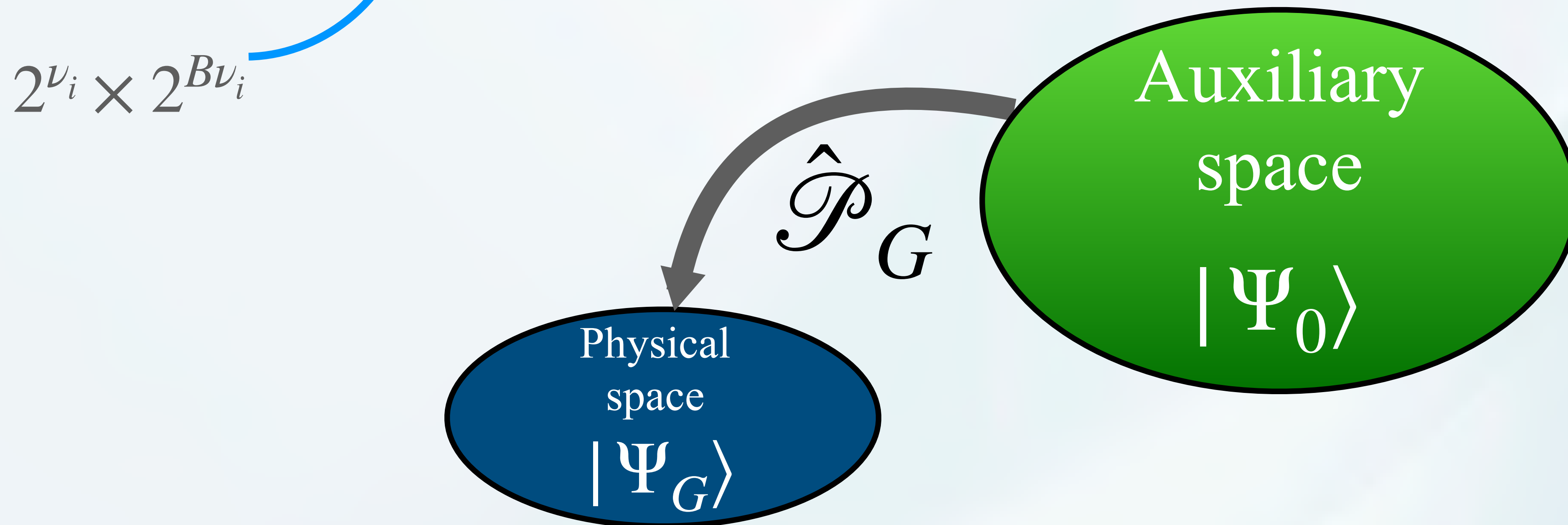
Quantum-embedding formulation



Necessary steps:

1. Definition of approximations (GA and G. constraints).
2. Evaluation of $\langle \Psi_G | \hat{H} | \Psi_G \rangle$ in terms of $\{ \hat{\Lambda}_i \}, | \Psi_0 \rangle$.
3. Definition of slave-boson (SB) amplitudes.
4. Mapping from SB amplitudes to embedding states.
5. Lagrange formulation of the optimization problem.

Our goal is to minimize $\langle \Psi_0 | \hat{\mathcal{P}}_G^\dagger \hat{H} \hat{\mathcal{P}}_G | \Psi_0 \rangle$
 w.r.t. $\{\hat{\Lambda}_i\}, |\Psi_0\rangle$



Wick's theorem: $\langle \Psi_0 | \overbrace{c_a^\dagger c_b^\dagger} c_c c_d | \Psi_0 \rangle = \langle \Psi_0 | c_a^\dagger c_d | \Psi_0 \rangle \langle \Psi_0 | c_b^\dagger c_c | \Psi_0 \rangle - \langle \Psi_0 | c_a^\dagger c_c | \Psi_0 \rangle \langle \Psi_0 | c_b^\dagger c_d | \Psi_0 \rangle$

$|\Psi_G\rangle$ can be treated only numerically in general:

Evaluating $\langle \Psi_G | \hat{H} | \Psi_G \rangle = \langle \Psi_0 | \hat{\mathcal{P}}_G^\dagger \hat{H} \hat{\mathcal{P}}_G | \Psi_0 \rangle$

Wick's theorem: $\langle \Psi_0 | \overbrace{c_a^\dagger c_b^\dagger c_c c_d} | \Psi_0 \rangle = \langle \Psi_0 | c_a^\dagger c_d | \Psi_0 \rangle \langle \Psi_0 | c_b^\dagger c_c | \Psi_0 \rangle - \langle \Psi_0 | c_a^\dagger c_c | \Psi_0 \rangle \langle \Psi_0 | c_b^\dagger c_d | \Psi_0 \rangle$

Gutzwiller constraints:

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i f_{ia}^\dagger f_{ib} | \Psi_0 \rangle = \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, B\nu_i\}$$

Gutzwiller approximation:

We will exploit simplifications that become exact in the limit of ∞ -coordination lattices. In this sense, the GA is a variational approximation to DMFT.

Gutzwiller constraints:

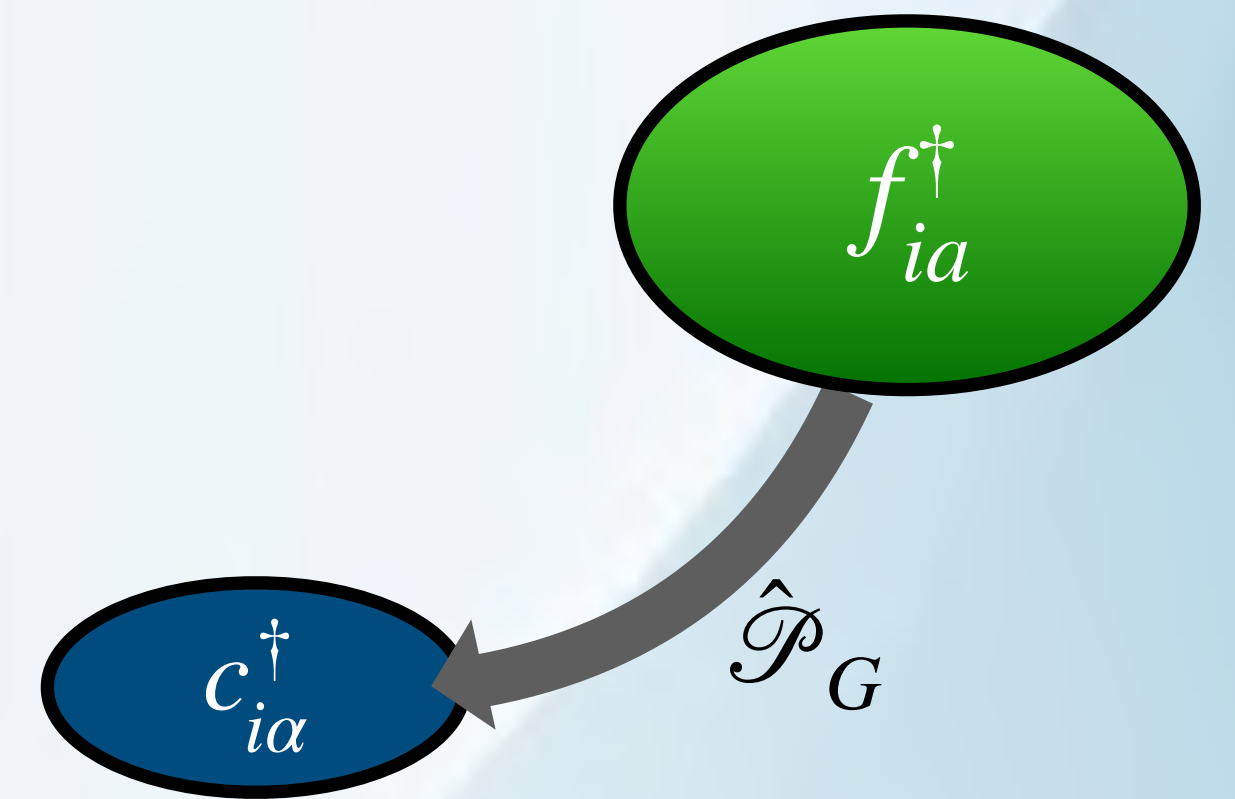
$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1$$

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$$\forall a, b \in \{1, \dots, B\nu_i\}$$

Key consequence:

$$\begin{aligned} \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i f_{ia}^\dagger f_{ib} | \Psi_0 \rangle &= \cancel{\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle} \\ &\quad + \langle \Psi_0 | [\hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i] f_{ia}^\dagger f_{ib} | \Psi_0 \rangle_{2-legs} \end{aligned}$$

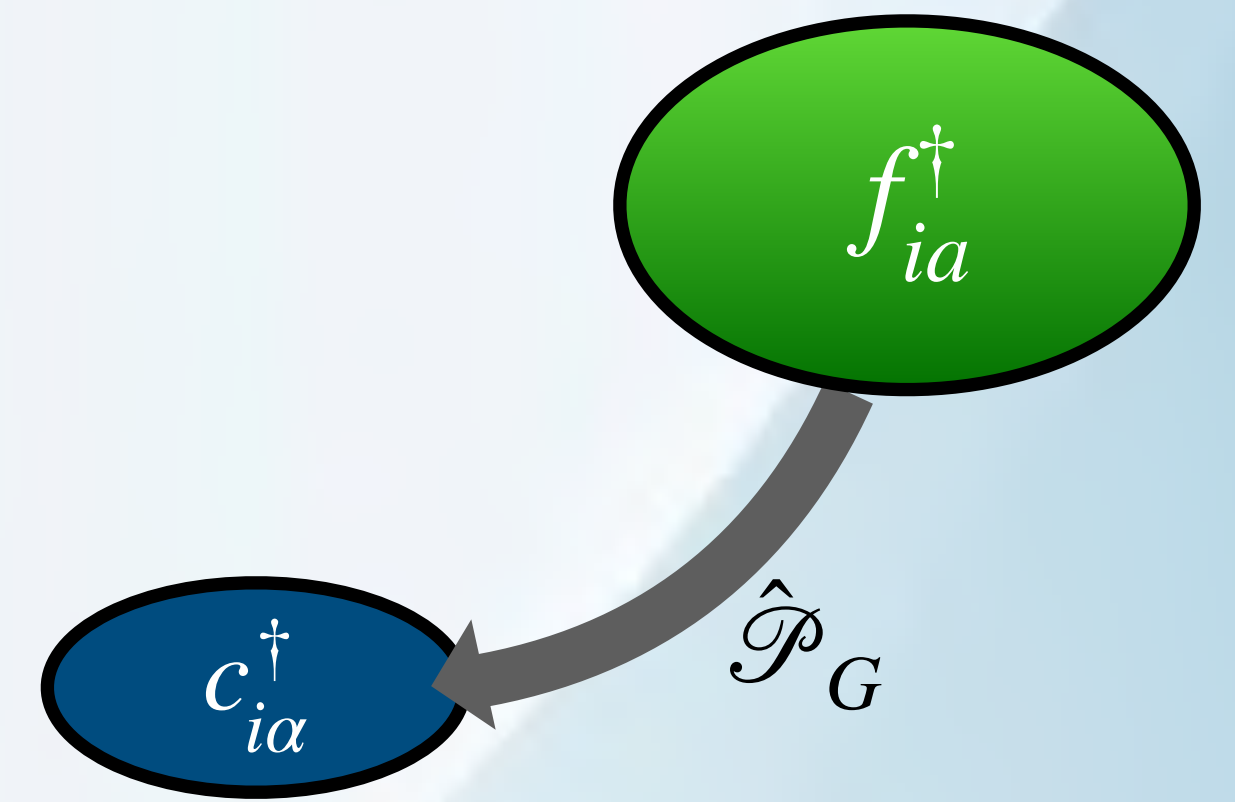


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Key consequence:

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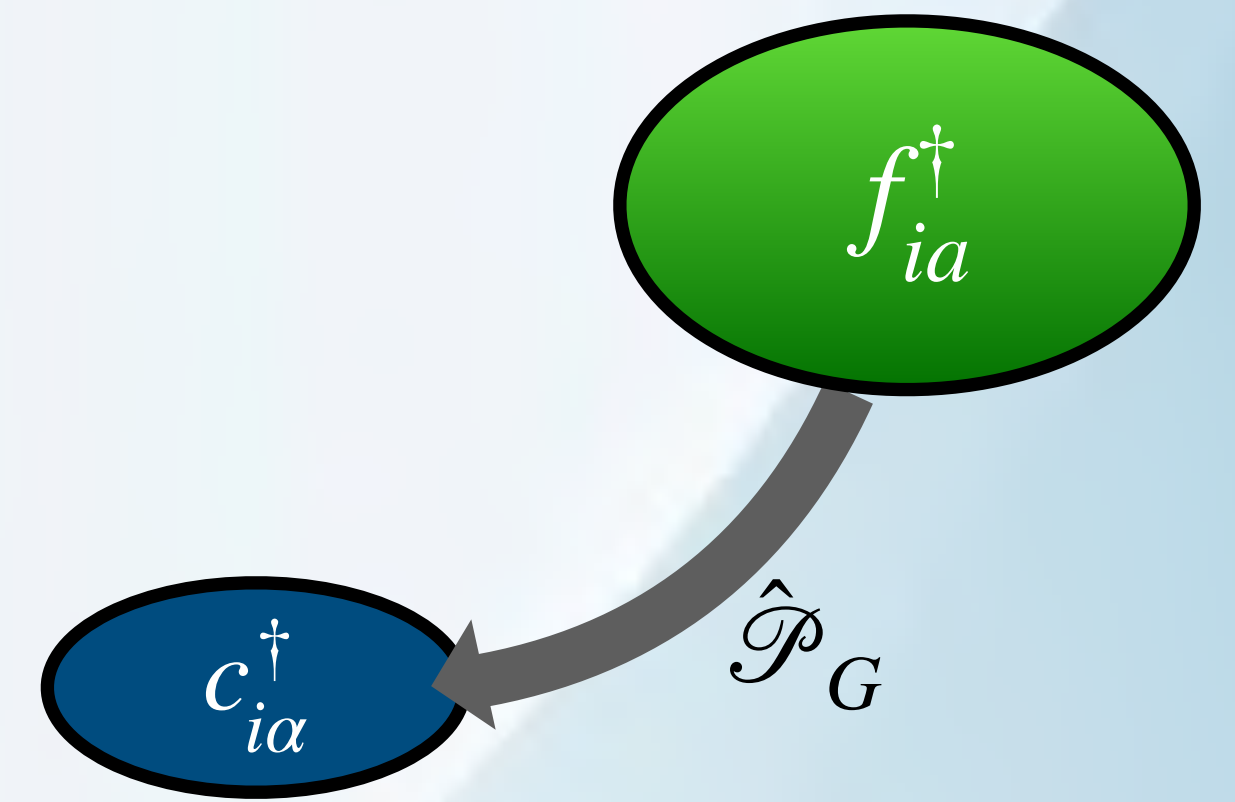
$$+ \langle \Psi_0 | [\hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i] f_{ia}^\dagger f_{ib} | \Psi_0 \rangle_{2-legs}$$

Gutzwiller constraints:

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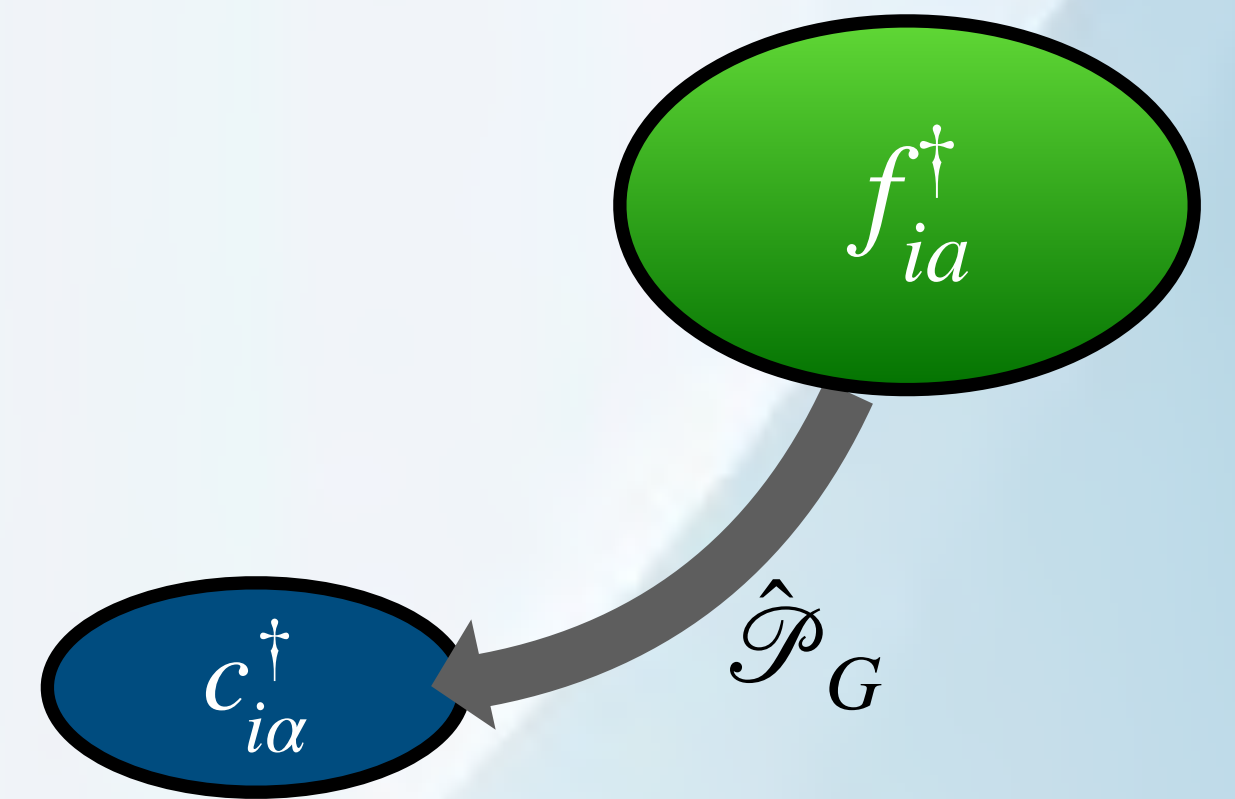
Key consequence:

$$\langle \Psi_0 | [\hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i] f_{ia}^\dagger f_{ib} | \Psi_0 \rangle_{2-legs} = 0 \quad \forall a, b$$

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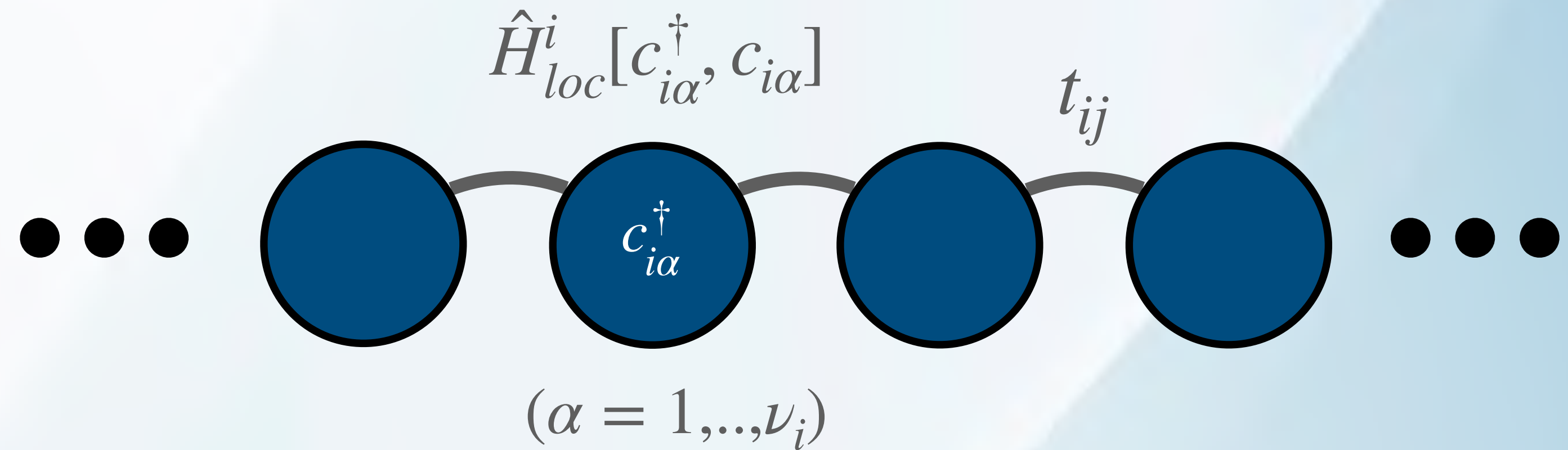
Key consequence: (If $[\Delta_i]_{ab} := \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle$ such that $\Delta_i(1 - \Delta_i)$ non degenerate)

$$\langle \Psi_0 | [\hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i] \dots | \Psi_0 \rangle_{2-legs} = 0 \quad \forall a, b$$

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Local operators:

$$\begin{aligned}\langle \Psi_G | \hat{H}_{loc}^i | \Psi_G \rangle &= \langle \Psi_0 | \left(\prod_{k=1}^{\mathcal{N}} \hat{\mathcal{P}}_k^\dagger \right) \hat{H}_{loc}^i \left(\prod_{k=1}^{\mathcal{N}} \hat{\mathcal{P}}_k \right) | \Psi_0 \rangle \\ &= \langle \Psi_0 | \left(\prod_{k \neq i} \hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle \\ &= \langle \Psi_0 | \left(\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle\end{aligned}$$

Local operators: (disconnected terms)

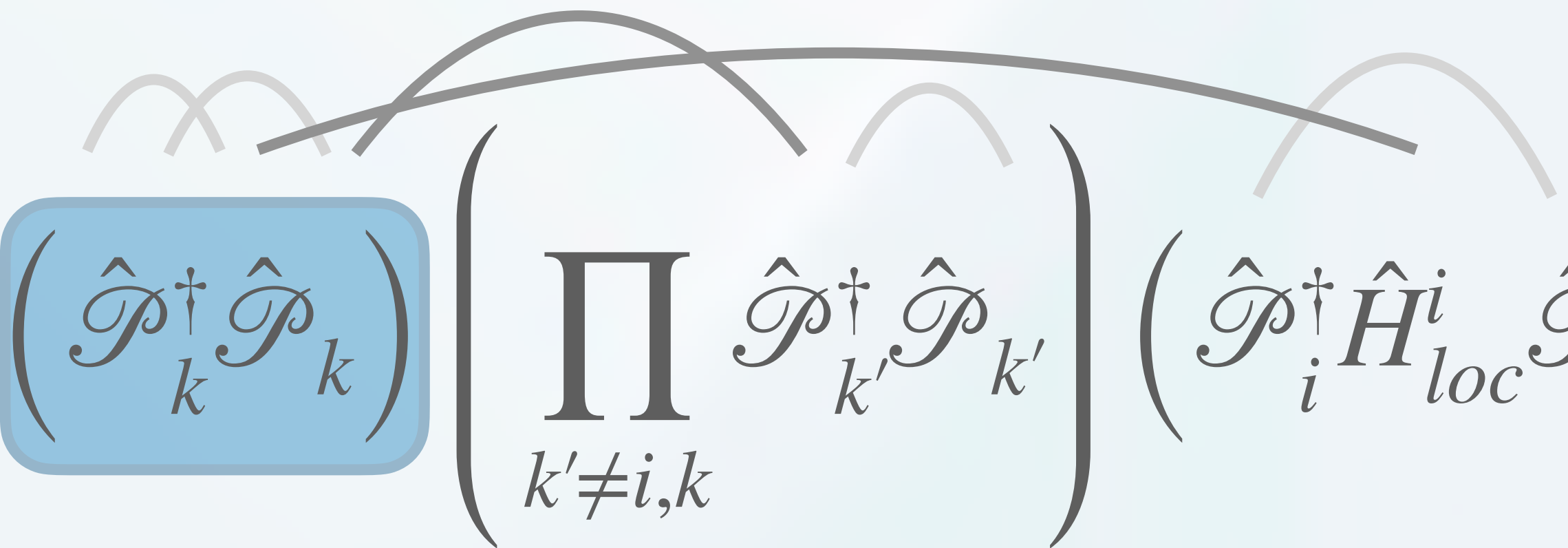
$$\begin{aligned}
 & \langle \Psi_0 | \left(\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle \\
 &= \underbrace{\langle \Psi_0 | \left(\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) | \Psi_0 \rangle}_{=1} \times \langle \Psi_0 | \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle
 \end{aligned}$$

(G. constraints)

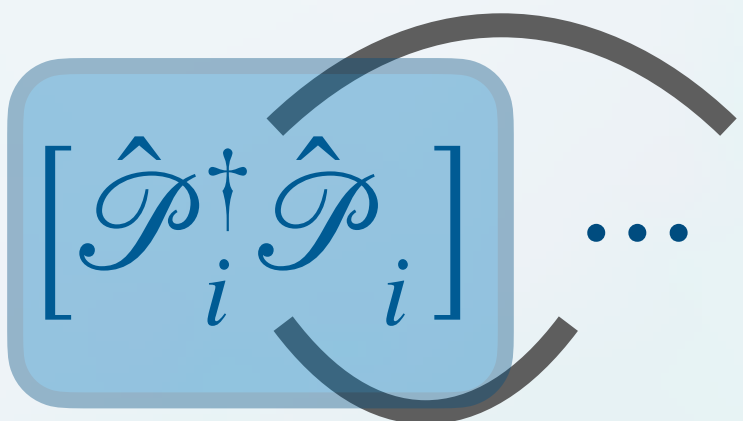
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 &= \langle \Psi_0 | \left(\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) | \Psi_0 \rangle \times \langle \Psi_0 | \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle \\
 &= \langle \Psi_0 | \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle
 \end{aligned}$$

Local operators: (connected terms 2 legs)

$$\langle \Psi_0 | \left(\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle = 0$$


The diagram illustrates the operator structure in the equation above. The first term, $\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k$, is enclosed in a blue rounded rectangle. The second term, $\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'}$, is enclosed in large parentheses. The third term, $\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i$, is also enclosed in parentheses. Grey arcs represent connections between the legs of these operators: two arcs connect the legs of the first term, one arc connects a leg of the first term to a leg of the second term, one arc connects a leg of the second term to a leg of the third term, and one arc connects a leg of the third term to a leg of the second term.

$$\langle \Psi_0 | \left[\hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i \right] \dots | \Psi_0 \rangle_{2-legs} = 0 \quad \forall a, b$$


The diagram illustrates the operator structure in the equation above. The first term, $\left[\hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i \right]$, is enclosed in a blue rounded rectangle. The second term, $\dots$, is enclosed in large parentheses. The third term, $| \Psi_0 \rangle_{2-legs}$, is also enclosed in parentheses. Brown arcs represent connections between the legs of these operators: one arc connects a leg of the first term to a leg of the second term, and one arc connects a leg of the second term to a leg of the first term.

(G. constraints)

Local operators: (connected terms >2 legs)



The Feynman diagrams above the equation show several types of connected terms with more than two legs. These include a four-legged vertex, a four-legged loop, a four-legged tadpole, a five-legged vertex, a five-legged loop, a six-legged vertex, and a six-legged loop. Each diagram is connected to a specific part of the equation by a curved line.

$$\langle \Psi_0 | \left(\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle = 0$$

(G. Approximation)

(Exact in limit of ∞ dimension)

Local operators:

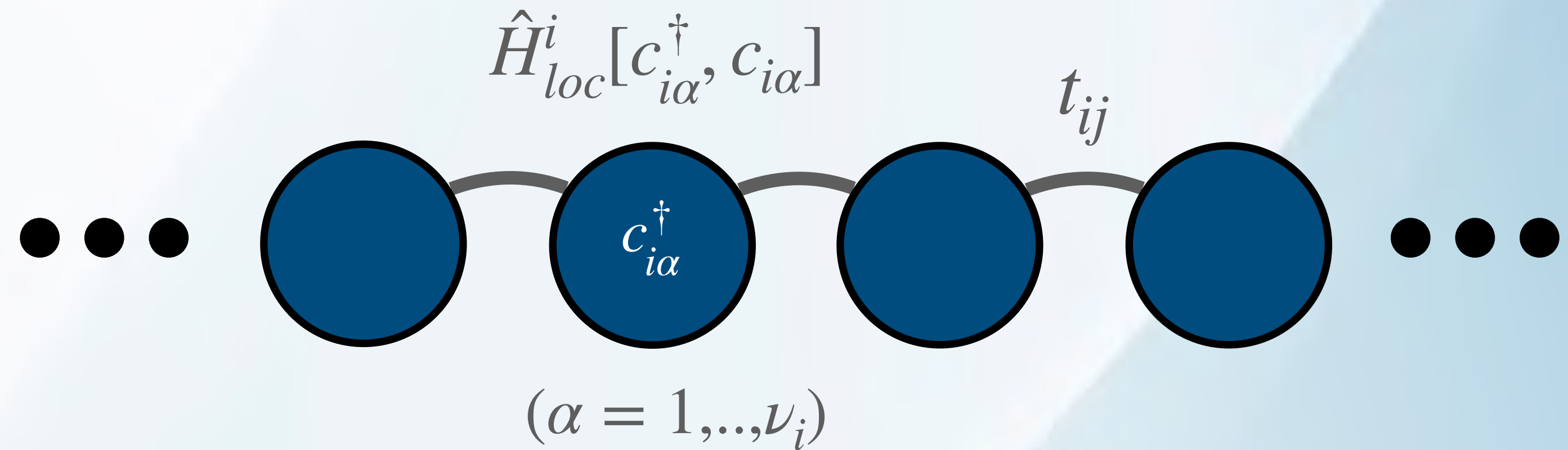
$$\langle \Psi_G | \hat{H}_{loc}^i | \Psi_G \rangle = \langle \Psi_0 | \left(\hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle$$

(GA and G. constraints)

$$\approx \langle \Psi_0 | \left(\prod_{k' \neq i, k} \hat{\mathcal{P}}_{k'}^\dagger \hat{\mathcal{P}}_{k'} \right) \left(\hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i \right) | \Psi_0 \rangle$$

$$\approx \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i | \Psi_0 \rangle$$

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- $[t_{ij}]_{\alpha\beta}$: Matrix elements of the hopping term.

Non-Local 1-body operators, i.e., $i \neq j$:

$$\begin{aligned}\langle \Psi_G | c_{i\alpha}^\dagger c_{j\beta} | \Psi_G \rangle &= \langle \Psi_0 | \left(\prod_{k=1}^{\mathcal{N}} \hat{\mathcal{P}}_k^\dagger \right) c_{i\alpha}^\dagger c_{j\beta} \left(\prod_{k=1}^{\mathcal{N}} \hat{\mathcal{P}}_k \right) | \Psi_0 \rangle \\ &= \langle \Psi_0 | \left(\prod_{k \neq i,j} \hat{\mathcal{P}}_k^\dagger \hat{\mathcal{P}}_k \right) \left(\hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i \right) \left(\hat{\mathcal{P}}_j^\dagger c_{j\beta} \hat{\mathcal{P}}_j \right) | \Psi_0 \rangle\end{aligned}$$

(GA and G. constraints)

$$\approx \langle \Psi_0 | \left(\hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i \right) \left(\hat{\mathcal{P}}_j^\dagger c_{j\beta} \hat{\mathcal{P}}_j \right) | \Psi_0 \rangle$$

Local operators:

$$\langle \Psi_G | \hat{H}_{loc}^i | \Psi_G \rangle \approx \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i \hat{\mathcal{P}}_i | \Psi_0 \rangle$$

Non-local 1-body operators, i.e., $i \neq j$:

$$\langle \Psi_G | c_{i\alpha}^\dagger c_{j\beta} | \Psi_G \rangle \approx \langle \Psi_0 | \left(\hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i \right) \left(\hat{\mathcal{P}}_j^\dagger c_{j\beta} \hat{\mathcal{P}}_j \right) | \Psi_0 \rangle$$

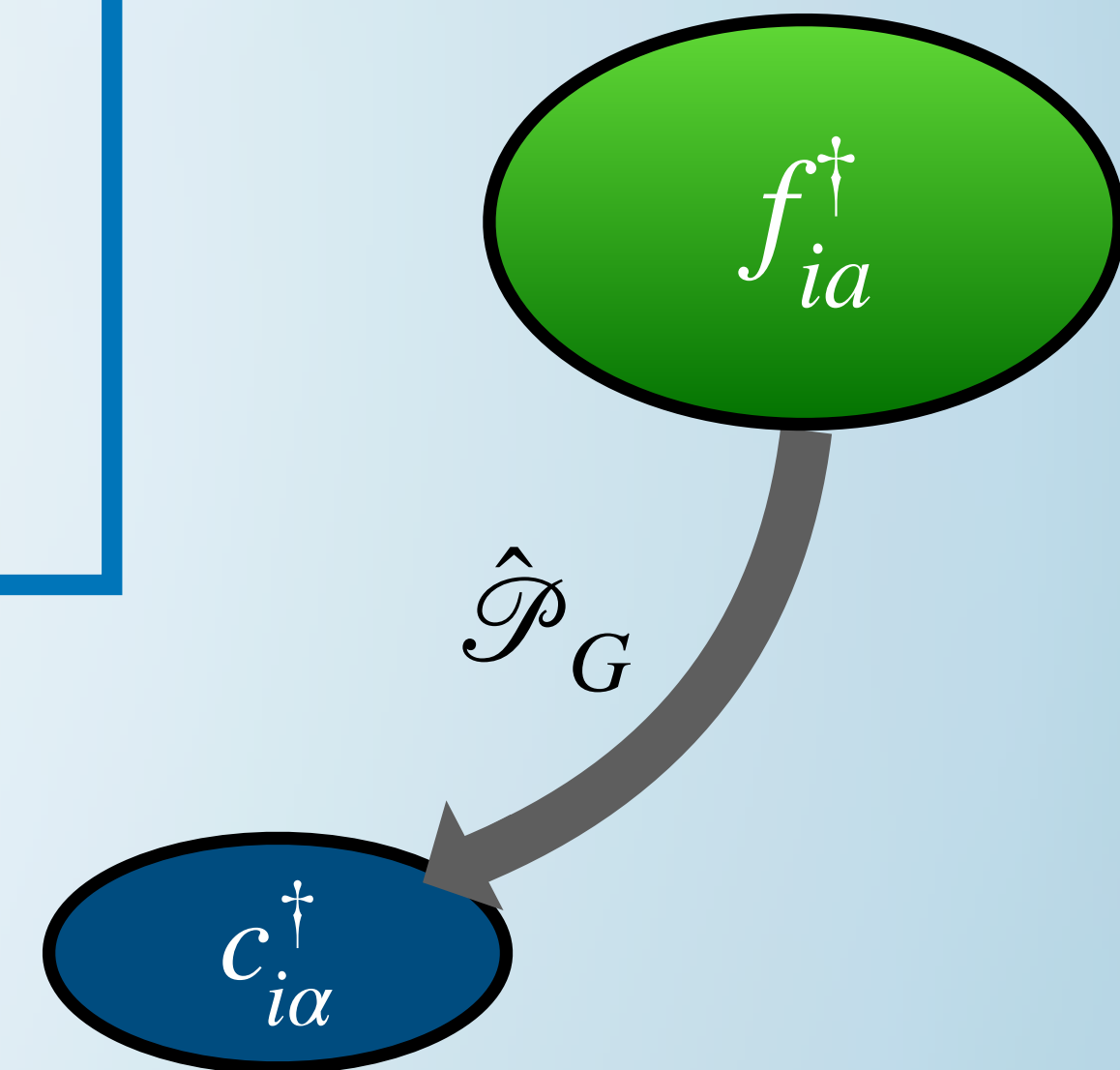
Non-Local 1-body operators, i.e., $i \neq j$:

$$\langle \Psi_G | c_{i\alpha}^\dagger c_{j\beta} | \Psi_G \rangle \approx \langle \Psi_0 | \left(\hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i \right) \left(\hat{\mathcal{P}}_j^\dagger c_{j\beta} \hat{\mathcal{P}}_j \right) | \Psi_0 \rangle$$

$$= \sum_{a=1}^{B\nu_i} \sum_{b=1}^{B\nu_j} \langle \Psi_0 | \left([\mathcal{R}_i]_{a\alpha} f_{ia}^\dagger \right) \left([\mathcal{R}_j]_{\beta b}^\dagger f_{jb} \right) | \Psi_0 \rangle$$

Where $\mathcal{R}_i$ is determined by:

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i f_{ia} | \Psi_0 \rangle = \sum_{b=1}^{B\nu_i} [\mathcal{R}_i]_{b\alpha} \langle \Psi_0 | f_{ib}^\dagger f_{ia} | \Psi_0 \rangle$$



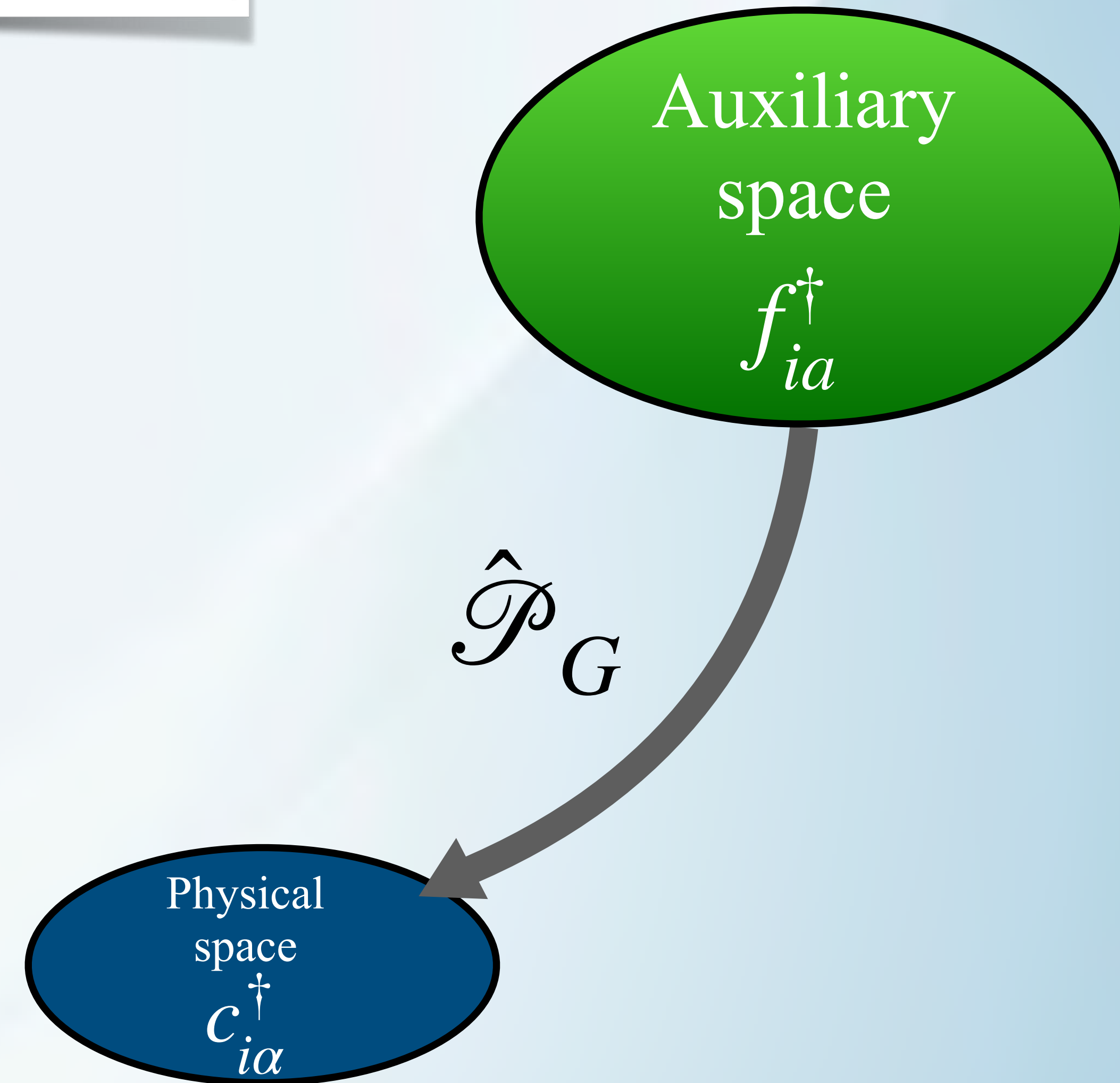
Non-local quadratic operators:

$$\hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i \rightarrow \sum_a [\mathcal{R}_i]_{a\alpha} f_{ia}^\dagger$$

$$\hat{\mathcal{P}}_i = \sum_{\Gamma=0}^{2^{\nu_i}-1} \sum_{n=0}^{2^{B\nu_i}-1} [\hat{\Lambda}_i]_{\Gamma n} |\Gamma, i\rangle \langle n, i|$$

$$|\Gamma, i\rangle = [c_{i1}^\dagger]^{q_1(\Gamma)} \dots [c_{iq_{\nu_i}}^\dagger]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n, i\rangle = [f_{i1}^\dagger]^{q_1(\Gamma)} \dots [f_{iq_{B\nu_i}}^\dagger]^{q_{B\nu_i}(\Gamma)} |0\rangle$$



Variational energy:

$$\hat{H} = \sum_{i=1}^{\mathcal{N}} \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] + \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} [t_{ij}]_{\alpha\beta} c_{i\alpha}^\dagger c_{j\beta}$$

$$\mathcal{E} = \sum_{i,j=1}^{\mathcal{N}} \sum_{a,b=1}^{B\nu_i} \left[\mathcal{R}_i t_{ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{ia}^\dagger f_{jb} | \Psi_0 \rangle + \sum_{i=1}^{\mathcal{N}} \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] \hat{\mathcal{P}}_i | \Psi_0 \rangle$$

Where: $\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i f_{ia} | \Psi_0 \rangle = \sum_{b=1}^{B\nu_i} [\mathcal{R}_i]_{b\alpha} \langle \Psi_0 | f_{ib}^\dagger f_{ia} | \Psi_0 \rangle$

$$\left\{ \begin{array}{l} \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1 \\ \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i f_{ia}^\dagger f_{ib} | \Psi_0 \rangle = \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, B\nu_i\} \end{array} \right.$$

Necessary steps:

1. Definition of approximations (GA and G. constraints).
2. Evaluation of $\langle \Psi_G | \hat{H} | \Psi_G \rangle$ in terms of $\{ \hat{\Lambda}_i \}, | \Psi_0 \rangle$.
3. Definition of slave-boson (SB) amplitudes.
4. Mapping from SB amplitudes to embedding states.
5. Lagrange formulation of the optimization problem.

Variational energy:

$$\mathcal{E} = \sum_{i,j=1}^{\mathcal{N}} \sum_{a,b=1}^{B\nu_i} \left[\mathcal{R}_{ij} \right]_{ab} \langle \Psi_0 | f_{ia}^\dagger f_{jb} | \Psi_0 \rangle + \sum_{i=1}^{\mathcal{N}} \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] \hat{\mathcal{P}}_i | \Psi_0 \rangle$$

Where:

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i f_{ia} | \Psi_0 \rangle = \sum_{b=1}^{B\nu_i} [\mathcal{R}_i]_{b\alpha} \langle \Psi_0 | f_{ib}^\dagger f_{ia} | \Psi_0 \rangle$$

$$\left\{ \begin{array}{l} \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1 \\ \langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i f_{ia}^\dagger f_{ib} | \Psi_0 \rangle = \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, B\nu_i\} \end{array} \right.$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger \hat{\Lambda}_i] = 1$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i f_{ia}^\dagger f_{ib} | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger \hat{\Lambda}_i \tilde{F}_{ia}^\dagger \tilde{F}_{ib}] = \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] \hat{\mathcal{P}}_i | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger \hat{H}_{loc}^i [F_{i\alpha}^\dagger, F_{i\alpha}] \hat{\Lambda}_i]$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i f_{ia} | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger F_{i\alpha}^\dagger \hat{\Lambda}_i \tilde{F}_{ia}] = \sum_{b=1}^{B\nu_i} [\mathcal{R}_i]_{b\alpha} [\Delta_i]_{ba}$$

Where:

$$P_i^0 \propto \exp \left\{ - \sum_{a,b=1}^{B\nu_i} \left[\ln \left(\frac{1 - \Delta_i^T}{\Delta_i^T} \right) \right]_{ab} \tilde{F}_{ia}^\dagger \tilde{F}_{ib} \right\}$$

$$[F_{i\alpha}]_{\Gamma\Gamma'} = \langle \Gamma, i | c_{i\alpha} | \Gamma', i \rangle$$

$$[\tilde{F}_{ia}]_{nn'} = \langle n, i | f_{ia} | n', i \rangle$$

$$\hat{\mathcal{P}}_i = \sum_{\Gamma=0}^{2^{\nu_i}-1} \sum_{n=0}^{2^{B\nu_i}-1} [\hat{\Lambda}_i]_{\Gamma n} | \Gamma, i \rangle \langle n, i |$$

$$| \Gamma, i \rangle = [c_{i1}^\dagger]^{q_1(\Gamma)} \dots [c_{iq_{\nu_i}}^\dagger]^{q_{\nu_i}(\Gamma)} | 0 \rangle$$

$$| n, i \rangle = [f_{i1}^\dagger]^{q_1(\Gamma)} \dots [f_{iq_{B\nu_i}}^\dagger]^{q_{B\nu_i}(\Gamma)} | 0 \rangle$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger \hat{\Lambda}_i] = 1$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i f_{ia}^\dagger f_{ib} | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger \hat{\Lambda}_i \tilde{F}_{ia}^\dagger \tilde{F}_{ib}] = \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] \hat{\mathcal{P}}_i | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger \hat{H}_{loc}^i [F_{i\alpha}^\dagger, F_{i\alpha}] \hat{\Lambda}_i]$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger c_{i\alpha}^\dagger \hat{\mathcal{P}}_i f_{ia} | \Psi_0 \rangle = \text{Tr} [P_i^0 \hat{\Lambda}_i^\dagger F_{i\alpha}^\dagger \hat{\Lambda}_i \tilde{F}_{ia}] = \sum_{b=1}^{B\nu_i} [\mathcal{R}_i]_{b\alpha} [\Delta_i]_{ba}$$

$$P_i^0 \propto \exp \left\{ - \sum_{a,b=1}^{B\nu_i} \left[\ln \left(\frac{1 - \Delta_i^T}{\Delta_i^T} \right) \right]_{ab} \tilde{F}_{ia}^\dagger \tilde{F}_{ib} \right\}$$

Matrix of SB amplitudes:

$$\phi_i = \hat{\Lambda}_i \sqrt{P_i^0}$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i | \Psi_0 \rangle = \text{Tr} [\phi_i^\dagger \phi_i] = 1$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{\mathcal{P}}_i f_{ia}^\dagger f_{ib} | \Psi_0 \rangle = \text{Tr} [\phi_i^\dagger \phi_i \tilde{F}_{ia}^\dagger \tilde{F}_{ib}] = \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$$

$$\langle \Psi_0 | \hat{\mathcal{P}}_i^\dagger \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] \hat{\mathcal{P}}_i | \Psi_0 \rangle = \text{Tr} [\phi_i \phi_i^\dagger \hat{H}_{loc}^i [F_{i\alpha}^\dagger, F_{i\alpha}]]$$

$$\text{Tr} [\phi_i^\dagger F_{i\alpha}^\dagger \phi_i \tilde{F}_{i\alpha}] = \sum_{c=1}^{B\nu_i} [\mathcal{R}_i]_{c\alpha} [\Delta_i(\mathbf{1} - \Delta_i)]_{ca}^{\frac{1}{2}}$$

$$[F_{i\alpha}]_{\Gamma\Gamma'} = \langle \Gamma, i | c_{i\alpha} | \Gamma', i \rangle$$

$$[\tilde{F}_{i\alpha}]_{nn'} = \langle n, i | f_{i\alpha} | n', i \rangle$$

Matrix of SB amplitudes:

$$\phi_i = \hat{\Lambda}_i \sqrt{P_i^0}$$

Variational energy:

$$\hat{H} = \sum_{i=1}^{\mathcal{N}} \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] + \sum_{i \neq j} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} [t_{ij}]_{\alpha\beta} c_{i\alpha}^\dagger c_{j\beta}$$

$$\mathcal{E} = \sum_{i,j=1}^{\mathcal{N}} \sum_{a,b=1}^{B\nu_i} \left[\mathcal{R}_i t_{ij} \mathcal{R}_j^\dagger \right]_{ab} \langle \Psi_0 | f_{ia}^\dagger f_{jb} | \Psi_0 \rangle + \sum_{i=1}^{\mathcal{N}} \text{Tr} \left[\phi_i \phi_i^\dagger \hat{H}_{loc}^i [F_{i\alpha}^\dagger, F_{i\alpha}] \right]$$

Where: $\text{Tr} \left[\phi_i^\dagger F_{i\alpha}^\dagger \phi_i \tilde{F}_{ia} \right] = \sum_{c=1}^{B\nu_i} [\mathcal{R}_i]_{c\alpha} [\Delta_i (\mathbf{1} - \Delta_i)]_{ca}^{\frac{1}{2}}$

$$\begin{cases} \text{Tr} \left[\phi_i^\dagger \phi_i \right] = 1 \\ \text{Tr} \left[\phi_i^\dagger \phi_i \tilde{F}_{ia}^\dagger \tilde{F}_{ib} \right] = \langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle \quad \forall a, b \in \{1, \dots, B\nu_i\} \end{cases}$$

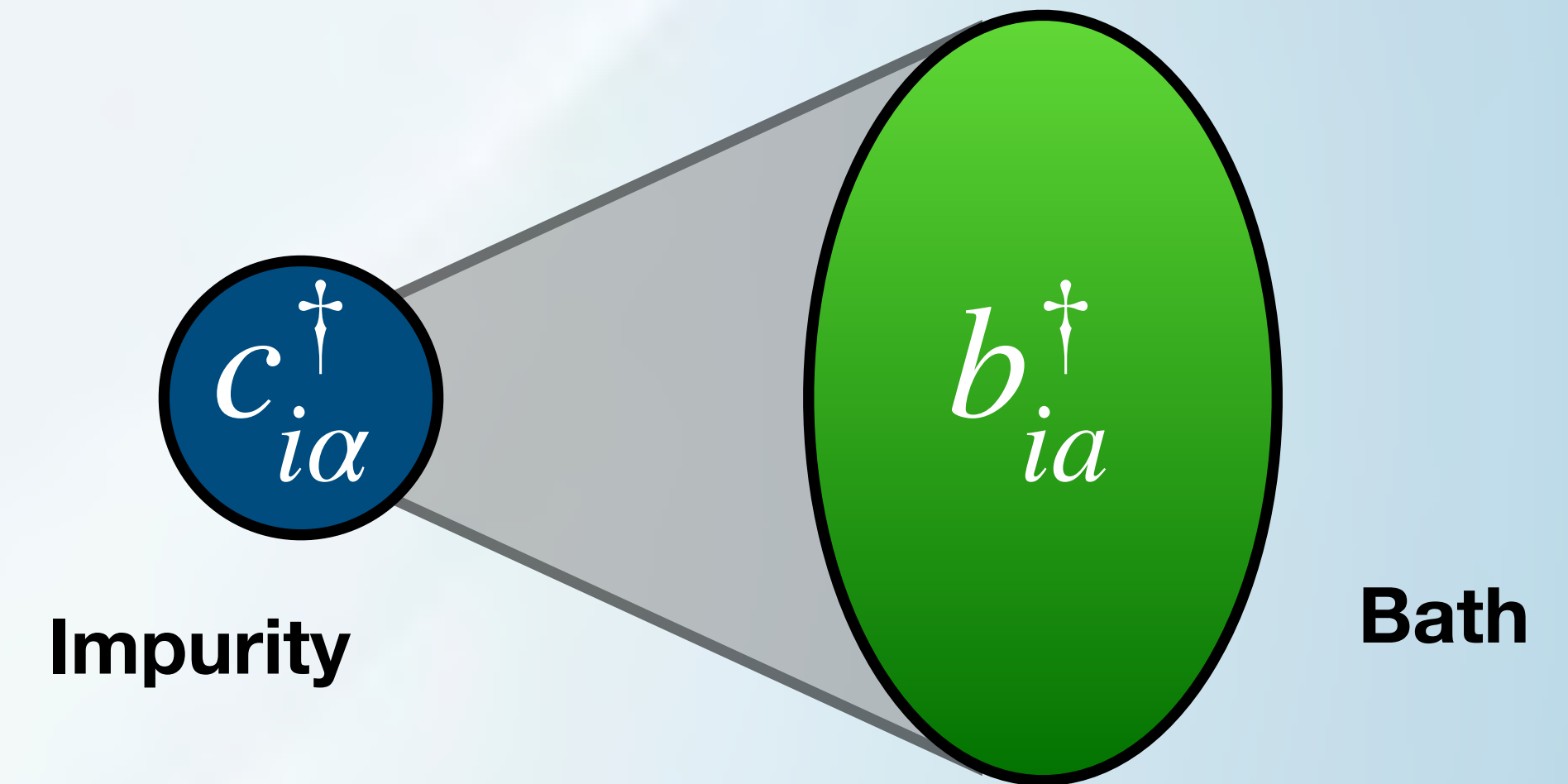
Necessary steps:

1. Definition of approximations (GA and G. constraints).
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4. Mapping from SB amplitudes to embedding states.
5. Lagrange formulation of the optimization problem.

Quantum-embedding formulation

$$[\phi_i]_{\Gamma n} \xrightarrow{2^{\nu_i} \times 2^{B\nu_i}} |\Phi_i\rangle = \sum_{\Gamma=0}^{2^{\nu_i}-1} \sum_{n=0}^{2^{B\nu_i}-1} e^{\frac{i\pi}{2}N(n)(N(n)-1)} [\phi_i]_{\Gamma n} |\Gamma; i\rangle \otimes U_{PH} |n; i\rangle$$

$N(n) = \sum_{a=1}^{B\nu_i} q_a(n)$



If $|\Psi_G\rangle$ eigenstate of number operator:

$$\left[\sum_{\alpha=1}^{\nu_i} c_{\alpha}^{\dagger} c_{\alpha} + \sum_{a=1}^{B\nu_i} b_a^{\dagger} b_a \right] |\Phi_i\rangle = \frac{B+1}{2} \nu_i |\Phi_i\rangle$$

$$|\Gamma; i\rangle = [c_{i1}^{\dagger}]^{q_1(\Gamma)} \dots [c_{iB\nu_i}^{\dagger}]^{q_{\nu_i}(\Gamma)} |0\rangle$$

$$|n; i\rangle = [b_{i1}^{\dagger}]^{q_1(n)} \dots [b_{iB\nu_i}^{\dagger}]^{q_{B\nu_i}(n)} |0\rangle$$

Quantum-embedding formulation

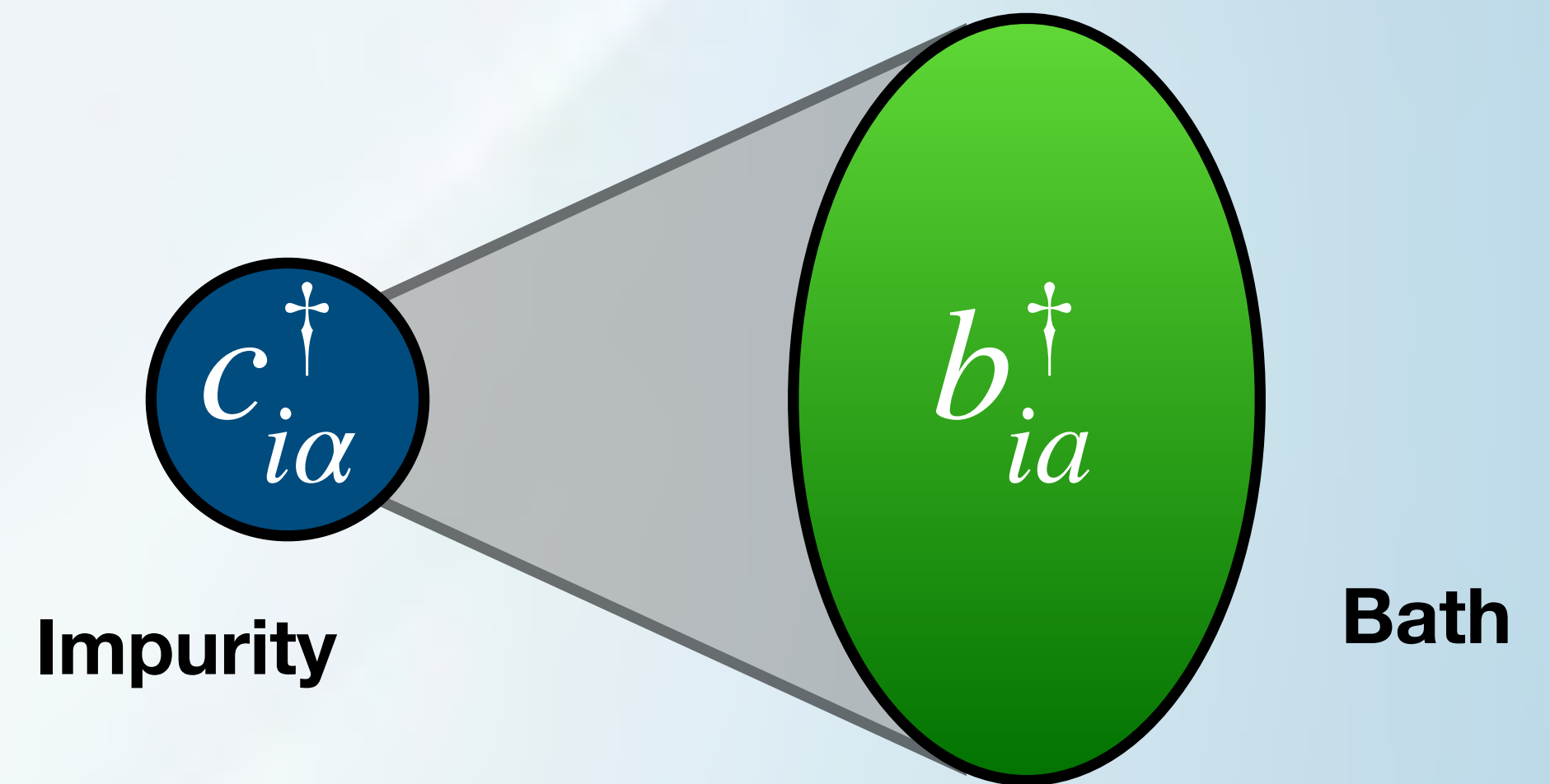
$$[\phi_i]_{\Gamma n} \longrightarrow |\Phi_i\rangle = \sum_{\Gamma=0}^{2^{\nu_i}-1} \sum_{n=0}^{2^{B\nu_i}-1} e^{\frac{i\pi}{2}N(n)(N(n)-1)} [\phi_i]_{\Gamma n} |\Gamma; i\rangle \otimes U_{PH} |n; i\rangle$$

$2^{\nu_i} \times 2^{B\nu_i}$ (curved arrow from $[\phi_i]_{\Gamma n}$ to the sum)
 $2^{\nu_i} \times 2^{B\nu_i}$ (curved arrow from the sum to U_{PH})

$$\text{Tr}[\phi_i^\dagger \phi_i F_{ia}^\dagger F_{ib}] = \langle \Phi_i | b_{ib} b_{ia}^\dagger | \Phi_i \rangle = [\Delta_i]_{ab}$$

$$\text{Tr}[\phi_i \phi_i^\dagger \hat{H}_{loc}^i [F_{i\alpha}^\dagger, F_{i\alpha}]] = \langle \Phi_i | \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] | \Phi_i \rangle$$

$$\text{Tr}[\phi_i^\dagger F_{i\alpha}^\dagger \phi_i F_{i\alpha}] = \langle \Phi_i | c_{i\alpha}^\dagger b_{i\alpha} | \Phi_i \rangle$$



Variational energy:

$$\hat{H} = \sum_{\mathbf{k}} \sum_{ij} \sum_{\alpha=1}^{\nu_i} \sum_{\beta=1}^{\nu_j} t_{\mathbf{k},ij}^{\alpha\beta} c_{\mathbf{k}i\alpha}^{\dagger} c_{\mathbf{k}j\beta} + \sum_{\mathbf{R}} \sum_{i \geq 1} \hat{H}_{\mathbf{R}i}^{loc}$$

$$\mathcal{E} = \sum_{i,j=1}^{\mathcal{N}} \sum_{a,b=1}^{B\nu_i} \left[\mathcal{R}_i^{\dagger} t_{ij} \mathcal{R}_j \right]_{ab} f_{ia}^{\dagger} f_{jb} + \sum_{i=1}^{\mathcal{N}} \langle \Phi_i | \hat{H}_{loc}^i [c_{i\alpha}^{\dagger}, c_{i\alpha}] | \Phi_i \rangle$$

Where:

$$\langle \Phi_i | c_{i\alpha}^{\dagger} b_{ia} | \Phi_i \rangle = \sum_{a=1}^{B\nu_i} [\mathcal{R}_i]_{a\alpha} [\Delta_i (1 - \Delta_i)]_{ab}^{\frac{1}{2}}$$

$$\langle \Phi_i | \Phi_i \rangle = \langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \Phi_i | b_{ib}^{\dagger} b_{ia} | \Phi_i \rangle = \langle \Psi_0 | f_{ia}^{\dagger} f_{ib} | \Psi_0 \rangle = [\Delta_i]_{ab}, \quad \forall a, b = 1, \dots, B\nu_i$$

Necessary steps:

1. Definition of approximations (GA and G. constraints).
2. Evaluation of $\langle \Psi_G | \hat{H} | \Psi_G \rangle$ in terms of $\{ \hat{\Lambda}_i \}, | \Psi_0 \rangle$.
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4. Mapping from SB amplitudes to embedding states.
5. Lagrange formulation of the optimization problem.

Variational energy:

$$\mathcal{E} = \sum_{i,j=1}^{\mathcal{N}} \sum_{a,b=1}^{B\nu_i} \left[\mathcal{R}_i^\dagger t_{ij} \mathcal{R}_j \right]_{ab} f_{ia}^\dagger f_{jb} + \sum_{i=1}^{\mathcal{N}} \langle \Phi_i | \hat{H}_{loc}^i [c_{i\alpha}^\dagger, c_{i\alpha}] | \Phi_i \rangle$$

Where:

$$\langle \Phi_i | c_{i\alpha}^\dagger b_{ia} | \Phi_i \rangle = \sum_{a=1}^{B\nu_i} [\mathcal{R}_i]_{a\alpha} [\Delta_i(1 - \Delta_i)]_{ab}^{\frac{1}{2}}$$

$\langle \Psi_0 | \Psi_0 \rangle = 1$ ← E
 $\langle \Phi_i | \Phi_i \rangle = 1$ ← E_i^c
 $\langle \Psi_0 | f_{ia}^\dagger f_{ib} | \Psi_0 \rangle =: [\Delta_i]_{ab}$ ← $[\Lambda_i]_{ab}$
 $\langle \Phi_i | b_{ib} b_{ia}^\dagger | \Phi_i \rangle = [\Delta_i]_{ab}$ ← $[\Lambda_i^c]_{ab}$

$[\mathcal{D}_i]_{a\alpha}$ points to $[\mathcal{R}_i]_{a\alpha}$ in the first equation.
 $[\Lambda_i]_{ab}$ points to $[\Delta_i]_{ab}$ in the third equation.
 $[\Lambda_i^c]_{ab}$ points to $[\Delta_i]_{ab}$ in the fourth equation.

Lagrange function:

$$\begin{aligned} \mathcal{L} = & \langle \Psi_0 | \hat{H}_{qp}[\mathcal{R}, \Lambda] | \Psi_0 \rangle + E \left(1 - \langle \Psi_0 | \Psi_0 \rangle \right) \\ & + \sum_{i=1}^{\mathcal{N}} \left[\langle \Phi_i | \hat{H}_i^{emb}[\mathcal{D}_i, \Lambda_i^c] | \Phi_i \rangle + E_i^c \left(\mathbf{1} - \langle \Phi_i | \Phi_i \rangle \right) \right] \\ & - \sum_{i=1}^{\mathcal{N}} \left[\sum_{a,b=1}^{B\nu_i} \left([\Lambda_i]_{ab} + [\Lambda_i^c]_{ab} \right) [\Delta_i]_{ab} + \sum_{c,a=1}^{B\nu_i} \sum_{\alpha=1}^{\nu_i} \left([\mathcal{D}_i]_{a\alpha} [\mathcal{R}_i]_{c\alpha} [\Delta_i(\mathbf{1} - \Delta_i)]_{ca}^{\frac{1}{2}} + \text{c.c.} \right) \right] \end{aligned}$$

$$\hat{H}_{qp}[\mathcal{R}, \Lambda] = \sum_{i,j=1}^{\mathcal{N}} \sum_{a,b=1}^{B\nu_i} \left[\mathcal{R}_i^\dagger t_{ij} \mathcal{R}_j^\dagger \right]_{ab} f_{ia}^\dagger f_{jb} + \sum_{i=1}^{\mathcal{N}} \sum_{a,b=1}^{B\nu_i} [\Lambda_i]_{ab} f_{ia}^\dagger f_{ib}$$

$$\hat{H}_{emb}^i[\mathcal{D}_i, \Lambda_i^c] = \hat{H}_{loc}^i \left[c_{i\alpha}, c_{i\alpha}^\dagger \right] + \sum_{a=1}^{B\nu_i} \sum_{\alpha=1}^{\nu_i} \left([\mathcal{D}_i]_{a\alpha} c_{i\alpha}^\dagger b_{ia} + \text{H.c.} \right) + \sum_{a,b=1}^{B\nu_i} [\Lambda_i^c]_{ab} b_{ib} b_{ia}^\dagger$$

Lagrange equations:

$$\left[\Pi_i f(\mathcal{R} t \mathcal{R}^\dagger + \Lambda) \Pi_i \right]_{ba} = [\Delta_i]_{ab}$$

$$\left[\Pi_i t \mathcal{R}^\dagger f(\mathcal{R} t \mathcal{R}^\dagger + \Lambda) \Pi_i \right]_{\alpha a} = \sum_{c,a=1}^{B\nu_i} \sum_{\alpha=1}^{\nu_i} [\mathcal{D}_i]_{c\alpha} \left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}}$$

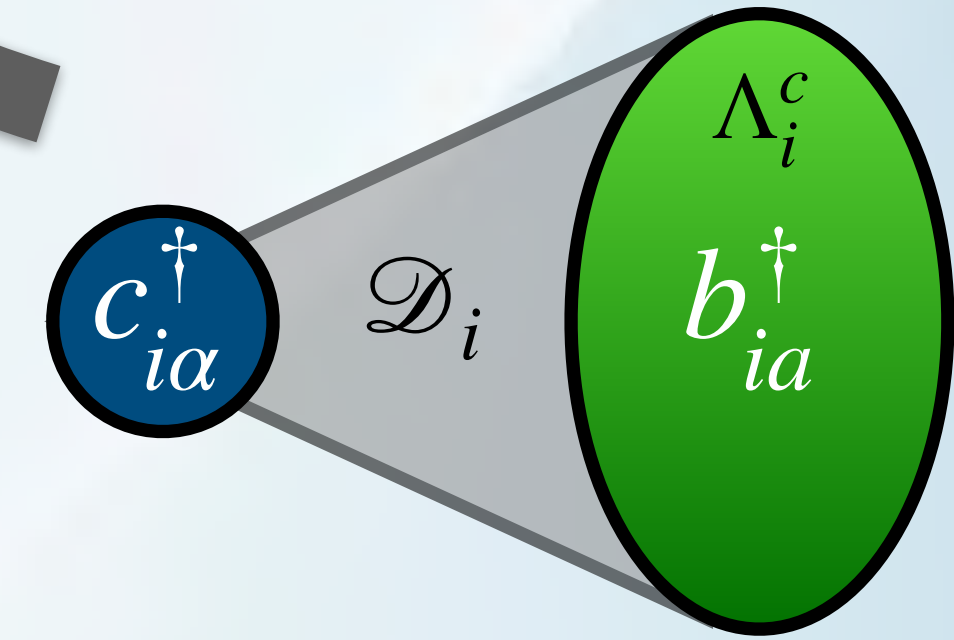
$$\sum_{c,b=1}^{B\nu_i} \sum_{\alpha=1}^{\nu_i} \frac{\partial}{\partial [d_i^0]_s} \left(\left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}}_{cb} [\mathcal{D}_i]_{b\alpha} [\mathcal{R}_i]_{c\alpha} + \text{c.c.} \right) + [l_i + l_i^c]_s = 0$$

$$\hat{H}_{emb}^i[\mathcal{D}_i, \Lambda_i^c] |\Phi_i\rangle = E_i^c |\Phi_i\rangle \longrightarrow |\Phi_i\rangle$$

$$\langle \Phi_i | c_{i\alpha}^\dagger b_{ia} | \Phi_i \rangle - \sum_{c=1} \left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}} [\mathcal{R}_i]_{c\alpha} = 0$$

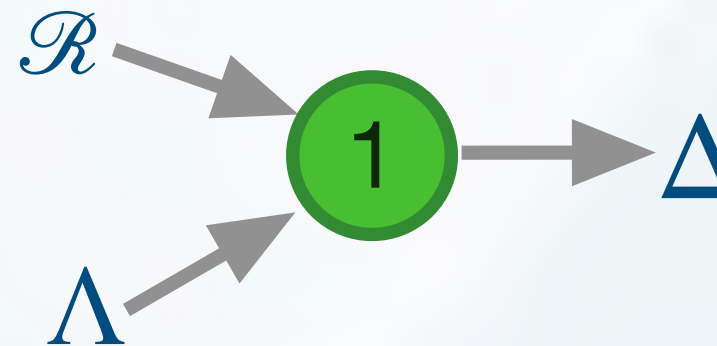
$$\langle \Phi_i | b_{ib} b_{ia}^\dagger | \Phi_i \rangle - [\Delta_i]_{ab} = 0$$

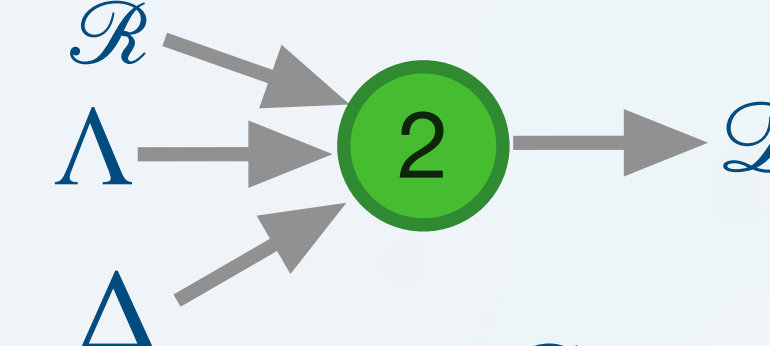
Self-consistency

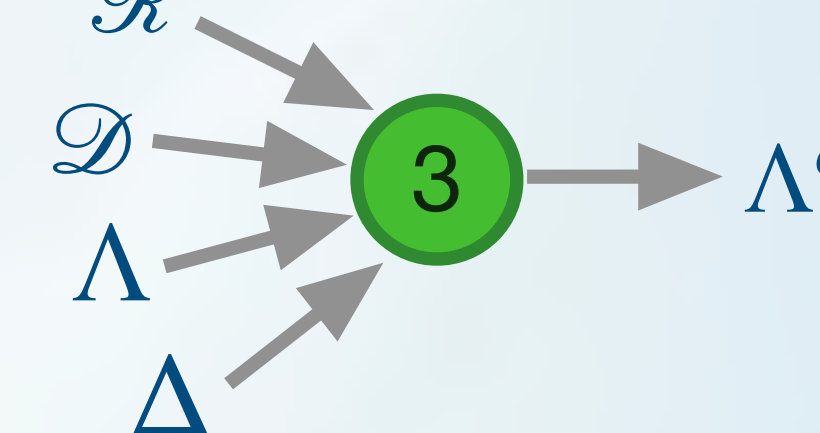
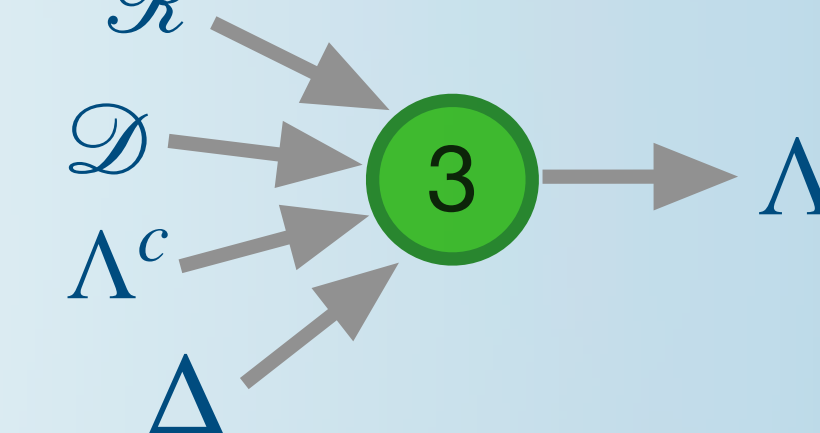


$$\left\{ \begin{array}{l} \Delta_i = \sum_{s=1}^{(B\nu_i)^2} [d_i^0]_s^t [h_i]_s \\ \Lambda_i = \sum_{s=1}^{(B\nu_i)^2} [l_i]_s [h_i]_s \\ \Lambda_i^c = \sum_{s=1}^{(B\nu_i)^2} [l_i^c]_s [h_i]_s \end{array} \right.$$

Lagrange equations:

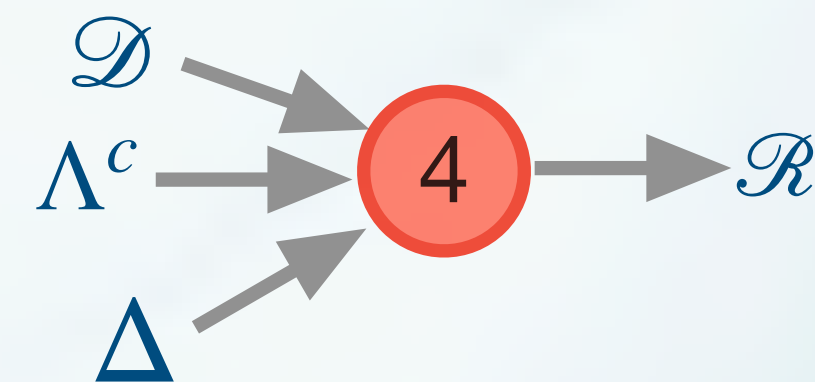
$$\left[\Pi_i f(\mathcal{R} t \mathcal{R}^\dagger + \Lambda) \Pi_i \right]_{ba} = [\Delta_i]_{ab}$$


$$\left[\Pi_i t \mathcal{R}^\dagger f(\mathcal{R} t \mathcal{R}^\dagger + \Lambda) \Pi_i \right]_{\alpha a} = \sum_{c,a=1}^{B\nu_i} \sum_{\alpha=1}^{\nu_i} [\mathcal{D}_i]_{c\alpha} \left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}}$$


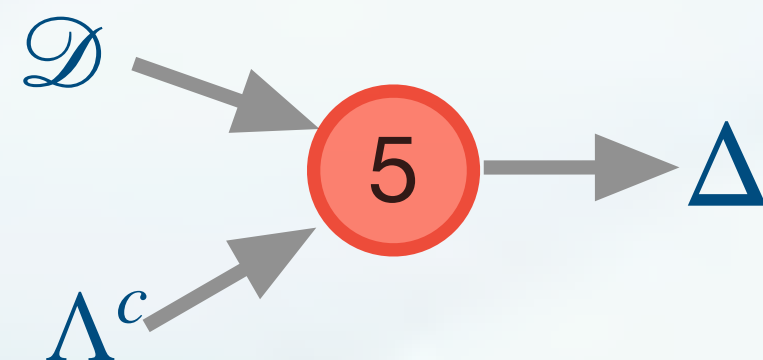
$$\sum_{c,b=1}^{B\nu_i} \sum_{\alpha=1}^{\nu_i} \frac{\partial}{\partial [d_i^0]_s} \left(\left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}} [\mathcal{D}_i]_{b\alpha} [\mathcal{R}_i]_{c\alpha} + \text{c.c.} \right) + [l_i + l_i^c]_s = 0$$



$$\hat{H}_{emb}^i[\mathcal{D}_i, \Lambda_i^c] |\Phi_i\rangle = E_i^c |\Phi_i\rangle \longrightarrow |\Phi_i\rangle$$

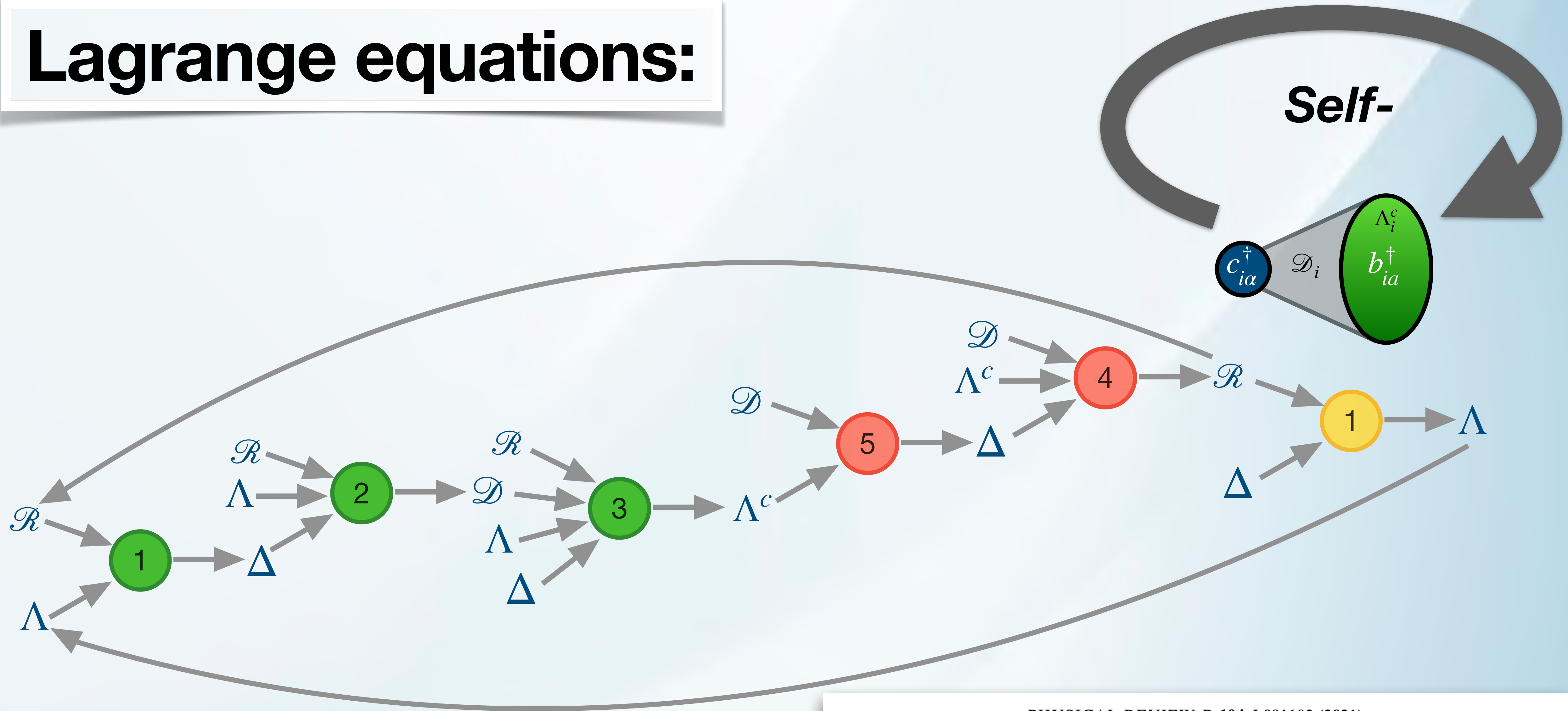
$$\langle \Phi_i | c_{i\alpha}^\dagger b_{ia} | \Phi_i \rangle - \sum_{c=1} \left[\Delta_i (1 - \Delta_i) \right]^{\frac{1}{2}} [\mathcal{R}_i]_{c\alpha} = 0$$



$$\langle \Phi_i | b_{ib} b_{ia}^\dagger | \Phi_i \rangle - [\Delta_i]_{ab} = 0$$



Lagrange equations:



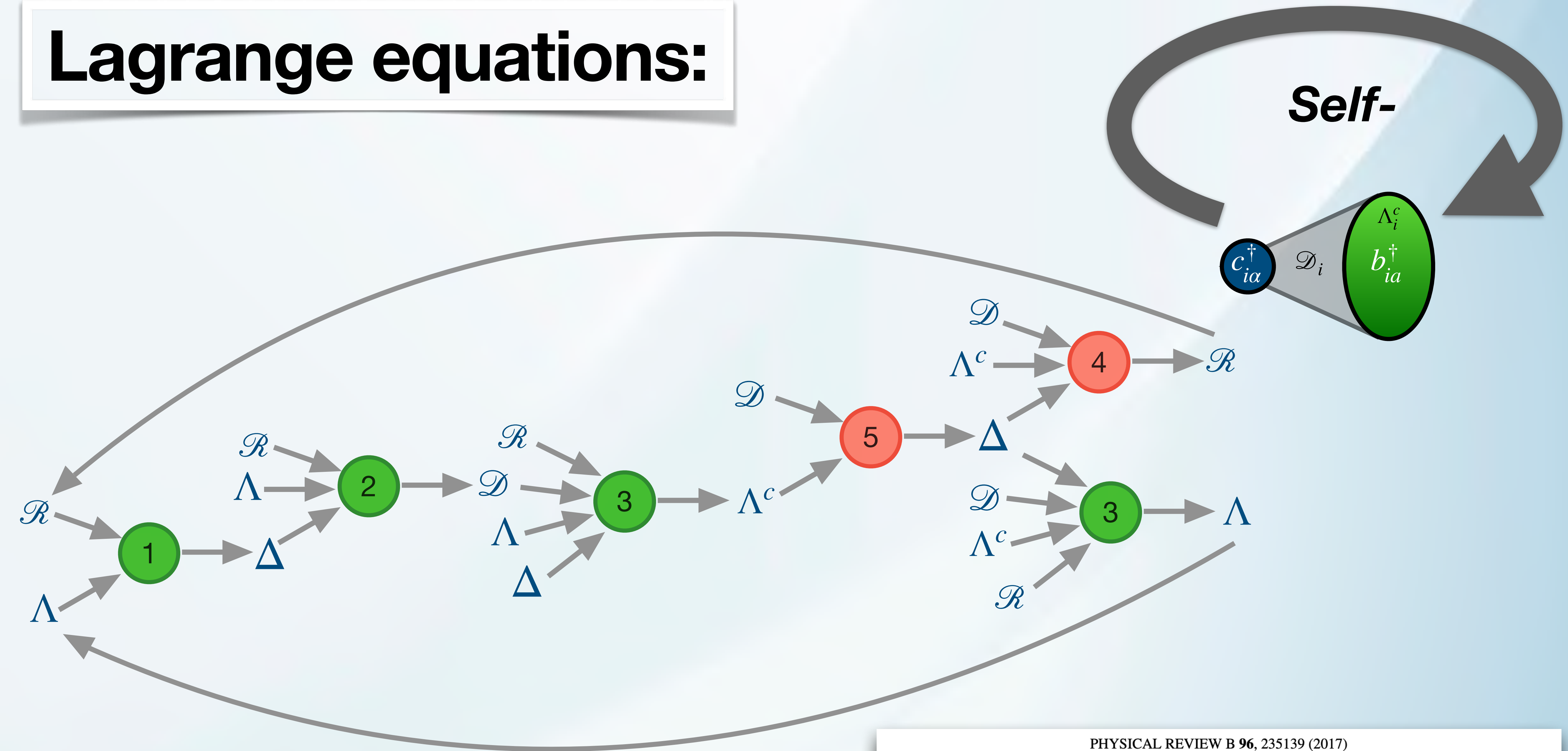
PHYSICAL REVIEW B **104**, L081103 (2021)

Letter

Quantum embedding description of the Anderson lattice model with the ghost Gutzwiller approximation

Marius S. Frank¹, Tsung-Han Lee², Gargee Bhattacharyya¹, Pak Ki Henry Tsang³, Victor L. Quito^{4,3}, Vladimir Dobrosavljević³, Ove Christiansen⁵, and Nicola Lanatà^{1,6,*}

Lagrange equations:



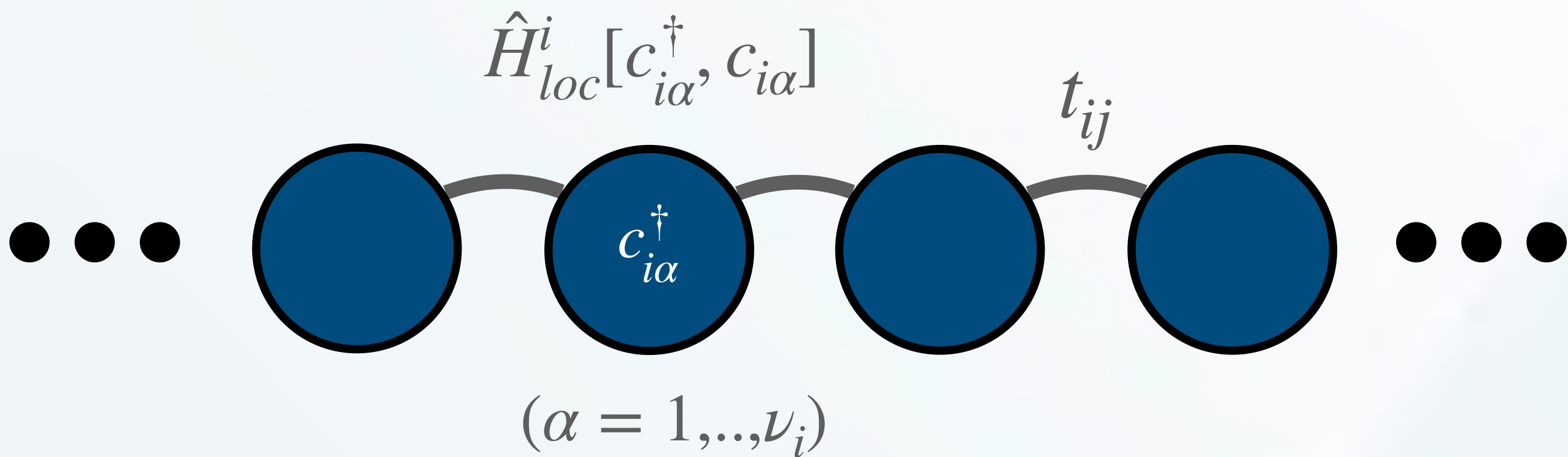
PHYSICAL REVIEW B **96**, 235139 (2017)

Dynamical mean-field theory, density-matrix embedding theory, and rotationally invariant slave bosons: A unified perspective

Thomas Ayrar,¹ Tsung-Han Lee,¹ and Gabriel Kotliar^{1,2}

Summary steps done

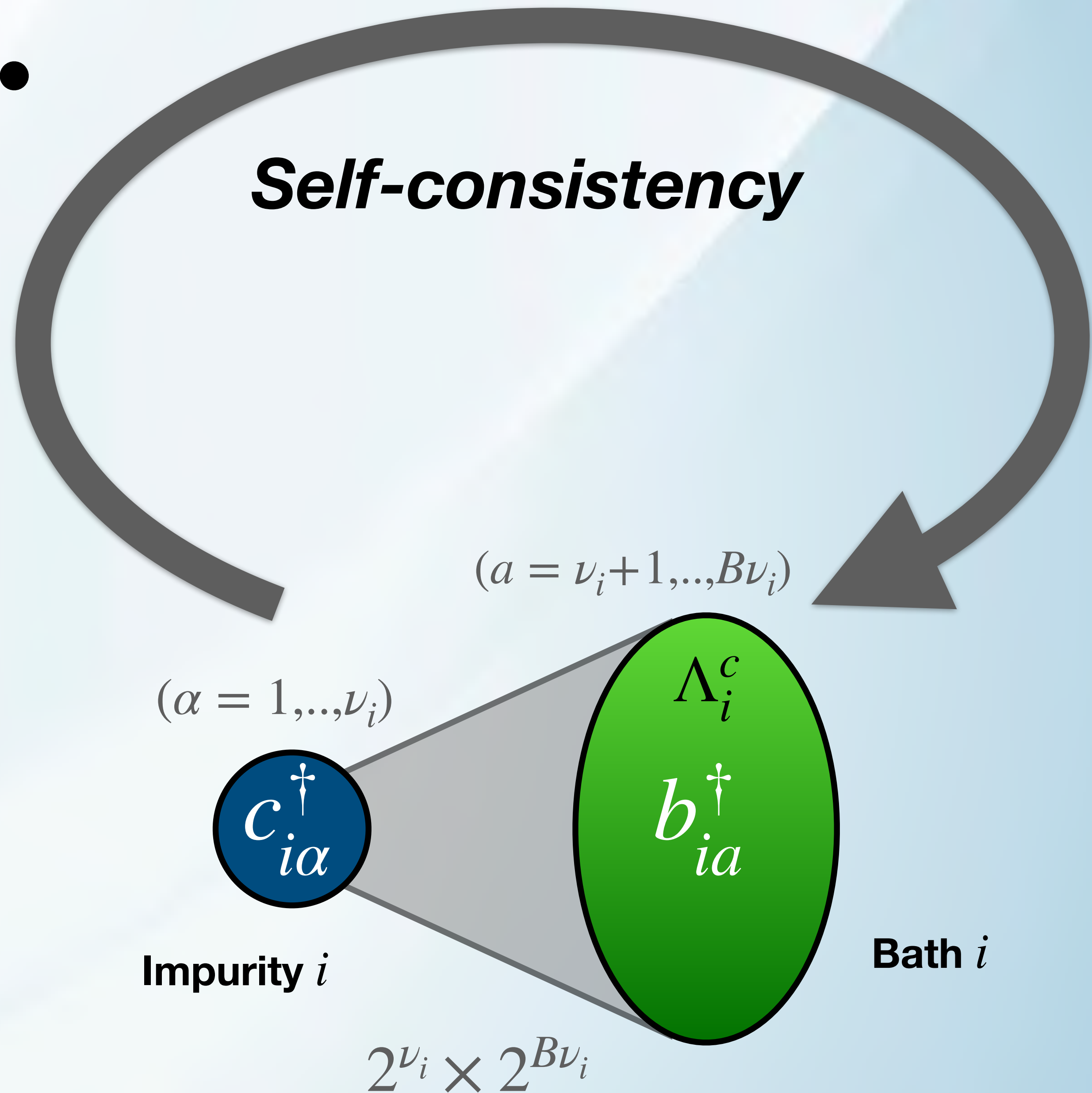
1. Definition of approximations (GA and G. constraints).
2. Evaluation of $\langle \Psi_G | \hat{H} | \Psi_G \rangle$ in terms of $\{ \hat{\Lambda}_i \}, | \Psi_0 \rangle$.
3. Definition of slave-boson (SB) amplitudes.
4. Mapping from SB amplitudes to embedding states.
5. Lagrange formulation of the optimization problem.



Quantum-embedding formulation

$$[\hat{\Lambda}_i]_{\Gamma_n} \longleftrightarrow |\Phi_i\rangle$$

$2^{\nu_i} \times 2^{B\nu_i}$ $2^{\nu_i} \times 2^{B\nu_i}$



Outline

A. Quantum Embedding (QE) methods.

B. gGA method (multi-orbital models): *QE formulation*.

Supplementary topics:

- Spectral properties
- Examples of applications.
- Recent formalism extensions and open problems.

Spectral properties

Ground state: $|\Psi_G\rangle = \mathcal{P} |\Psi_0\rangle$

Excited states: $|\Psi_G^n\rangle = \mathcal{P} \xi_n^\dagger |\Psi_0\rangle$

$$A_{i\alpha,j\beta}(\omega) = \langle \Psi_G | c_{i\alpha} \delta(\omega - \hat{H}) c_{j\beta}^\dagger | \Psi_G \rangle + \langle \Psi_G | c_{j\beta}^\dagger \delta(\omega + \hat{H}) c_{i\alpha} | \Psi_G \rangle$$

PHYSICAL REVIEW B **67**, 075103 (2003)

Landau-Gutzwiller quasiparticles

Jörg Bünemann

Oxford University, Physical and Theoretical Chemistry Laboratory, South Parks Road, Oxford OX1 3QZ, United Kingdom

Florian Gebhard

Fachbereich Physik, Philipps-Universität Marburg, D-35032 Marburg, Germany

Rüdiger Thul

Abteilung Theorie, Hahn-Meitner-Institut Berlin, D-14109 Berlin, Germany

PHYSICAL REVIEW B **96**, 195126 (2017)

Emergent Bloch excitations in Mott matter

Nicola Lanatà,¹ Tsung-Han Lee,¹ Yong-Xin Yao,² and Vladimir Dobrosavljević¹

PHYSICAL REVIEW B **104**, L081103 (2021)

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$$A_{i\alpha,j\beta}(\omega) = \langle \Psi_G | c_{i\alpha} \delta(\omega - \hat{H}) c_{j\beta}^\dagger | \Psi_G \rangle + \langle \Psi_G | c_{j\beta}^\dagger \delta(\omega + \hat{H}) c_{i\alpha} | \Psi_G \rangle$$

$$\mathcal{G}(\omega) = \int_{-\infty}^{\infty} d\epsilon \frac{A(\omega)}{\omega - \epsilon} \simeq \mathcal{R}^\dagger \frac{1}{\omega - [\mathcal{R}t\mathcal{R}^\dagger + \Lambda]} \mathcal{R} =: \frac{1}{\omega - t_{loc} - \Sigma(\omega)}$$

Spectral properties

Ground state: $|\Psi_G\rangle = \mathcal{P} |\Psi_0\rangle$

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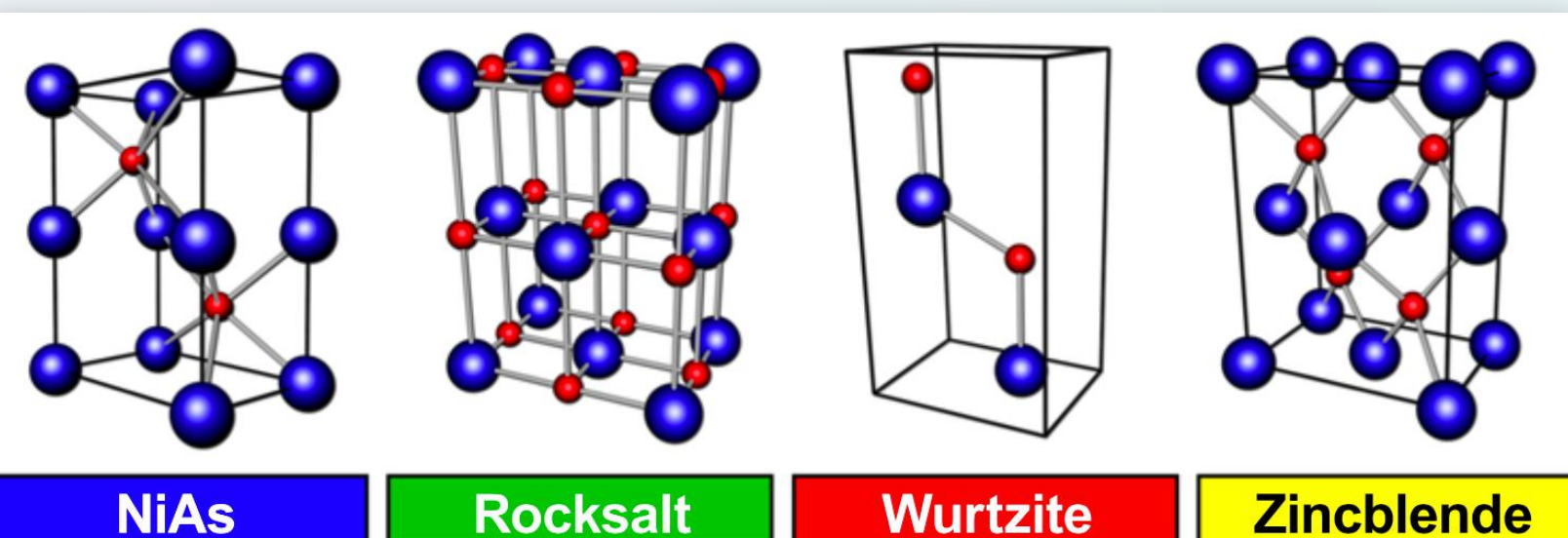
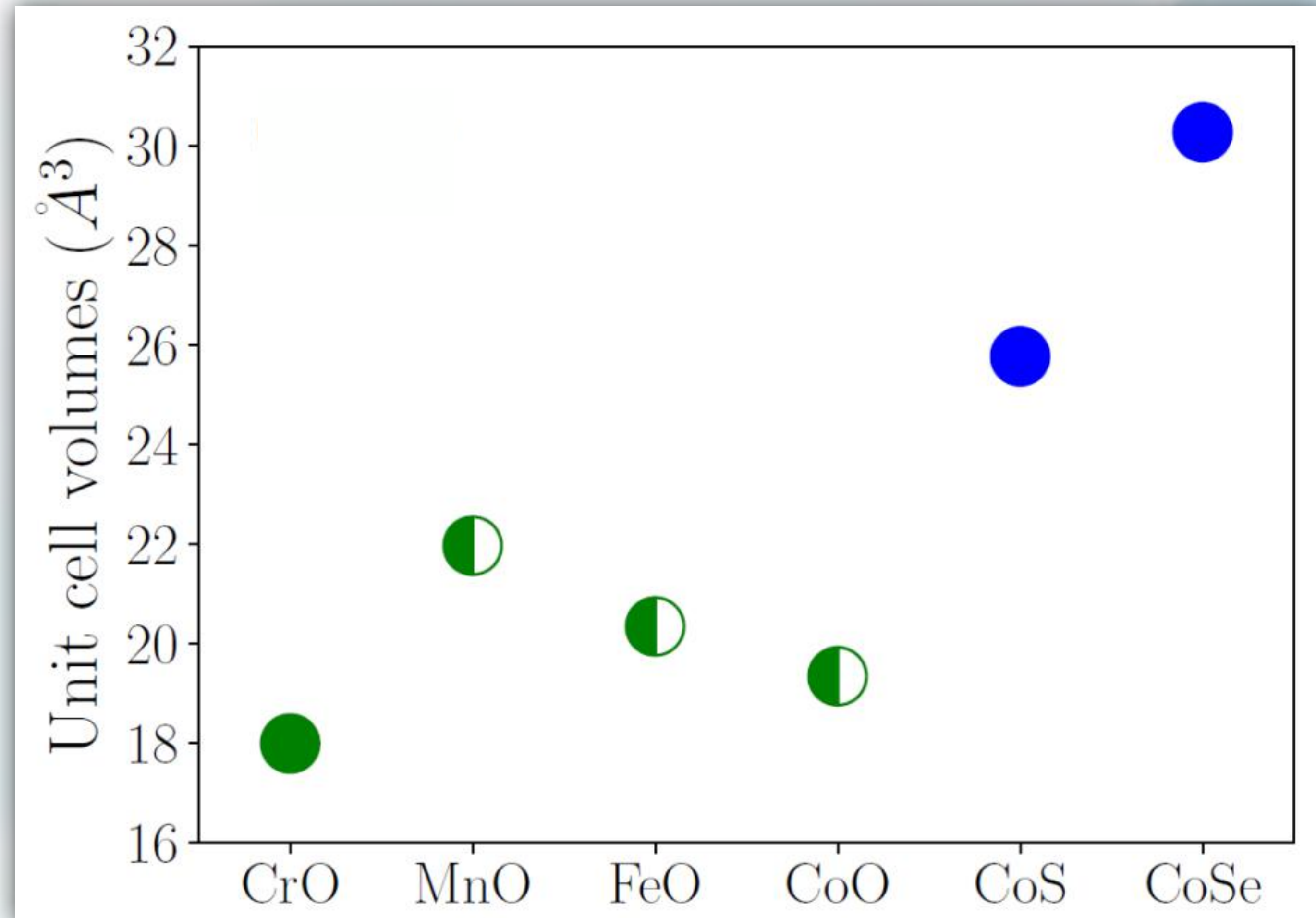
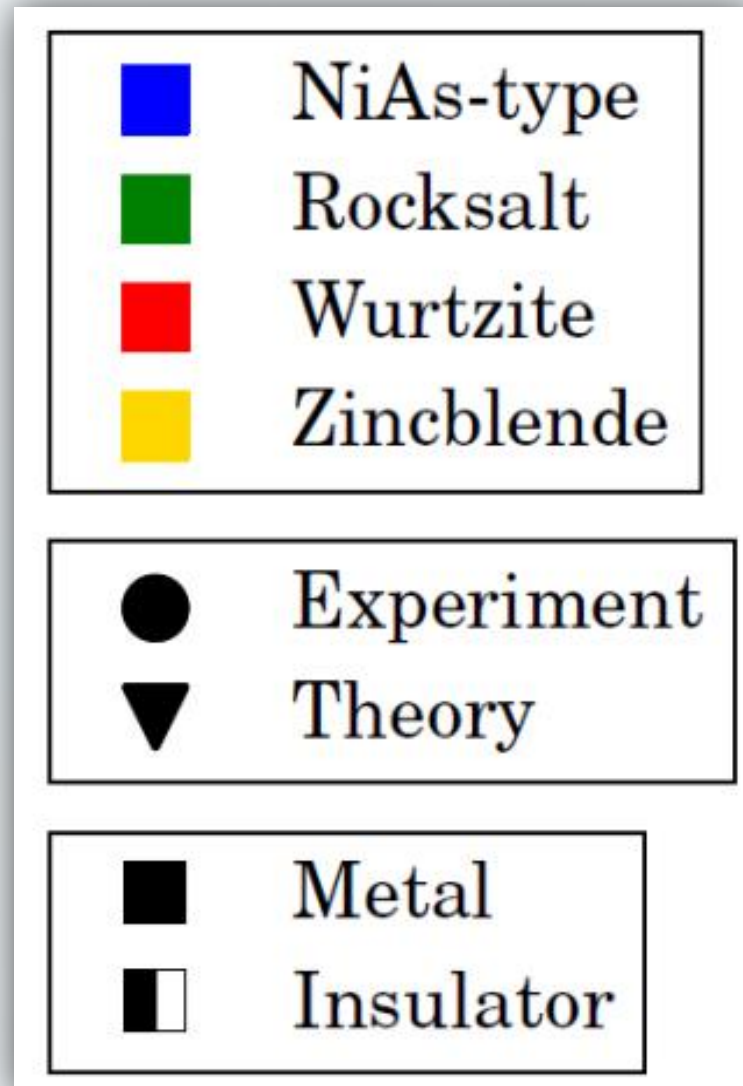
$$\Sigma_i(\omega) = t_{loc} - \omega \frac{1 - \mathcal{R}_i^\dagger \mathcal{R}_i}{\mathcal{R}_i^\dagger \mathcal{R}_i} + [\mathcal{R}_i]^{-1} \Lambda_i [\mathcal{R}_i^\dagger]^{-1}$$

Example: *Structure, Density, Gap* Theory vs Experiments

ARTICLE OPEN

Connection between Mott physics and crystal structure in a series of transition metal binary compounds

Nicola Lanatà¹, Tsung-Han Lee^{2,3}, Yong-Xin Yao⁴, Vladan Stevanović⁵ and Vladimir Dobrosavljević²

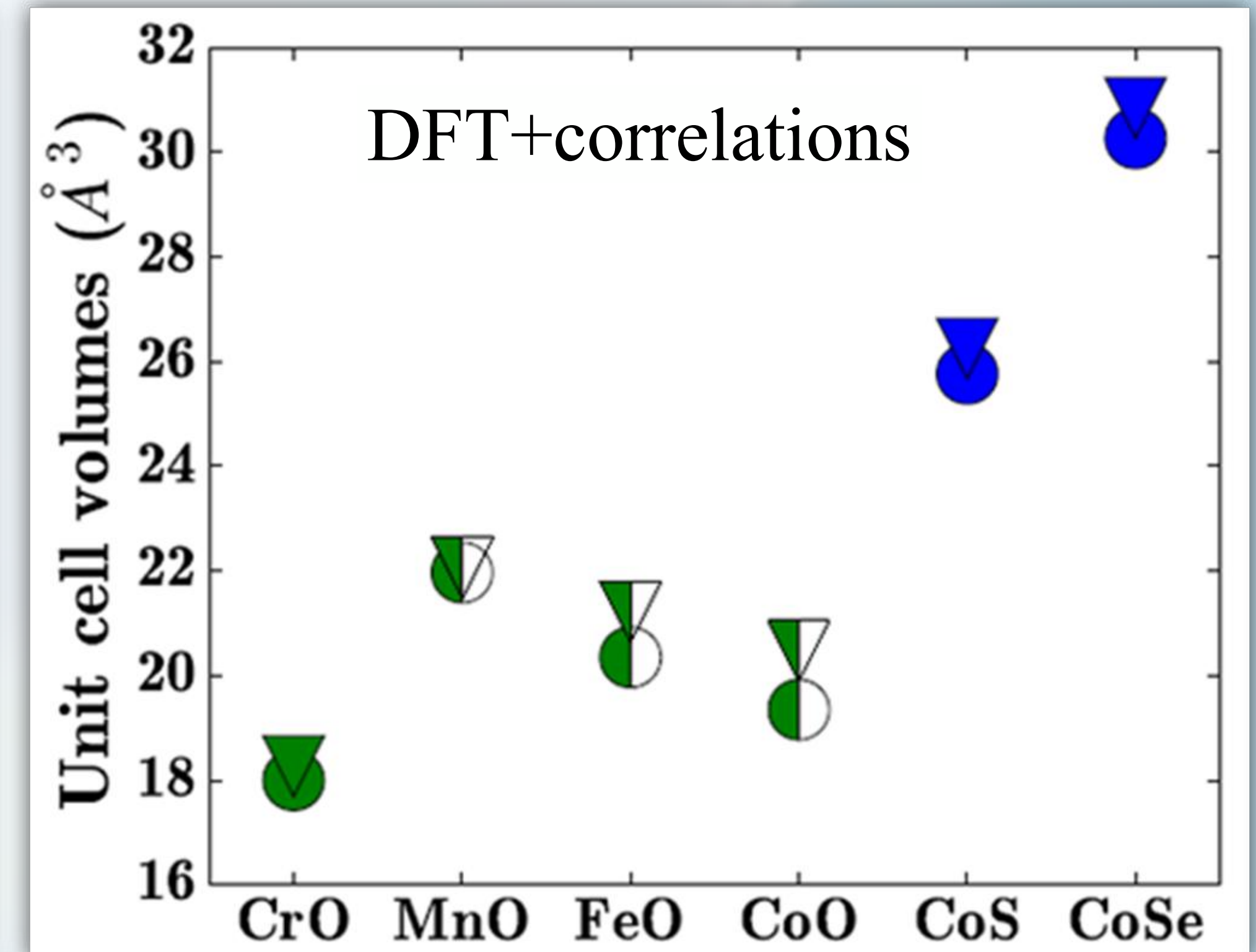
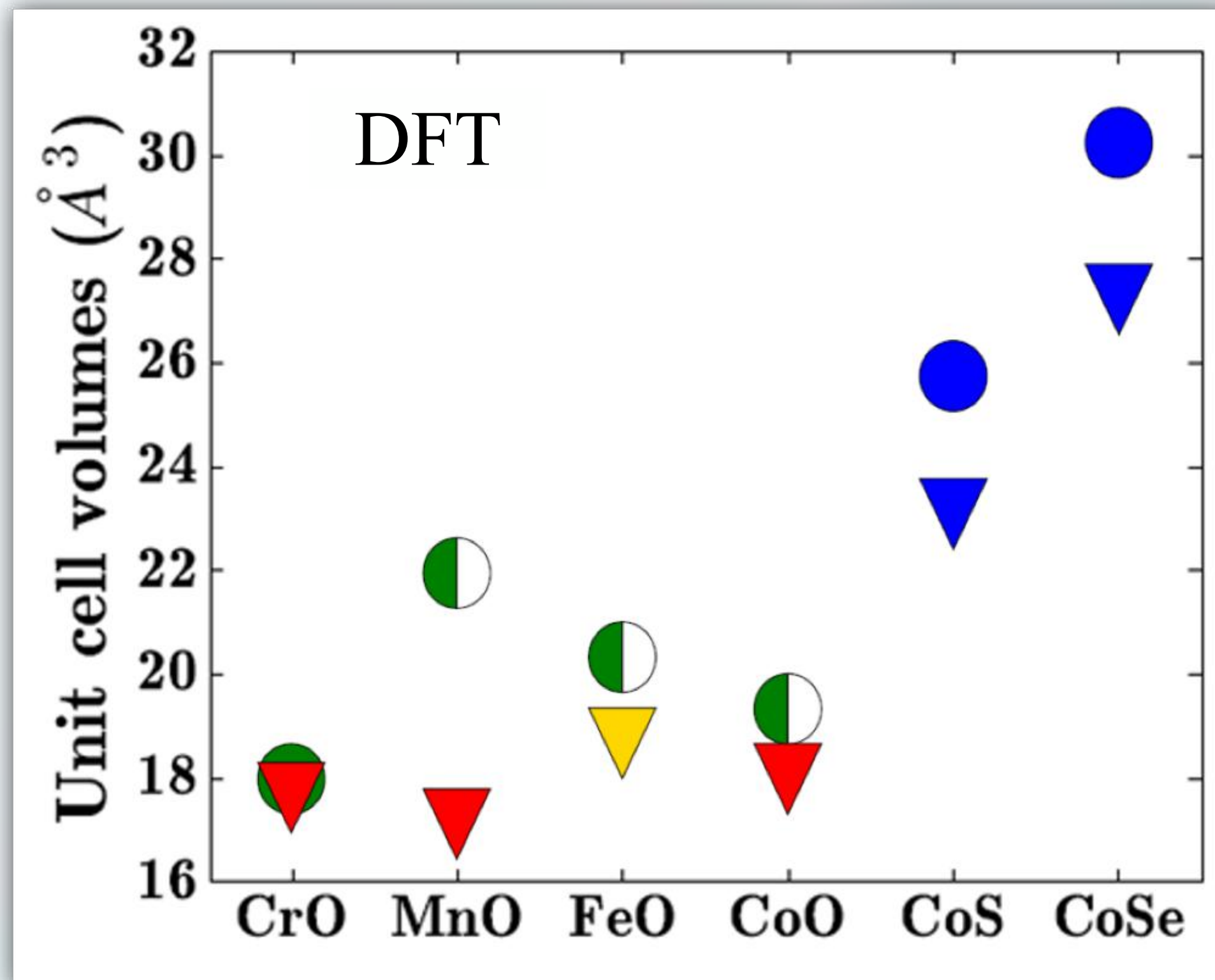


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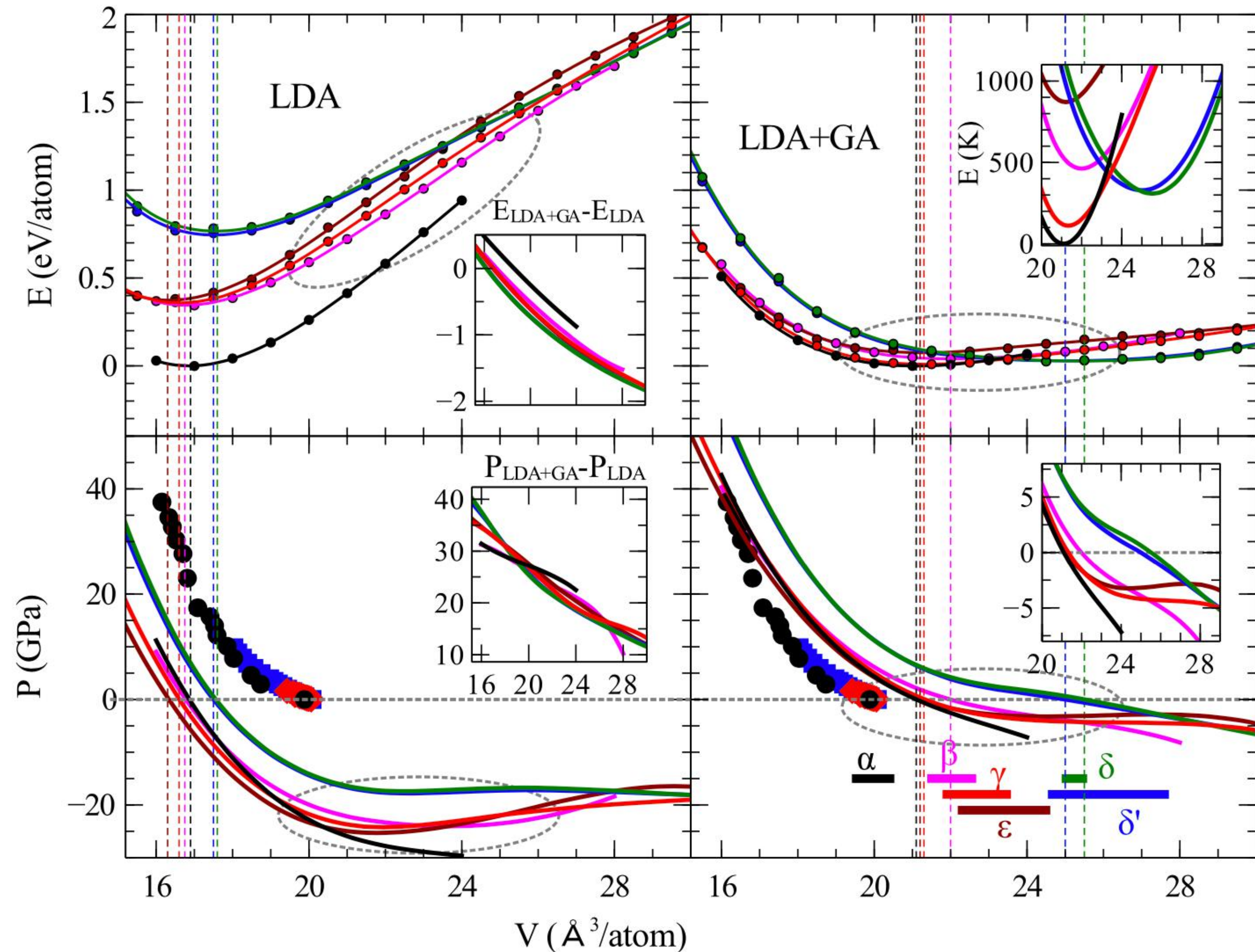
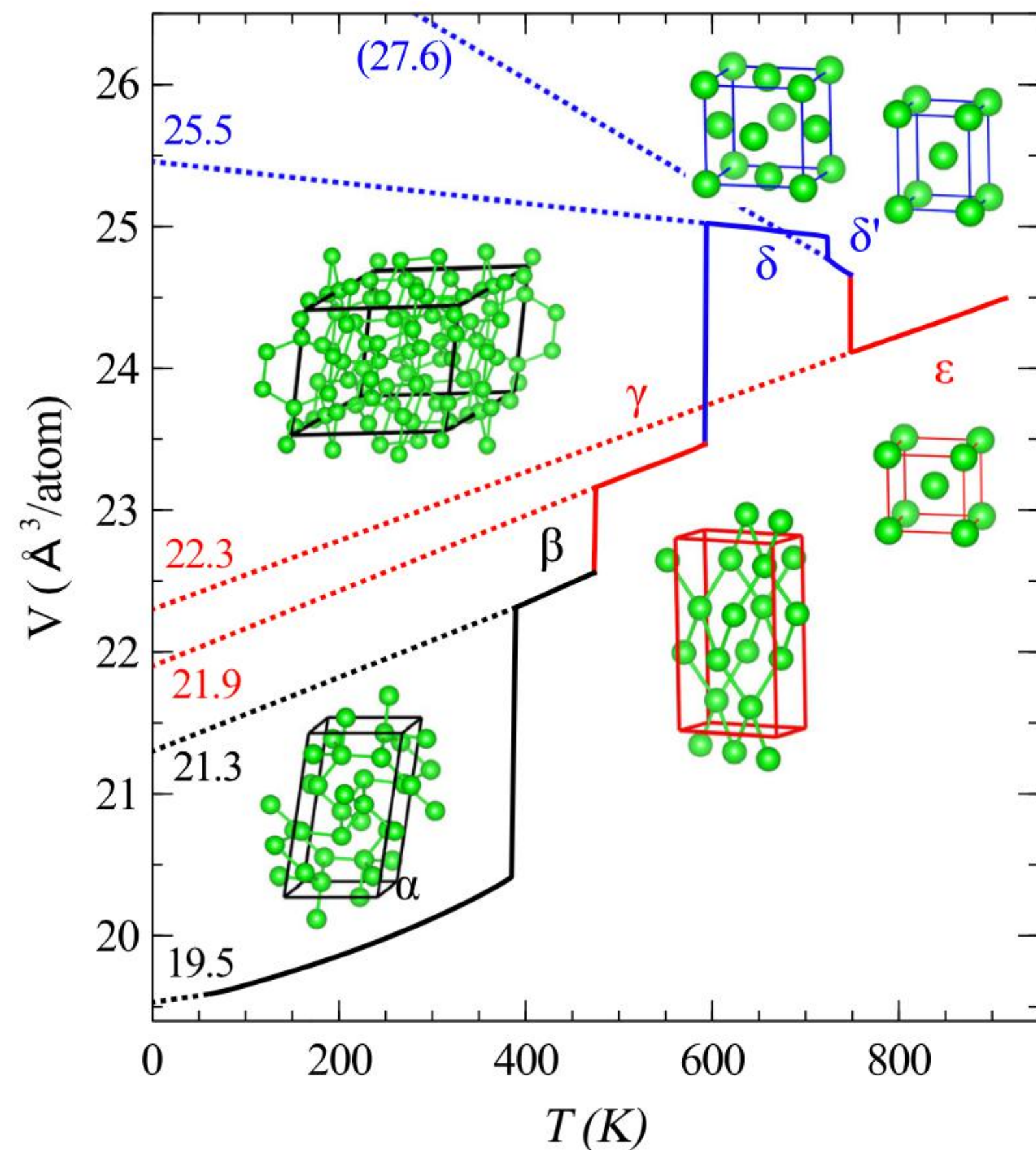


Example: phase diagram of Pu

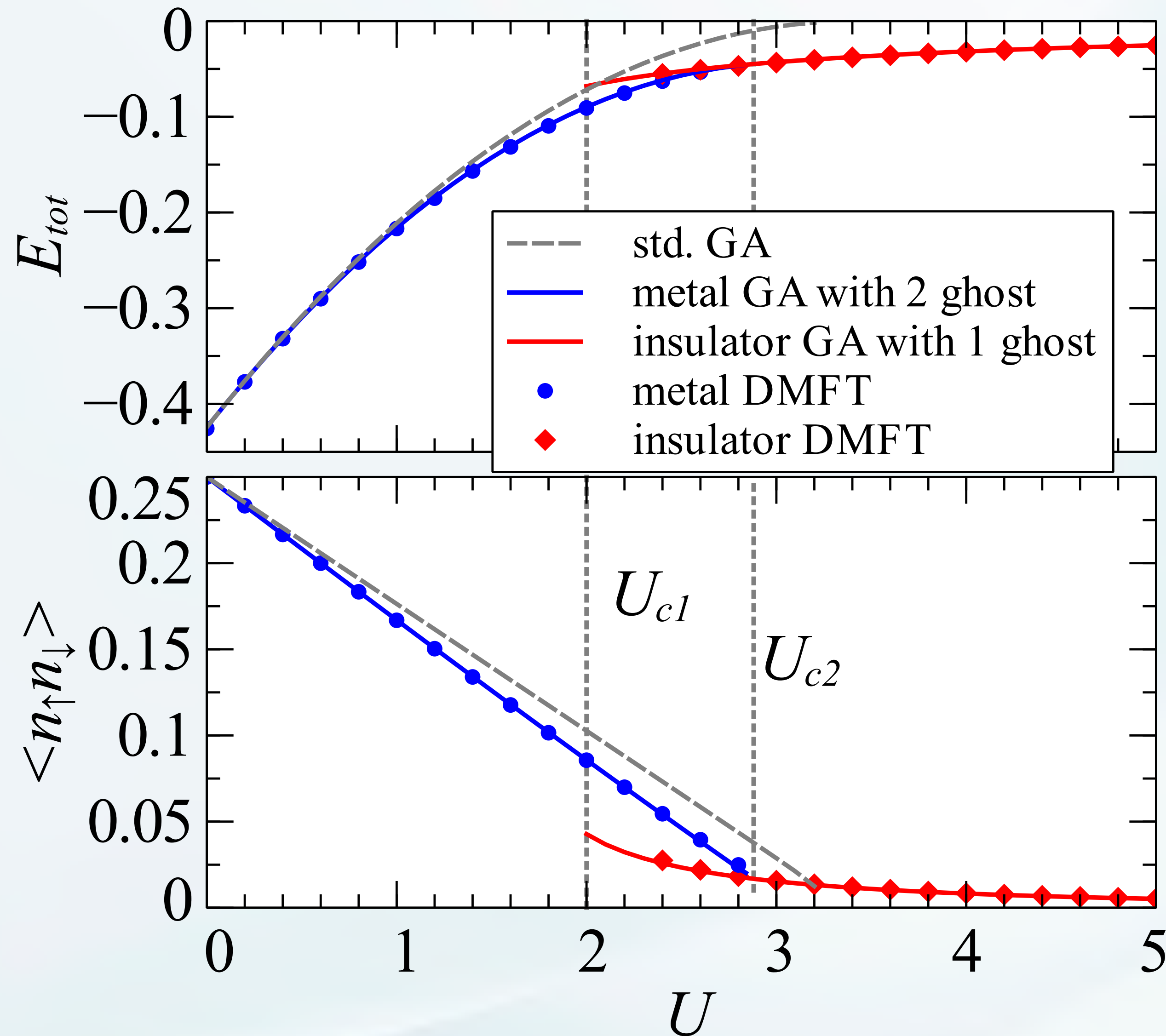
PHYSICAL REVIEW X 5, 011008 (2015)

Phase Diagram and Electronic Structure of Praseodymium and Plutonium

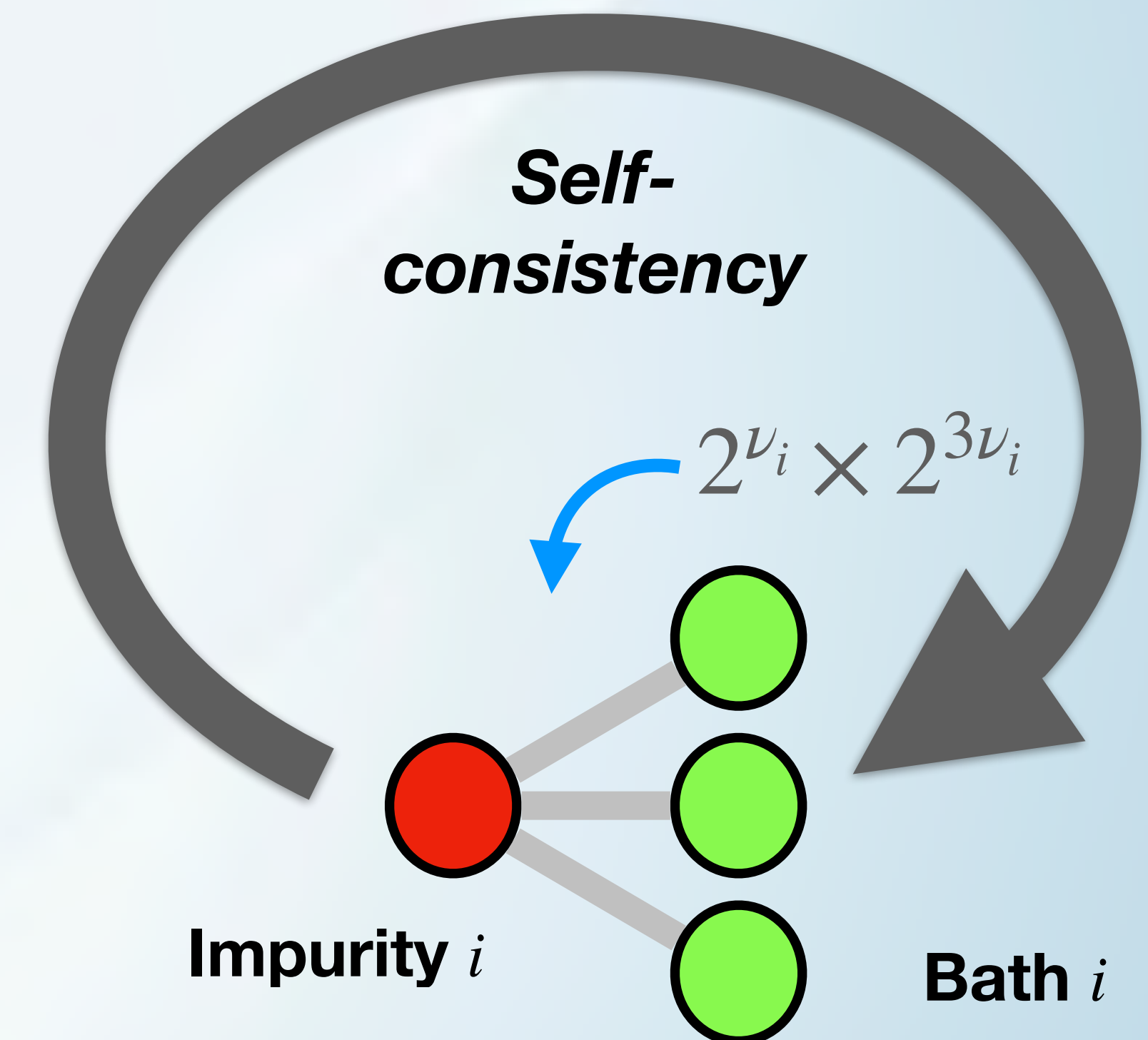
Nicola Lanatà,^{1,*} Yongxin Yao,^{2,†} Cai-Zhuang Wang,² Kai-Ming Ho,² and Gabriel Kotliar¹



Benchmark calculations Hubbard model:



$$\hat{H} = \sum_{RR'} \sum_{\sigma} t_{RR'} c_{R\sigma}^{\dagger} c_{R'\sigma} + \sum_{R\sigma} U \hat{n}_{R\uparrow} \hat{n}_{R\downarrow}$$

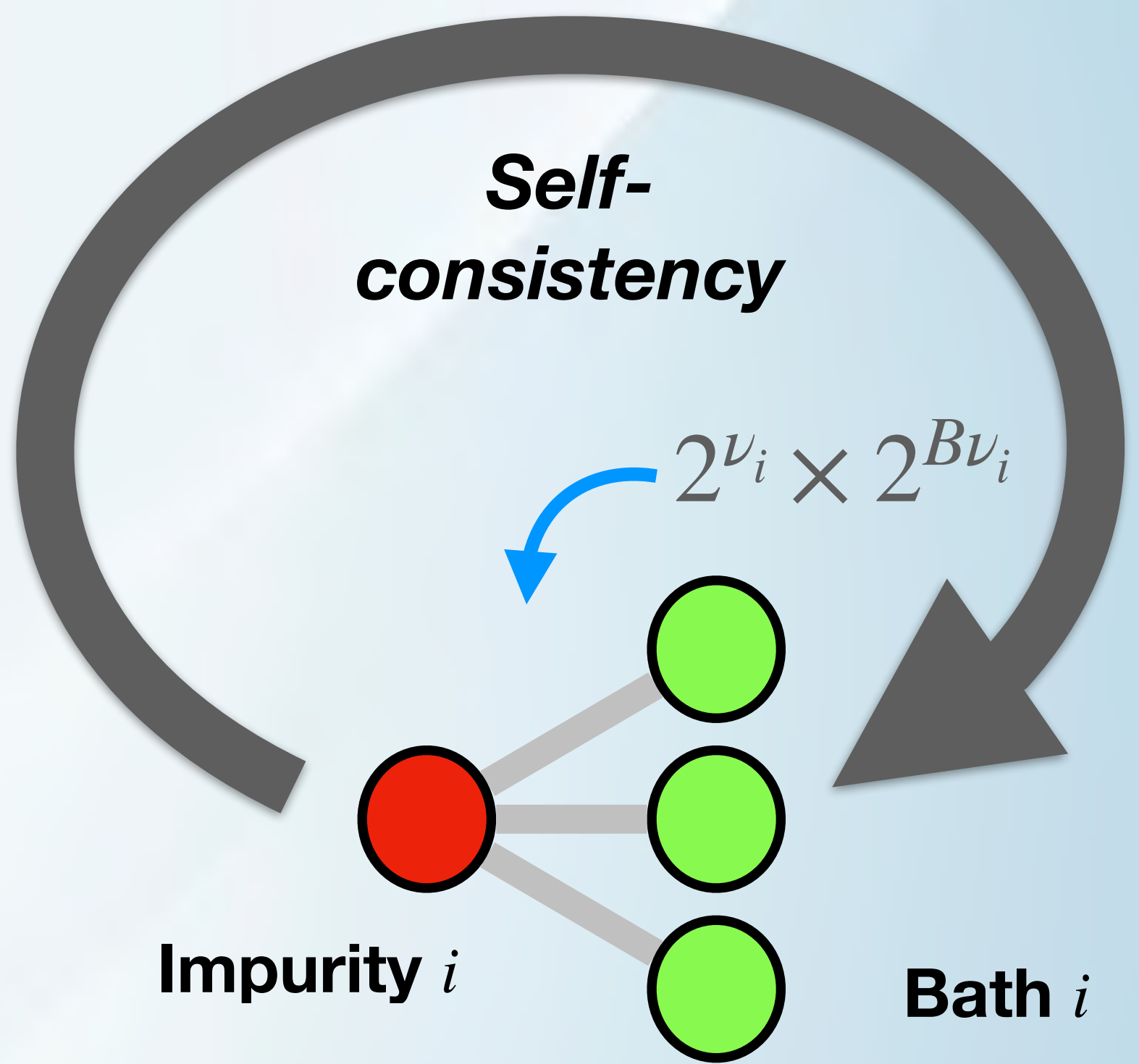
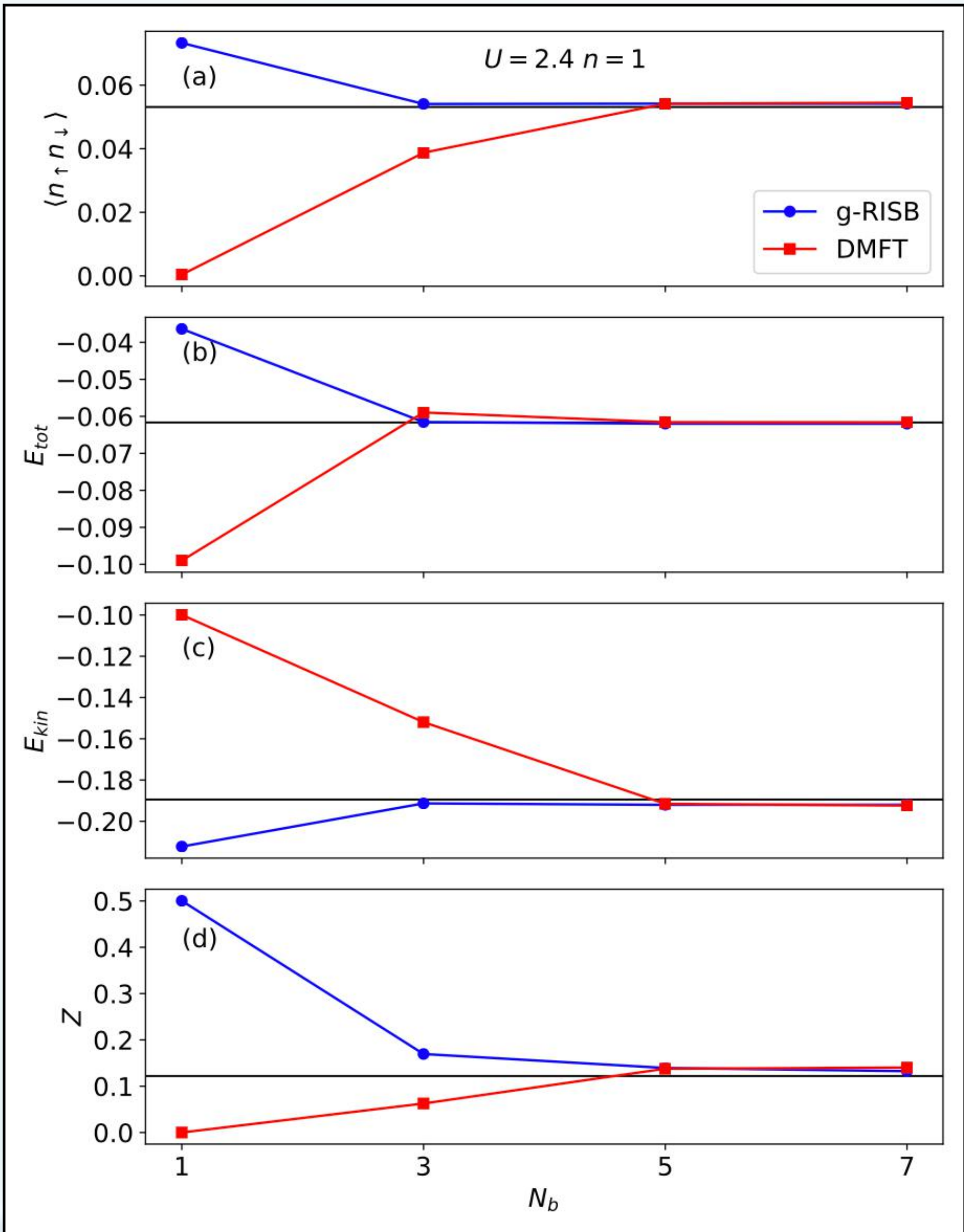


PHYSICAL REVIEW B **96**, 195126 (2017)

Emergent Bloch excitations in Mott matter

Nicola Lanatà,¹ Tsung-Han Lee,¹ Yong-Xin Yao,² and Vladimir Dobrosavljević¹

Benchmark calculations Hubbard model:



PHYSICAL REVIEW B **107**, L121104 (2023)

Letter

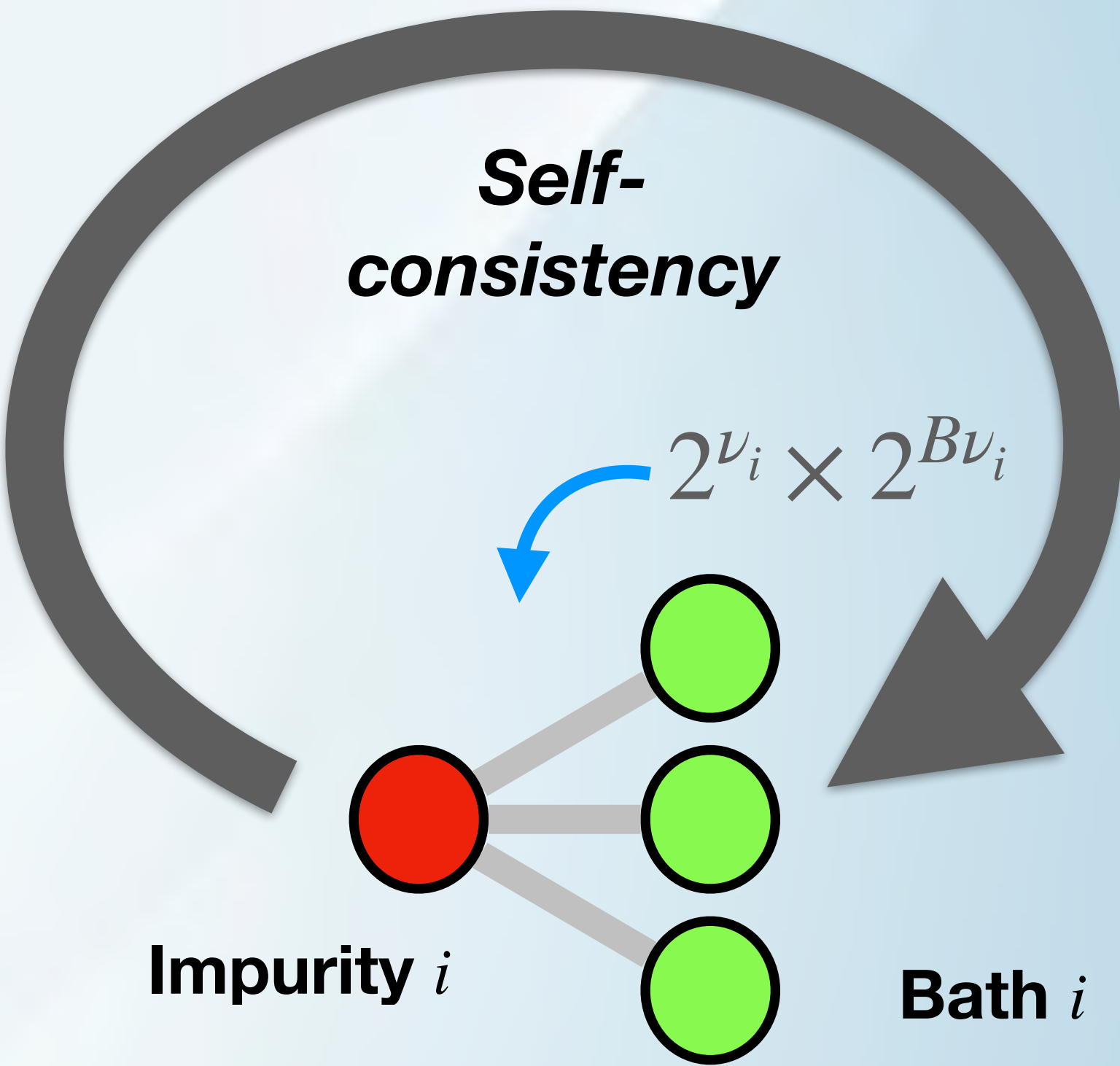
Accuracy of ghost rotationally invariant slave-boson and dynamical mean field theory as a function of the impurity-model bath size

Tsung-Han Lee^{1,*}, Nicola Lanatà², and Gabriel Kotliar^{1,3}

Benchmark calculations Hubbard model:

TABLE I. The g-RISB total energy at $U = 2.4$ and filling $n = 1$ and $n = 0.75$ with different numbers of bath orbitals N_b . The DMFT energy at $\beta = 200$ with the CTQMC solver is shown for comparison.

n	$N_b = 1$	$N_b = 3$	$N_b = 5$	$N_b = 7$	CTQMC
1	-0.03637	-0.06155	-0.06189	-0.06199	-0.0621 ± 0.0001
0.75	-0.21829	-0.23158	-0.23189	-0.23190	-0.2319 ± 0.0001



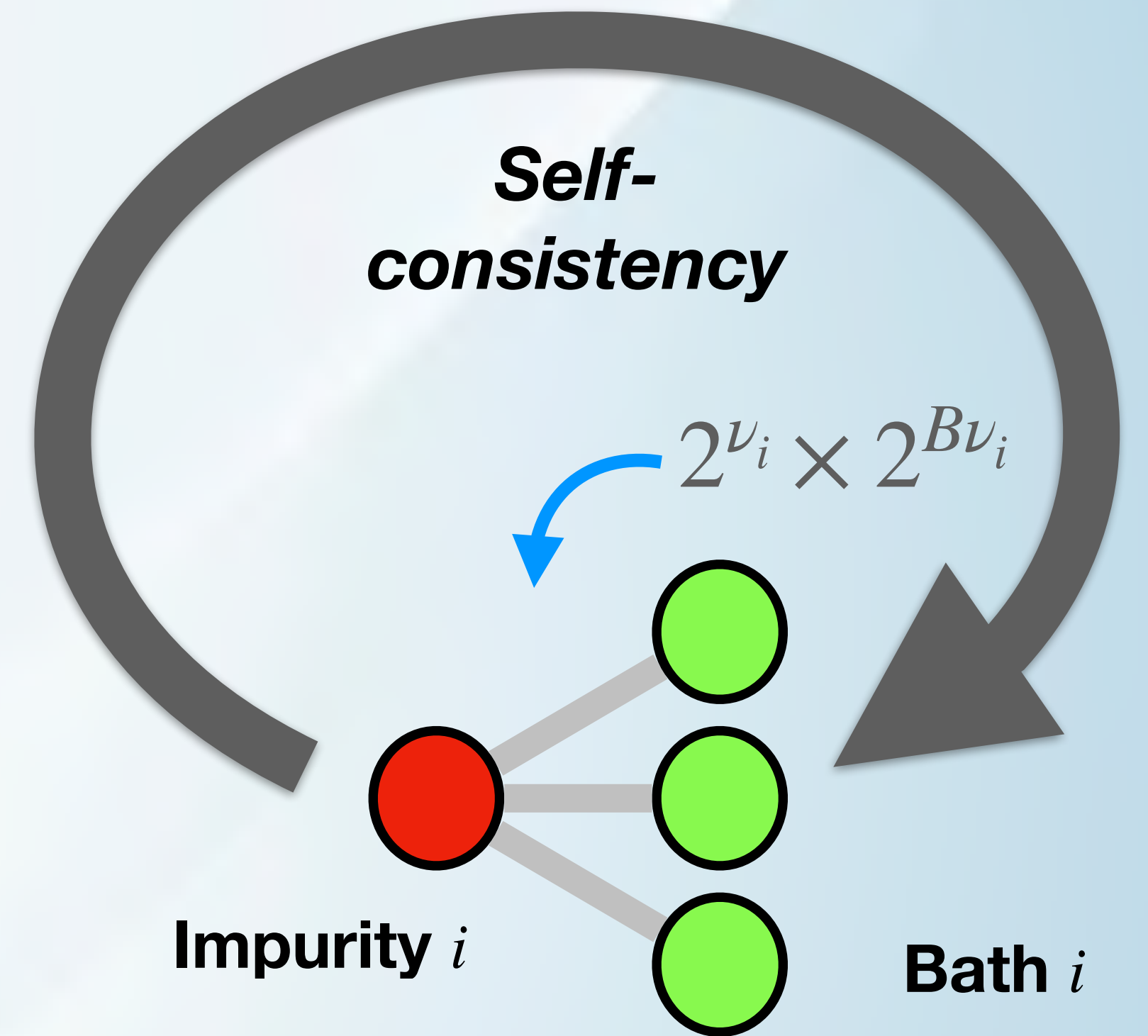
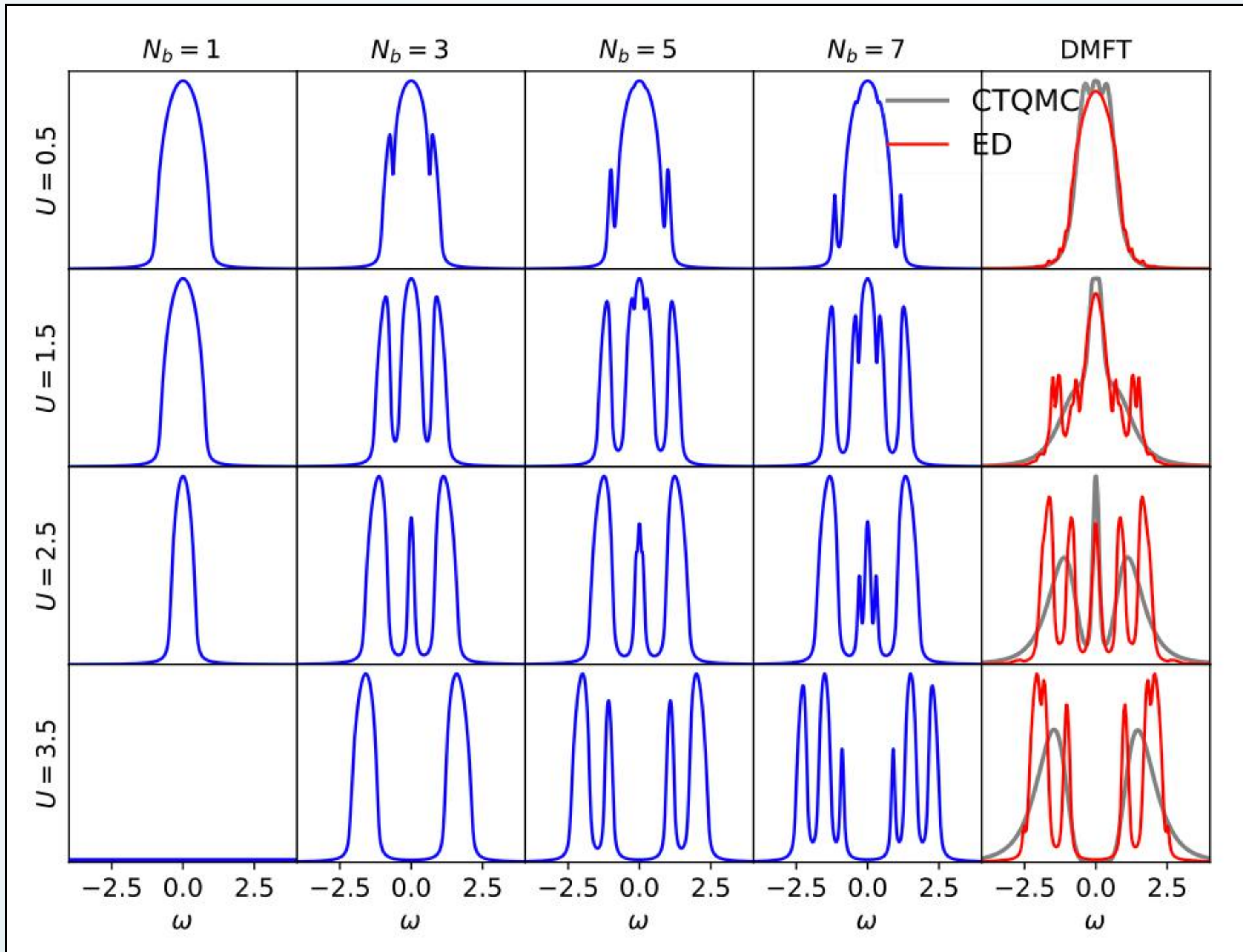
PHYSICAL REVIEW B **107**, L121104 (2023)

Letter

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PHYSICAL REVIEW B **107**, L121104 (2023)

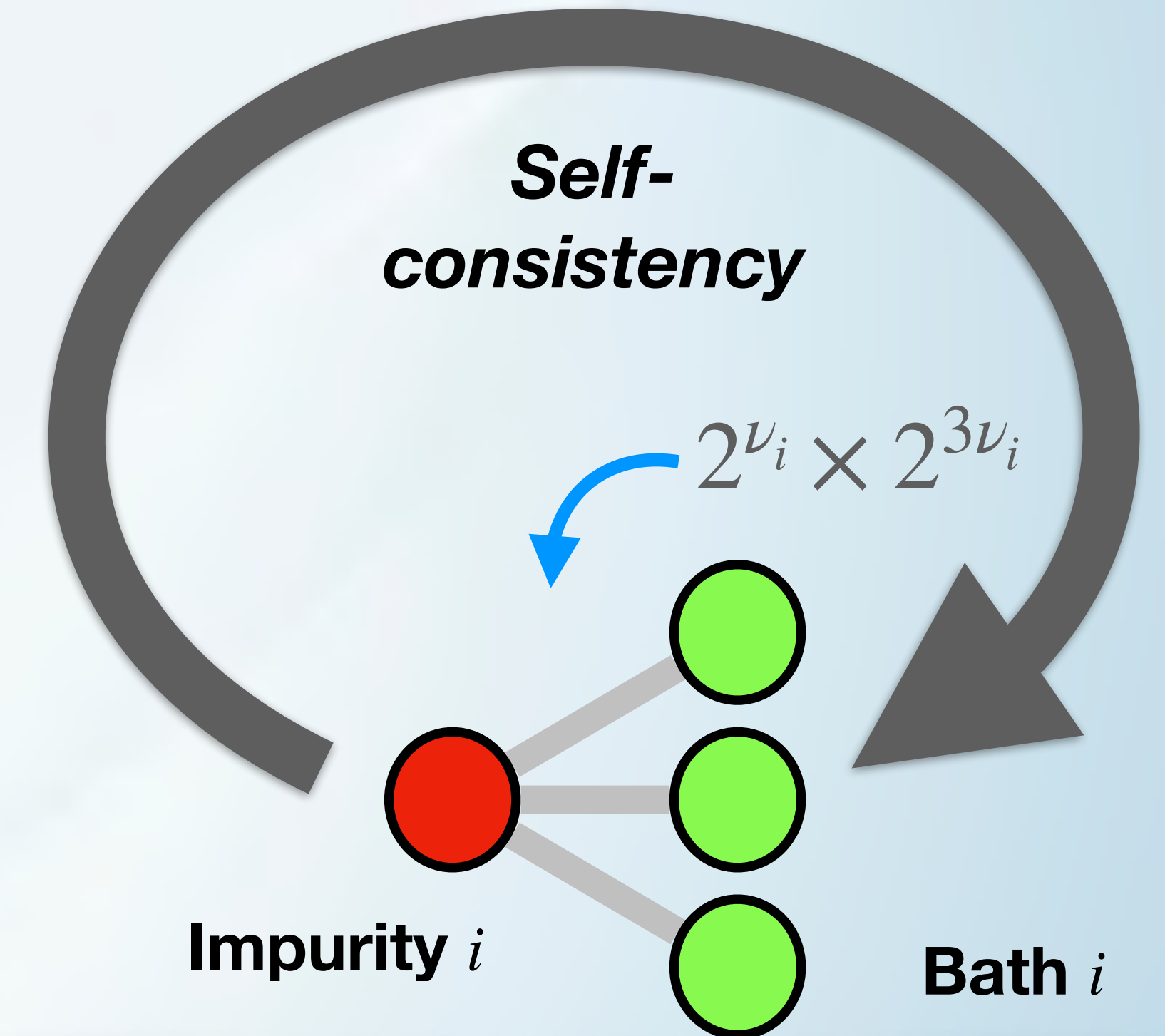
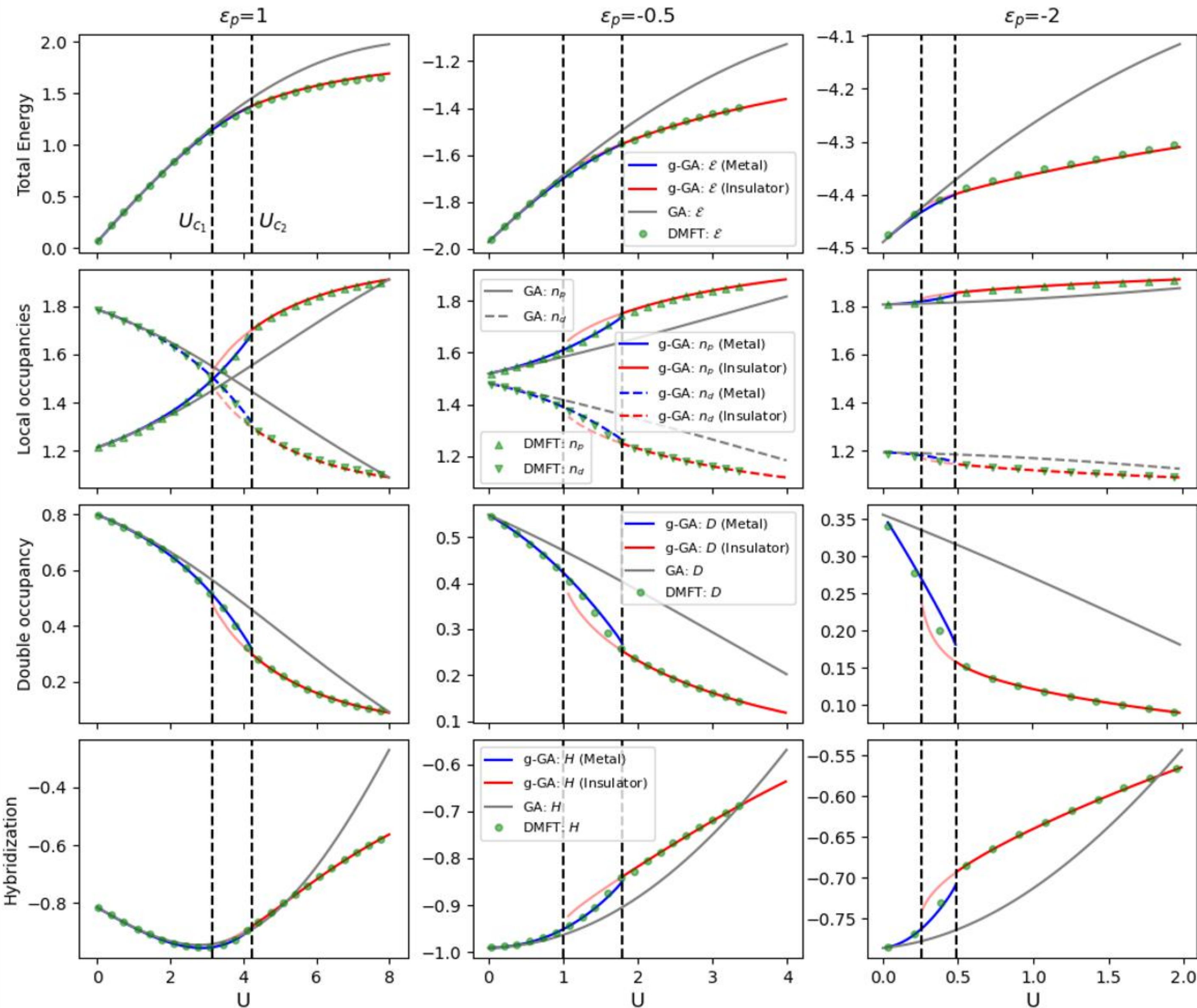
Letter

Accuracy of ghost rotationally invariant slave-boson and dynamical mean field theory as a function of the impurity-model bath size

Tsung-Han Lee^{1,*}, Nicola Lanatà², and Gabriel Kotliar^{1,3}

Benchmark calculations ALM:

$$\hat{H} = \sum_{ij} \sum_{\sigma} (t_{ij} + \delta_{ij} \epsilon_p) p_{i\sigma}^{\dagger} p_{j\sigma} + \sum_i \frac{U}{2} (\hat{n}_{di} - 1)^2 \\ + V \sum_{i\sigma} (p_{i\sigma}^{\dagger} d_{i\sigma} + \text{H.c.}) - \mu \sum_i \hat{N}_i$$



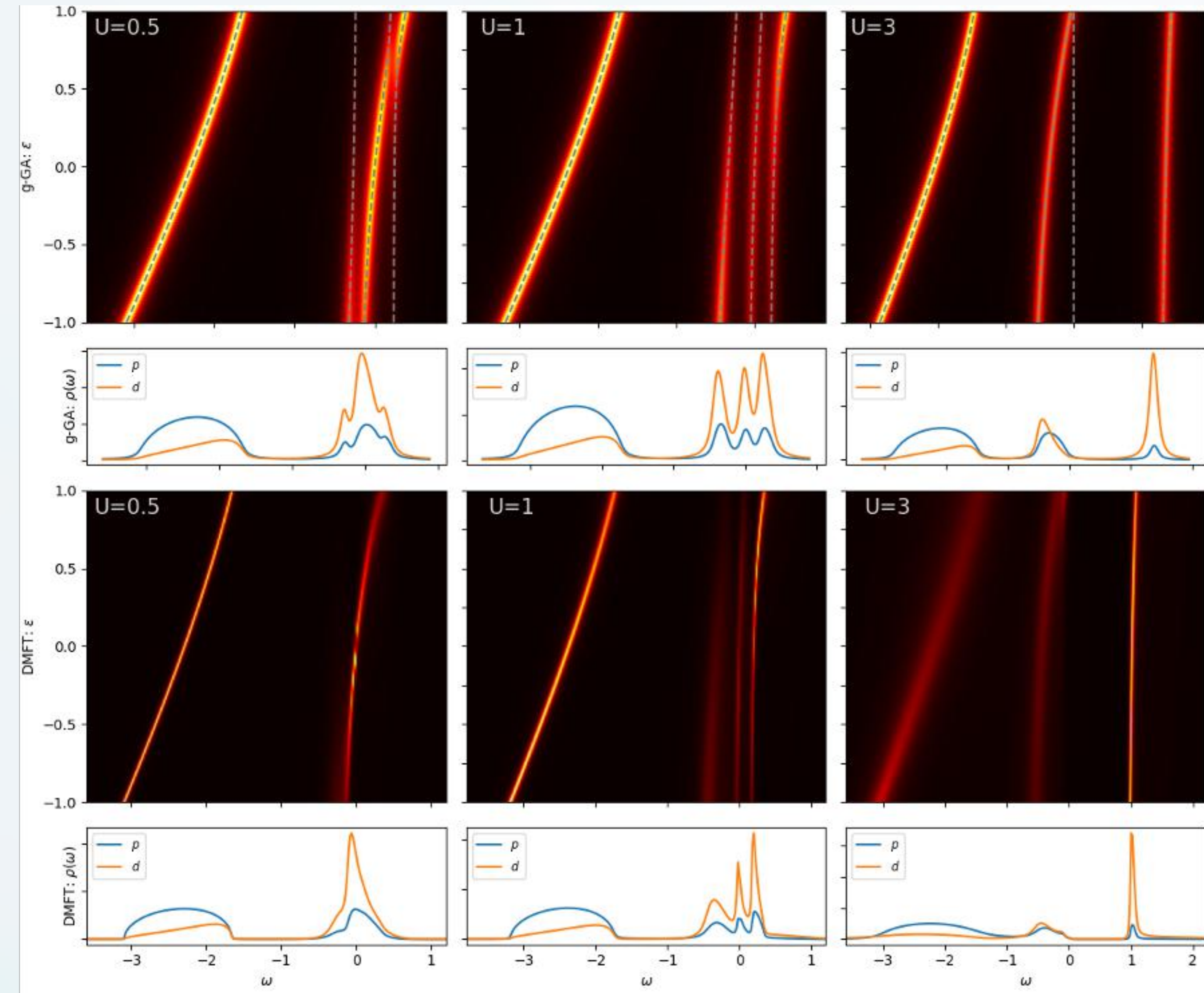
PHYSICAL REVIEW B **104**, L081103 (2021)

Letter

Quantum embedding description of the Anderson lattice model with the ghost Gutzwiller approximation

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Analytical (approximate) expression for self-energy

$$\Sigma_{dd}^{\text{g-GA}}(\omega) = \mu + \frac{U}{2} + \frac{l_1}{r_1^2} - \omega \frac{1 - r_1^2}{r_1^2} + \frac{(\omega - l_1)^2}{r_1^4} \left[(\omega - l_3) r_2^2 + (\omega - l_2) r_3^2 \right] \left[(\omega - l_2)(\omega - l_3) + \frac{\omega - l_1}{r_1^2} (r_2^2(\omega - l_3) + r_3^2(\omega - l_2)) \right]^{-1}$$

Time-dependent gGA

Our goal is to extremize w.r.t. $\{\Lambda_i\}$, $|\Psi_0\rangle$:

$$S = \int_{t_i}^{t_f} dt \langle \Psi_G(t) | i\partial_t - \hat{H} | \Psi_G(t) \rangle$$

PRL **105**, 076401 (2010)

PHYSICAL REVIEW LETTERS

week ending
13 AUGUST 2010

Time-Dependent Mean Field Theory for Quench Dynamics in Correlated Electron Systems

Marco Schiró¹ and Michele Fabrizio^{1,2}

PHYSICAL REVIEW RESEARCH **5**, L032023 (2023)

Letter

Time-dependent ghost Gutzwiller nonequilibrium dynamics

Daniele Guerzi¹, Massimo Capone^{2,3} and Nicola Lanatà^{4,1,*}

$$\hat{H} = \frac{U}{2} \sum_i (\hat{n}_i - 1)^2 - J \sum_{\langle i, j \rangle} \sum_{\sigma=\uparrow, \downarrow} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$

$$\begin{aligned} \mathcal{L} = & \frac{1}{\mathcal{N}} \langle \Psi_0 | i\partial_t - \hat{H}_{\text{qp}} | \Psi_0 \rangle + \langle \Phi | i\partial_t - \hat{H}_{\text{emb}} | \Phi \rangle \\ & + \left[\sum_{\sigma=\uparrow, \downarrow} \sum_{a, b=1}^{\mathcal{B}} \Lambda_{ab}^c \Delta_{ab} \right. \\ & \left. + \sum_{\sigma=\uparrow, \downarrow} \sum_{c, a=1}^{\mathcal{B}} \left(\mathcal{D}_a \mathcal{R}_c [\Delta(1 - \Delta)]_{ca}^{\frac{1}{2}} + \text{c.c.} \right) \right] \end{aligned}$$

$$\hat{H} = \frac{U}{2} \sum_i (\hat{n}_i - 1)^2 - J \sum_{\langle i,j \rangle} \sum_{\sigma=\uparrow,\downarrow} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$

$$[i\partial_t - \hat{H}_{\text{emb}}]|\Phi\rangle = 0,$$

$$i\partial_t n_{ab}(\omega) = \omega \sum_{c=1}^{\mathcal{B}} [\mathcal{R}_b \mathcal{R}_c^\dagger n_{ac}(\omega) - \mathcal{R}_c \mathcal{R}_a^\dagger n_{cb}(\omega)],$$

$$\int_{-D}^D d\omega \rho(\omega) \omega [\mathcal{R}^\dagger {}^t n(\omega)]_{1a} = \sum_{c,a=1}^{\mathcal{B}} \mathcal{D}_c [\Delta(1-\Delta)]_{ac}^{\frac{1}{2}},$$

$$\sum_{c,b=1}^{\mathcal{B}} \frac{\partial}{\partial d_s} ([\Delta(1-\Delta)]_{cb}^{\frac{1}{2}} \mathcal{D}_b \mathcal{R}_c + \text{c.c.}) + l_s^c = 0,$$

$$\langle \Phi | \hat{c}_\sigma^\dagger \hat{f}_{a\sigma} | \Phi \rangle - \sum_{c=1}^{\mathcal{B}} [\Delta(1-\Delta)]_{ca}^{\frac{1}{2}} \mathcal{R}_c = 0,$$

$$\langle \Phi | \hat{f}_{b\sigma} \hat{f}_{a\sigma}^\dagger | \Phi \rangle - \Delta_{ab} = 0,$$

$$\hat{H} = \frac{U}{2} \sum_i (\hat{n}_i - 1)^2 - J \sum_{\langle i,j \rangle} \sum_{\sigma=\uparrow,\downarrow} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.})$$

