

# Green functions in the renormalized many-body perturbation theory

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# Outline

- 1 Many-body interacting electrons
- 2 Renormalized perturbation theory
  - Static renormalizations
  - Dynamical corrections & Green functions
  - Fundamental equations
- 3 1P approach - renormalization of perturbation expansion
  - Baym-Kadanoff construction
  - Ambiguity in relating 1P & 2P functions
- 4 2P approach - Mean-field theory with 2P self-consistency
  - 2P vertex & 2P self-consistency
  - Reduced parquet equations - effective interaction
  - One-particle functions and self-energies
- 5 Conclusions



# Systems of interacting quantum particles

- 1 Indistinguishable particles – exchange interaction  
Individual quantum particles cannot be followed  
Coherent many-body state (fluid) instead
- 2 Measurable only asymptotic (scattering) states  
Theoretical picture – separable quasiparticles
- 3 Thermodynamics is coupled with dynamics due to  
non-trivial quantum many-body vacuum

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 Theoretical picture – separable quasiparticles
  - 3 Thermodynamics is coupled with dynamics due to  
 non-trivial quantum many-body vacuum
- Major question: How do quasiparticles emerge from the many-body coherent state?



# Systems of interacting quantum particles

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Theoretical picture – separable quasiparticles
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non-trivial quantum many-body vacuum
- Perturbation theory: A relation between interacting  
and renormalized non-interacting systems



# Representing quantum many-body systems

- Fock space of quantum states – Bose & Fermi statistics
- Grand canonical statistical ensemble ( $N \approx 10^{23}$ )
- **Basis:** (anti)symmetrized products of eigenstates of the **one-particle Hamiltonian**  $\hat{H}_0$
- Creation & annihilation operators:  $a_\alpha^\dagger, a_\alpha$
- Fundamental commutation relations

$$[a_\alpha, a_\beta^\dagger]_{\pm} \equiv a_\alpha a_\beta^\dagger \pm a_\beta^\dagger a_\alpha = \delta_{\alpha,\beta}$$

- Particle interaction  $\hat{V}$  – **bare dynamical scattering potential** in the Fock space built on eigenstates of  $\hat{H}_0$



# Interacting fermions – generic Hamiltonians

- Single-orbital Hubbard (tight-binding) model for long-range many-body fluctuations

$$\hat{H}_H = \sum_{\mathbf{k}, \sigma} \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

- Falicov-Kimball model for static thermally equilibrated fluctuations

$$\hat{H}_{FK} = -t \sum_{\langle ij \rangle} c_i^\dagger c_j + \sum_i \epsilon_i f_i^\dagger f_i + U \sum_i c_i^\dagger c_i f_i^\dagger f_i$$

- Single impurity Anderson model for local quantum fluctuations

$$\hat{H}_{SIAM} = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + E_f \sum_{\sigma} f_{\sigma}^\dagger f_{\sigma} + U f_{\uparrow}^\dagger f_{\uparrow} f_{\downarrow}^\dagger f_{\downarrow} + \sum_{i, \sigma} \left( V_i c_{i\sigma}^\dagger f_{\sigma} + V_i^* f_{\sigma}^\dagger c_{i\sigma} \right)$$



# Quantum fluctuations

Quantum fluctuations:  $[\Delta\hat{H}, \hat{H}_0] \neq 0$

Effect of correction  $\Delta\hat{H} = \hat{H}_I + \hat{H}_{\text{ext}}$   
to be determined

$\hat{H}_0$  - Exact Solution

$\hat{H}_{\text{ext}}$  - Linear Response Theory

$\hat{H}_I$  - Perturbation Theory



# Thermodynamic potential & perturbation

- Grand potential

$$\Omega[H_0, H_I, H_{\text{ext}}] = -\beta^{-1} \log \text{Tr} \left[ \exp \left\{ -\beta \left( \hat{H}_0 - \mu \hat{N} + \underbrace{\hat{H}_I + \hat{H}_{\text{ext}}}_{\text{perturbation}} \right) \right\} \right]$$

- External perturbation for accessible (quantum) phases

$$\begin{aligned} \hat{H}_{\text{ext}} = \int d1 d2 \left\{ \sum_{\sigma} \eta_{\sigma}^{\parallel}(1, 2) c_{\sigma}^{\dagger}(1) c_{\sigma}(2) \dots \text{conserves charge \& spin} \right. \\ + \left[ \eta^{\perp}(1, 2) c_{\uparrow}^{\dagger}(1) c_{\downarrow}(2) + \bar{\eta}^{\perp}(1, 2) c_{\downarrow}^{\dagger}(2) c_{\uparrow}(1) \right] \dots \text{conserves charge} \\ + \left[ \bar{\xi}^{\perp}(1, 2) c_{\uparrow}(1) c_{\downarrow}(2) + \xi^{\perp}(1, 2) c_{\downarrow}^{\dagger}(2) c_{\uparrow}^{\dagger}(1) \right] \dots \text{conserves spin} \\ \left. + \sum_{\sigma} \left[ \bar{\xi}_{\sigma}^{\parallel}(1, 2) c_{\sigma}(1) c_{\sigma}(2) + \xi_{\sigma}^{\parallel}(1, 2) c_{\sigma}^{\dagger}(1) c_{\sigma}^{\dagger}(2) \right] \right\} \end{aligned}$$

with  $1 = (\mathbf{R}_1, \tau_1)$  and  $\eta, \xi$  symmetry-breaking fields



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with  $1 = (\mathbf{R}_1, \tau_1)$  and  $\eta, \xi$  symmetry-breaking fields



# Weak-coupling mean-field approximation

- Gibbs-Bogoljubov inequality

$$\Omega \{ \hat{H} \} \leq \Omega \{ \hat{H}_0 \} + \langle \Delta \hat{H} \rangle_0$$

- Hartree-Fock parameters (unrestricted)

$$\hat{H}_0 = \sum_{\mathbf{k}, \sigma} \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{i\sigma} (E_{i\sigma} - \mu - \sigma h_i) \hat{n}_{i\sigma}$$

$$\langle \Delta \hat{H} \rangle_0 = \sum_i \left[ U n_{i\uparrow} n_{i\downarrow} - \sum_{\sigma} E_{i\sigma} n_{i\sigma} \right]$$

where  $n_{i\sigma} = \langle \hat{n}_{i\sigma} \rangle_0$

- Parameters  $E_{i\sigma}$  minimize the r.h.s. of GB inequality
- Homogeneous solution:  $E_{\sigma} = U n_{-\sigma}$



# Strong-coupling mean-field approximation

- Decomposition of the full Hamiltonian

$$\hat{H} = \sum_{\alpha} \lambda_{\alpha} \hat{H}_{\alpha}$$

- **convexity** of the thermodynamic potential ( $\sum_{\alpha} \lambda_{\alpha} = 1$ )

$$\sum_{\alpha} \lambda_{\alpha} \Omega \{ \hat{H}_{\alpha} \} \leq \Omega \{ \hat{H} \}$$

- Sum of two Falicov-Kimball models

$$\lambda_{\alpha} \hat{H}_{\alpha} = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) c_{\mathbf{k}\alpha}^{\dagger} c_{\mathbf{k}\alpha} + \lambda_{\alpha} \sum_{i\sigma} (E_{\sigma}^{\alpha} - \mu_{\sigma}) \hat{n}_{i\sigma} + U \lambda_{\alpha} \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

- Restriction:  $\sum_{\alpha} \lambda_{\alpha} E_{\sigma}^{\alpha} = 0$
- Infinite spatial dimensions (mean-field solution)
  - **thermodynamically consistent Hubbard III**



# Averaged quantum fluctuations – Fermi liquid theory

1

- Adiabatic switching of interaction – **number** of low-lying excitations identical with the Fermi gas
- Quasiparticle excitations  $\delta n_{\mathbf{k},\sigma}(t)$  with Fermi statistics
- Macroscopic (time averaged) energy functional – Landau scattering function  $f(\mathbf{k}, \mathbf{k}'; \sigma, \sigma')$

$$E[\delta n_{\mathbf{k},\sigma}] = \sum_{\mathbf{k},\sigma} (\epsilon(\mathbf{k}) + V_{\mathbf{k}\sigma}) \overline{\delta n_{\mathbf{k},\sigma}(t)} + \frac{1}{2V} \sum_{\mathbf{k}\mathbf{k}',\sigma\sigma'} f(\mathbf{k}, \mathbf{k}'; \sigma, \sigma') \overline{\delta n_{\mathbf{k},\sigma}(t) \delta n_{\mathbf{k}',\sigma'}(t)}$$



# Averaged quantum fluctuations – Fermi liquid theory

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- Ergodic theorem:  $\overline{\delta n_{\mathbf{k},\sigma}(t)} = \langle \delta n_{\mathbf{k},\sigma} \rangle$

- Linear, Hartree decoupling

$$\overline{\delta n_{\mathbf{k},\sigma}(t) \delta n_{\mathbf{k}',\sigma'}(t)} = \langle \delta n_{\mathbf{k},\sigma} \rangle \langle \delta n_{\mathbf{k}',\sigma'} \rangle$$

- Fermi statistics

$$\langle \delta n_{\mathbf{k},\sigma} \rangle = \frac{1}{\exp\{-\beta(\epsilon_{\mathbf{k}} + V_{\mathbf{k}\sigma} + U_{\mathbf{k},\sigma})\} + 1} - \theta(k_F - k)$$

- Effective interaction due to external perturbations  $V_{\mathbf{k}\sigma}$

$$U_{\mathbf{k},\sigma} = \frac{1}{V} \sum_{\mathbf{k}',\sigma'} f(\mathbf{k}, \mathbf{k}'; \sigma, \sigma') \langle \delta n_{\mathbf{k}',\sigma'} \rangle$$

Landau scattering function  $f$  either experiment (phenomenology) or perturbations theory (microscopic)



# Many-body perturbation theory – Green functions

- Unperturbed Hamiltonian  $\hat{H}_0 = \sum_{\mathbf{k}\sigma} (\epsilon(\mathbf{k}) - \mu - \sigma h) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma}$
- Time-dependent operators  $c_{\mathbf{k}\sigma}(\tau) = \exp\{\tau \hat{H}_0\} c_{\mathbf{k}\sigma} \exp\{-\tau \hat{H}_0\}$   
 $c_{\mathbf{k}\sigma}^\dagger(\tau) = \exp\{\tau \hat{H}_0\} c_{\mathbf{k}\sigma}^\dagger \exp\{-\tau \hat{H}_0\}$
- Notice:  $c_{\mathbf{k}\sigma}^\dagger(\tau) \neq c_{\mathbf{k}\sigma}(\tau)^\dagger = c_{\mathbf{k}\sigma}^\dagger(-\tau)$
- Green functions - general matrix elements

$$G_{(n)}(1, \dots, n, \bar{n}, \dots, \bar{1}) = \frac{(-1)^n}{\hbar^n} \frac{1}{Z} \text{Tr}_0 \mathcal{T} \left[ c(1) \dots c(n), c^\dagger(\bar{n}) \dots c^\dagger(\bar{1}) \exp \left\{ - \int_0^\beta d\tau \hat{H}_I(\tau) \right\} \right]$$

- Trace:  $\text{Tr}_0 \hat{X} = \text{Tr} \left[ \hat{X} \exp\{-\beta(\hat{H}_0)\} \right]$
- Partition sum:  $Z = \text{Tr}_0 \mathcal{T} \exp \left\{ - \int_0^\beta d\tau \hat{H}_I(\tau) \right\}$



# Many-body perturbation theory - Green functions

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- Notice:  $c_{\mathbf{k}\sigma}^\dagger(\tau) \neq c_{\mathbf{k}\sigma}(\tau)^\dagger = c_{\mathbf{k}\sigma}^\dagger(-\tau)$
- **Green functions** - general matrix elements

$$G_{(n)}(1, \dots, n, \bar{n}, \dots, \bar{1}) = \frac{(-1)^n}{\hbar^n}$$

$$\frac{1}{\mathcal{Z}} \text{Tr}_0 \mathcal{T} \left[ c(1) \dots c(n), c^\dagger(\bar{n}) \dots c^\dagger(\bar{1}) \exp \left\{ - \int_0^\beta d\tau \hat{H}_I(\tau) \right\} \right]$$

- Trace:  $\text{Tr}_0 \hat{X} = \text{Tr} \left[ \hat{X} \exp\{-\beta(\hat{H}_0)\} \right]$
- Partition sum:  $\mathcal{Z} = \text{Tr}_0 \mathcal{T} \exp \left\{ - \int_0^\beta d\tau \hat{H}_I(\tau) \right\}$





# Matsubara formalism – diagonal bare propagators

- Unperturbed Green function in a diagonal form

$$G_{\sigma}^{(0)}(\mathbf{k}, i\omega_n) = \frac{1}{i\omega_n + \mu + \sigma h - \epsilon(\mathbf{k})}$$

- Functional-integral representation of the partition sum

$$\mathcal{Z} [G^{(0)}, U] = \int \mathcal{D}\psi \mathcal{D}\psi^* \exp \left\{ \sum_{\mathbf{k}} \sum_{n\sigma} e^{i\omega_n 0^+} \psi_{n\sigma}^*(\mathbf{k}) \left[ \underbrace{i\omega_n + \mu + \sigma h - \epsilon(\mathbf{k})}_{G_{\sigma}^{(0)}(\mathbf{k}, i\omega_n)^{-1}} \right] \psi_{n\sigma}(\mathbf{k}) - U \sum_i \int_0^{\beta} d\tau \underbrace{\hat{n}_{\uparrow}^d(\tau, \mathbf{R}_i) \hat{n}_{\downarrow}^d(\tau, \mathbf{R}_i)}_{\text{dynamical scatterer}} \right\}$$

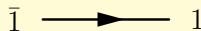
$\psi_{n\sigma}^*(\mathbf{k})$  and  $\psi_{n\sigma}(\mathbf{k})$  are Grassmann variables



# Perturbation expansion - graphical representation

## Perturbation theory - expansion in the interaction strength

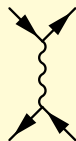
- Particle propagator



- Hole propagator



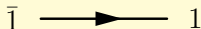
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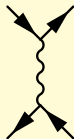
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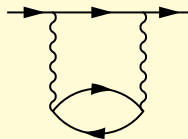
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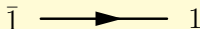
- 1P Scattering



# Perturbation expansion - graphical representation

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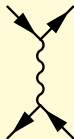
● Particle propagator



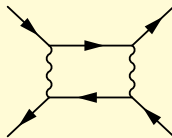
● Hole propagator



● Interaction (photon exchange)



● 2P Scattering



# 1P & 2P Green functions

- Density matrix:  $\hat{\rho}_H = \exp \{ -\beta \hat{H} \} / \text{Tr} \exp \{ -\beta \hat{H} \}$
- One-particle Green function (propagator)

$$G(1\bar{1}) = -\frac{1}{\hbar} \text{Tr} \left\{ \hat{\rho} \mathcal{T} \left[ \hat{\psi}_{\sigma_1}(\mathbf{R}_1, \tau_1) \hat{\psi}_{\sigma_{\bar{1}}}(\mathbf{R}_{\bar{1}}, \tau_{\bar{1}})^\dagger \right] \right\}$$

- Two-particle Green function

$$G_{(2)}(1\bar{1}, 3\bar{3}) = \frac{1}{\hbar^2} \text{Tr} \left\{ \hat{\rho} \mathcal{T} \left[ \hat{\psi}_{\sigma_1}(\mathbf{R}_1, \tau_1) \hat{\psi}_{\sigma_3}(\mathbf{R}_3, \tau_3) \hat{\psi}_{\sigma_{\bar{3}}}(\mathbf{R}_{\bar{3}}, \tau_{\bar{3}})^\dagger \hat{\psi}_{\sigma_{\bar{1}}}(\mathbf{R}_{\bar{1}}, \tau_{\bar{1}})^\dagger \right] \right\}$$



# Schwinger, Dyson & Schwinger-Dyson equations

- **Schwinger equation** - matching 1P & 2P GF

$$G(1, \bar{1}) = G^{(0)}(1, \bar{1}) + \int d\bar{2} d2 G^{(0)}(1, \bar{2}) U(\bar{2} - 2) G_{(2)}(\bar{1}\bar{2}, 22^+)$$

- **Dyson equation** - self energy  $\Sigma$  (dynamical 1P scatterer)

$$G(1, \bar{1}) = G^{(0)}(1 - \bar{1}) + \int d3 d\bar{3} G^{(0)}(1 - \bar{3}) \Sigma(\bar{3}, 3) G(3, \bar{1})$$

- Two-particle vertex  $\Gamma$

$$G_{(2)}(1\bar{1}, 3\bar{3}) = G(1, \bar{1}) G(3, \bar{3}) + \int d1' d\bar{1}' d3' d\bar{3}' G(1, \bar{1}') G(1'\bar{1}') \Gamma(1'\bar{1}', 3'\bar{3}') G(3, \bar{3}') G(3'\bar{3}')$$

Schwinger-Dyson equation: 2P vertex  $\Gamma$  & self-energy  $\Sigma$  used in the Schwinger equation



# Irreducibility in Green functions

## Dynamical scatterers – irreducible functions (vertices)

- **1P reducibility** – cutting **one** particle line splits the diagram in two  
 1P irreducible 1P GF – **self-energy**  $\Sigma$   
 1P irreducible 2P GF – **2P vertex**  $\Gamma$
- **2P reducibility** – cutting **two** particle lines splits the diagram in two
- Three types of 2P irreducibility – 2PIR vertices  $\Lambda^\alpha$  (2P dynamical scatterers)
  - Electron-hole irreducibility:  $\Lambda^{eh}$
  - Electron-electron (hole-hole) irreducibility:  $\Lambda^{ee}$
  - Electron-hole irreducibility of vacuum pairs:  $\Lambda^U$



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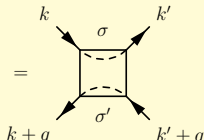
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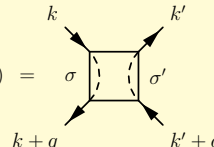




# Direct and transpose 2P vertex

- Direct  $\Gamma$  and transpose  $\Gamma^t$  vertices

$$\Gamma_{\sigma\sigma'}(k, k'; q) =$$


$$\Gamma_{\sigma\sigma'}^t(k, k'; q) =$$


four-vector notation:  $k = (\mathbf{k}, i\omega_n)$  for fermions,  
 $q = (\mathbf{q}, i\nu_m)$  for bosons

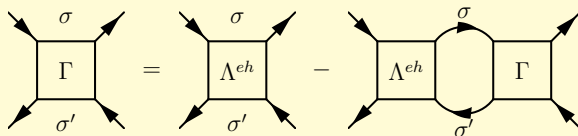
- Charge & spin are conserved in normal vertices
- **Symmetry relation:**  $\Gamma_{\sigma\sigma'}^t(k, k'; q) = -\Gamma_{\sigma\sigma}(k, k+q; k'-k)$

2P scatterings – Bethe-Salpeter equations

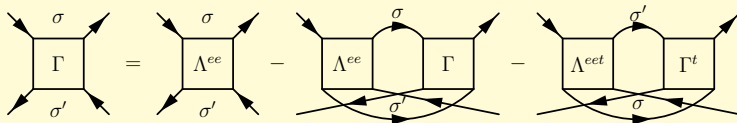


# 2P irreducible vertices – Bethe-Salpeter equations I

- Electron-hole Bethe-Salpeter equation

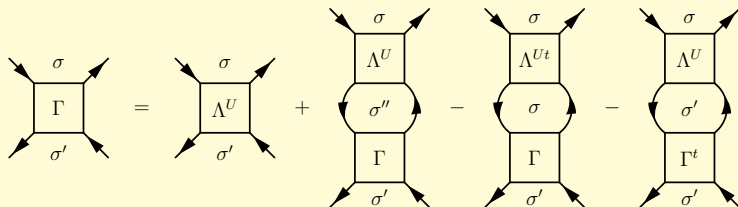


- Electron-electron Bethe-Salpeter equation



# 2P irreducible vertices – Bethe-Salpeter equations II

- Bethe-Salpeter equation with virtual electron-hole pairs (mixes normal and transpose vertices)



- Double-prime spin indices are dummy (integration) variables

# 1P & 2P renormalizations

Renormalizations of the perturbation expansion :  
self-consistent equations for irreducible functions

- 1P self-consistency:  $\Sigma[G^{(0)}, U] \rightarrow \Sigma[G, U]$   
 $\Lambda^\alpha[G^{(0)}, U] = \Lambda^\alpha[G, U]$
- 2P self-consistency:  $\Sigma[G, U] \rightarrow \Sigma[G, \Lambda^\alpha]$   
 $\Lambda^\alpha[G, U] \rightarrow \Lambda^\alpha[G, \Lambda^\beta]$
- 1P propagator

$$G_\sigma(\mathbf{k}, i\omega_n) = \frac{1}{i\omega_n + \mu_\sigma - \epsilon(\mathbf{k}) - \Sigma_\sigma(\mathbf{k}, i\omega_n)}$$

$$(\mu_\sigma = \mu + \sigma h)$$

1P self-consistency not enough to control critical behavior!



# Baym-Kadanoff - 1P self-consistency

- Renormalized grand potential - generating functional

$$\frac{1}{N}\Omega[G, \Sigma] = -\frac{1}{\beta N} \sum_{\sigma, \omega_n, \mathbf{k}} e^{i\omega_n 0^+} \{ \ln [i\omega_n + \mu_\sigma - \epsilon(\mathbf{k}) - \Sigma_\sigma(\mathbf{k}, i\omega_n)] + G_\sigma(\mathbf{k}, i\omega_n) \Sigma_\sigma(\mathbf{k}, i\omega_n) \} + \Phi[G, U]$$

- Equilibrium from stationarity conditions

$$\frac{\delta\Omega[G, \Sigma]}{\delta G_\sigma(\mathbf{k}, i\omega_n)} = 0 = \frac{\delta\Omega[G, \Sigma]}{\delta \Sigma_\sigma(\mathbf{k}, i\omega_n)}$$

- Luttinger-Ward functional  $\Phi[G, U]$  from renormalized PT
- Irreducible vertices from the Luttinger-Ward functional

$$\Sigma_\sigma(k) = \frac{\delta\Phi[G, U]}{\delta G_\sigma(k)}, \quad \Lambda_{\sigma\sigma'}(k, k'; q) = \frac{\delta\Sigma_\sigma(k, k')}{\delta G_{\sigma'}(k' + q, k + q)}$$



# Conservation Laws – Ward identities

- **Particle-mass conservation** – continuity equation  
– integral Ward identity

$$\Sigma_{\sigma}(k+q) - \Sigma_{\sigma}(k) = \frac{1}{\beta N} \sum_{k'} \Lambda_{\sigma\sigma}^{eh}(k, k'; q) [G_{\sigma}(k' + q) - G_{\sigma}(k')]$$

- **Particle-interaction conservation** – mass and charge of electron indivisible – sum rule

$$\begin{aligned} \frac{\partial \Omega(U, \mu_{i\sigma})}{\partial U} &= \sum_i \left[ \frac{\delta^2 \Omega}{\delta \mu_{i\uparrow} \delta \mu_{i\downarrow}} + \frac{\delta \Omega}{\delta \mu_{i\uparrow}} \frac{\delta \Omega}{\delta \mu_{i\downarrow}} \right] \\ &= \sum_i \left\{ \frac{k_B T}{4} [\kappa_{ii} - \chi_{ii}] + n_{i\uparrow} n_{i\downarrow} \right\} \end{aligned}$$

with local compressibility  $\kappa_{ii}$  and susceptibility  $\chi_{ii}$



# Schwinger-Dyson equation vs. Ward identity

Two ways to connect 1P and 2P vertices

- Schwinger-Dyson equation

$$\begin{aligned} \Sigma_{\sigma}(\mathbf{k}, i\omega_n) &= \frac{U}{2} (n - \sigma m) \\ &- \frac{U}{N^2} \sum_{\mathbf{k}'', \mathbf{q}} \frac{1}{\beta^2} \sum_{\omega_l, \nu_m} G_{\sigma}(\mathbf{k}'', i\omega_l) G_{\bar{\sigma}}(\mathbf{k}'' + \mathbf{q}, i\omega_l + i\nu_m) \\ &\quad \times \Gamma_{\sigma\bar{\sigma}}(\mathbf{k}'', i\omega_l, \mathbf{k}, i\omega_n; \mathbf{q}, i\nu_m) G_{\bar{\sigma}}(\mathbf{k} + \mathbf{q}, i\omega_n + i\nu_m) \end{aligned}$$

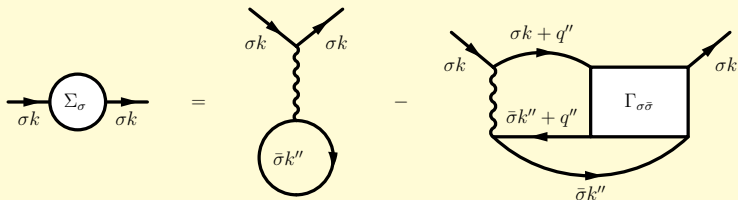
$$(\bar{\sigma} = -\sigma)$$



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Two ways to connect 1P and 2P vertices

- Schwinger-Dyson equation

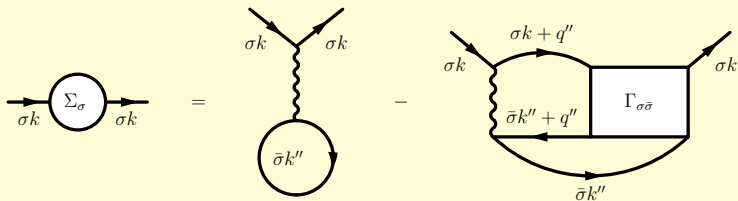




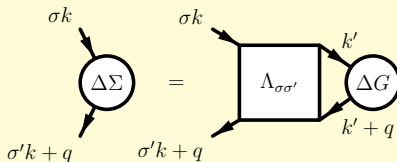
# Schwinger-Dyson equation vs. Ward identity

Two ways to connect 1P and 2P vertices

## ● Schwinger-Dyson equation



## ● Ward identity



# Ambiguity in the perturbation theory I

- **Ward identity** imposes restriction on 2P vertex in the Schwinger-Dyson equation
- **Gauge transformation**: dynamical interaction  $U(\mathbf{q}, i\nu_m)$  and chemical potential  $\mu_\sigma(\mathbf{k}, i\omega_n)$

$$\underbrace{\frac{\delta\Phi[U, G]}{\delta U(\mathbf{q}, i\nu_m)}}_{\text{Schwinger-Dyson}} = - \underbrace{\frac{1}{\beta N} \sum_{\mathbf{k}, \omega_n} \frac{\delta G_\sigma(\mathbf{k} + \mathbf{q}, i\omega_n + i\nu_m)}{\delta\mu_{-\sigma}(\mathbf{k}, i\omega_n)}}_{\text{Ward}}$$

- Exact solution for self-energy (Schwinger field theory)

$$\Sigma = U \left\langle G - G \left[ 1 + \frac{\delta\Sigma}{\delta G} GG^* \right]^{-1} \frac{\delta\Sigma}{\delta G} GG \right\rangle$$



# Ambiguity in the perturbation theory II

- Exact solution for *eh* irreducible vertex

$$\Lambda_{\sigma\bar{\sigma}}^{eh} = U - U \left[ 1 + G_{\sigma} G_{\bar{\sigma}} \Lambda_{\sigma\bar{\sigma}}^{eh} \star \right]^{-1} G_{\sigma} \left\{ \Lambda_{\sigma\bar{\sigma}}^{eh} + G_{\bar{\sigma}} \frac{\delta \Lambda_{\sigma\bar{\sigma}}^{eh}}{\delta G_{\bar{\sigma}}} \right\} \\ \times \left[ 1 + \star G_{\sigma} G_{\bar{\sigma}} \Lambda_{\sigma\bar{\sigma}}^{eh} \right]^{-1} \circ G_{\bar{\sigma}}$$

- Electron-hole  $\star$  and electron-electron  $\circ$  channels coupled

No approximate solution complies with indivisibility of charge and mass

Either single self-energy with two vertices or vice versa  
Baym-Kadanoff - single self-energy & two vertices



# Example: Simple approximations I

- Luttinger-Ward for Hartree approximation

$$\Phi_{\text{Hartree}}[G, U] = \frac{U}{N^2} \sum_{\mathbf{k}, \mathbf{k}'} \frac{1}{\beta^2} \sum_{\omega_n \omega_{n'}} e^{i(\omega_n + \omega_{n'})0^+} G_{\uparrow}(\mathbf{k}, i\omega_n) G_{\downarrow}(\mathbf{k}', i\omega_{n'})$$

- Self-energy from stationarity of  $\Omega[G, \Sigma] = \text{SDE}$

$$\Sigma_{\sigma}^{\text{Hartree}}(\mathbf{k}, i\omega_n) = U \frac{1}{\beta N} \sum_{\omega_{n'}, \mathbf{k}'} e^{i\omega_{n'}0^+} G_{\bar{\sigma}}(\mathbf{k}', i\omega_{n'}) = U n_{\bar{\sigma}}$$

- Dyson equation from stationarity of  $\Omega[G, \Sigma]$

$$G_{\sigma}(\mathbf{k}, i\omega_n) = \frac{1}{i\omega_n + \mu_{\sigma} - \epsilon(\mathbf{k}) - U n_{\bar{\sigma}}}$$



## Example: Simple approximations II

- Irreducible vertex from WI (second variation of  $\Omega[G, \Sigma]$ )

$$\Lambda_{\sigma\sigma'}^{Hartree} = \frac{\delta \Sigma_{\sigma}}{\delta G_{\sigma'}} = U \delta_{\sigma', \bar{\sigma}}$$

- Full 2P vertex from Bethe-Salpeter equation

$$\Gamma_{\uparrow\downarrow}^{WI}(\mathbf{q}, i\nu_m) = \frac{U}{1 + U\phi_{\uparrow\downarrow}(\mathbf{q}, i\nu_m)}$$

- Electron-hole bubble

$$\begin{aligned} \phi_{\uparrow\downarrow}(\mathbf{q}, i\nu_m) = \frac{1}{N} \sum_{\mathbf{k}} \frac{1}{\beta} \sum_{\omega_n} [G_{\downarrow}(\mathbf{k} + \mathbf{q}, i\omega_{n+m}) \\ + G_{\downarrow}(\mathbf{k} - \mathbf{q}, i\omega_{n-m})] G_{\uparrow}(\mathbf{k}, i\omega_n) \end{aligned}$$



# Example: Simple approximations III

$$\Gamma_{\uparrow\downarrow}^{WI} \neq \Gamma_{\uparrow\downarrow}^{SDE} = 0$$

- **Spectral self-energy** - Bethe-Salpeter in Schwinger-Dyson  
with  $\Lambda_{\uparrow\downarrow}^{Hartree}$ :  $\Gamma_{\uparrow\downarrow}^{WI} \rightarrow \Gamma_{\uparrow\downarrow}^{SDE}$

$$\Sigma_{\sigma}^{Sp}(\mathbf{k}, \omega_{+}) = \frac{U}{N} \sum_{\mathbf{q}} P \int_{-\infty}^{\infty} \frac{dx}{\pi} \left\{ b(x) G_{\bar{\sigma}}(\mathbf{k} + \mathbf{q}, \omega_{+} + x) \right. \\ \left. \times \Im \left[ \frac{1}{1 + U\phi_{\uparrow\downarrow}(\mathbf{q}, x_{+})} \right] - \frac{f(x + \omega)}{1 + U\phi_{\uparrow\downarrow}(\mathbf{q}, x_{-})} \Im G_{\bar{\sigma}}(\mathbf{k} + \mathbf{q}, x + \omega_{+}) \right\}$$

with  $x_{\pm} = x \pm i0^{+}$  and  $\bar{\sigma} = -\sigma$



# Example: Simple approximations IV

- RPA propagator

$$G(\mathbf{k}, \omega_+) = \frac{1}{\omega_+ + \mu - \epsilon(\mathbf{k}) - \Sigma^{Sp}(\mathbf{k}, \omega_+)}$$

- FLEX Luttinger-Ward

$$\Phi_{FLEX}[G, U] = \frac{1}{N} \sum_{\mathbf{q}} P \int_{-\infty}^{\infty} \frac{d\omega}{\pi} b(\omega) \mathfrak{S} [U\phi_{\uparrow\downarrow}(\mathbf{q}, \omega_+) - \ln(1 + U\phi_{\uparrow\downarrow}(\mathbf{q}, \omega_+))]$$

- New irreducible FLEX vertex:  $\Lambda_{\sigma\sigma'}^{FLEX} = \delta\Sigma_{\sigma}^{Sp} / \delta G_{\sigma'} \neq U$

Unique 2P vertex is essential for unique criticality



# 2P approach to many-body systems I

2PIR vertex  $\Lambda$  replaces  $\Phi/\Sigma$  as the generating functional of renormalized approximations

- Singular vertex  $\Gamma$  from the Bethe-Salpeter equation with regular irreducible vertex  $\Lambda$
- Critical symmetry-breaking field  $\eta$  separates 1P functions
- Odd and even 1P functions

$$\Delta_{\eta} G(\mathbf{k}, i\omega_n) = \frac{1}{2} [G_{\sigma}(\mathbf{k}, i\omega_n; \eta) - G_{\bar{\sigma}}(\mathbf{k}, i\omega_n; -\eta)]$$

$$\bar{G}_{\eta}(\mathbf{k}, i\omega_n) = \frac{1}{2} [G_{\sigma}(\mathbf{k}, i\omega_n; \eta) + G_{\bar{\sigma}}(\mathbf{k}, i\omega_n; -\eta)]$$

- 2P functions only with even symmetry





## 2P approach to many-body systems II

- Odd self-energy – order parameter  
– from linearized Ward identity

$$\Delta_\eta \Sigma(\mathbf{k}, i\omega_n) = \frac{1}{\beta N} \sum_{\mathbf{k}', \omega_{n'}} \bar{\Lambda}_\eta(\mathbf{k}, i\omega_n, \mathbf{k}', i\omega_{n'}; 0, 0) \Delta_\eta G(\mathbf{k}', i\omega_{n'})$$

- Even self-energy – quantum dynamics  
– from Schwinger-Dyson equation

$$\begin{aligned} \bar{\Sigma}_\eta(\mathbf{k}, i\omega_n) &= \frac{U}{2} n - \frac{U}{2N^2} \sum_{\sigma} \sum_{\mathbf{k}' \mathbf{q}} \frac{1}{\beta^2} \sum_{\omega_{n'} \nu_m} G_{\bar{\sigma}}(\mathbf{k} + \mathbf{q}, i\omega_n + i\nu_m) \\ &\times G_{\sigma}(\mathbf{k}', i\omega_{n'}) \bar{\Gamma}_\eta(\mathbf{k}, i\omega_n, \mathbf{k}', i\omega_{n'}; \mathbf{q}, i\nu_m) G_{\bar{\sigma}}(\mathbf{k}' + \mathbf{q}, i\omega_{n'} + i\nu_m) \end{aligned}$$

vertex  $\Gamma_\eta$  from the critical Bethe-Salpeter equation with  $\Lambda_\eta$



# 2P self-consistency – parquet equations

2P self-consistency to suppress spurious critical behavior

- Critical channel – electron-hole multiple scatterings
- Screening channel – electron-electron multiple scatterings
- **Parquet equation** – inequivalent 2P irreducibilities

$$\Gamma = \Lambda^\alpha + \mathcal{K}^\alpha = \mathcal{I}_I + \sum_{\alpha=1}^I \mathcal{K}^\alpha$$

- Two-channel parquet equations

$$\mathcal{K}^\alpha = -\Lambda^\alpha \mathcal{G} \mathcal{G} \star (\mathcal{K}^\alpha + \Lambda^\alpha)$$

$$\Lambda^\alpha = \mathcal{I} [1 - \mathcal{G} \mathcal{G} \circ (\mathcal{K}^\alpha + \Lambda^\alpha)] - \mathcal{K}^\alpha \mathcal{G} \mathcal{G} \circ (\mathcal{K}^\alpha + \Lambda^\alpha)$$

Parquet equations with  $\mathcal{I} = U$  suppress critical points



# Reduced parquet equations ( $\mathcal{I} = U$ )

Suppress the terms of the parquet equations destroying the critical behavior

- Reduced parquet equations (spin singlet) graphically

The first equation shows the parquet equation for the vertex  $\mathcal{K}_{\uparrow\downarrow}$ . On the left, a square vertex  $\mathcal{K}_{\uparrow\downarrow}$  has four external legs with momenta  $\uparrow k$ ,  $\uparrow k'$ ,  $\downarrow k+q$ , and  $\downarrow k'+q$ . This is equal to minus a self-energy loop diagram with vertex  $\Lambda_{\uparrow\downarrow}$  and momentum  $k''$ , plus a sum of two diagrams in brackets: one with vertex  $\Lambda_{\uparrow\downarrow}$  and one with vertex  $\mathcal{K}_{\uparrow\downarrow}$ .

The second equation shows the parquet equation for the vertex  $\Lambda_{\uparrow\downarrow}$ . On the left, a square vertex  $\Lambda_{\uparrow\downarrow}$  has four external legs with momenta  $\uparrow k$ ,  $\uparrow$ ,  $\downarrow$ , and  $\downarrow k'$ . This is equal to minus a self-energy loop diagram with vertex  $\mathcal{K}_{\uparrow\downarrow}$  and momentum  $k-Q$ , plus a diagram with two vertices  $\mathcal{K}_{\uparrow\downarrow}$  and  $\Lambda_{\uparrow\downarrow}$  and momentum  $k'+Q$ .

dummy variables  $Q$  and  $k''$

# Mean-field approximation – effective interaction I

Irreducible vertex  $\Lambda(k, k')$  approximated by a constant  $\Lambda$

- Reducible vertex

$$\mathcal{K}(\mathbf{q}, i\nu_m) = -\frac{\Lambda^2 \phi(\mathbf{q}, i\nu_m)}{1 + \Lambda \phi(\mathbf{q}, i\nu_m)}$$

with  $\phi(\mathbf{q}, i\nu_m) = \frac{1}{2\beta N} \sum_{\sigma, \mathbf{k}, i\omega_n} G_{\bar{\sigma}}(\mathbf{k} + \mathbf{q}, i\omega_n + i\nu_m) G_{\sigma}(\mathbf{k}, i\omega_n)$

- Irreducible vertex – inconsistent with a constant

$$\left[ 1 - \frac{\Lambda^2}{N} \sum_{\mathbf{q}} \frac{1}{\beta} \sum_{\nu_m} \phi(-\mathbf{q}, -i\nu_m) \times \frac{G_{\uparrow}(\mathbf{k} + \mathbf{q}, i\omega_{n+m}) G_{\downarrow}(\mathbf{k}' - \mathbf{q}, i\omega_{n'-m})}{1 + \Lambda \phi(-\mathbf{q}, -i\nu_m)} \right] \Lambda = U$$



# Mean-field approximation – effective interaction II

Only approximate solutions – various options

- Local mean-field of metals at low temperatures  
– fermionic variables from Fermi energy

$$\Lambda \equiv \Lambda(0_+, 0_-) = \frac{U}{1 + \Lambda^2 K X}$$

$$K = -\phi(\mathbf{0}, 0) = \int_{-\infty}^{\infty} \frac{dx}{\pi} f(x) \Im [G(x_+)^2]$$

- Screening integral

$$X = \int_{-\infty}^{\infty} \frac{dx}{\pi} \left\{ \frac{\Re [G(x_+) G(-x_+)]}{\sinh(\beta x)} \Im \left[ \frac{1}{1 + \Lambda \phi(-x_+)} \right] - f(x) \Im \left[ \frac{G(x_+) G(-x_+)}{1 + \Lambda \phi(-x_+)} \right] \right\}$$



# Mean-field approximation – effective interaction III

- **General lattice systems** – Normalized averaging over irrelevant fermionic variables

$$\frac{1}{N^2} \sum_{\mathbf{k}, \mathbf{k}'} \frac{1}{\beta^2} \sum_{\omega_n, \omega_{n'}} G_{\downarrow}(-\mathbf{k}, -i\omega_n) G_{\uparrow}(-\mathbf{k}', -i\omega_{n'}) \left\{ \left[ 1 - \frac{\Lambda^2}{N} \sum_{\mathbf{q}} \frac{1}{\beta} \sum_{\nu_m} \phi(\mathbf{q}, i\nu_m) \frac{G_{\uparrow}(\mathbf{k} + \mathbf{q}, i\omega_{n+m}) G_{\downarrow}(\mathbf{k}' - \mathbf{q}, i\omega_{n'-m})}{1 + \Lambda \phi(\mathbf{q}, i\nu_m)} \right] \Lambda - U \right\} = 0$$

- **Solution**

$$\Lambda = \frac{U(n^2 - m^2)}{n^2 - m^2 + 4\Lambda^2 \mathcal{X}}$$

# Mean-field approximation – effective interaction IV

- Charge and spin densities

$$n = \frac{2}{\beta N} \sum_{\mathbf{k}, \omega_n} \bar{G}_\eta(\mathbf{k}, i\omega_n) e^{i\omega_n 0^+}$$

$$m = \frac{2}{\beta N} \sum_{\mathbf{k}, \omega_n} \Delta G_\eta(\mathbf{k}, i\omega_n) e^{i\omega_n 0^+}$$

- Screening integral

$$\chi = -\frac{1}{N} \sum_{\mathbf{q}} \frac{\psi(\mathbf{q}, i\nu_m) \psi(-\mathbf{q}, -i\nu_m) \phi(-\mathbf{q}, -i\nu_m)}{1 + \Lambda \phi(-\mathbf{q}, -i\nu_m)} > 0$$

- Critical point:  $0 = 1 + \Lambda \phi(\mathbf{q}_0, 0)$



# Mean-field approximation – effective interaction $\psi$

- Electron-electron bubble (spin-independent)

$$\psi(\mathbf{q}, \omega_+) = \frac{1}{2N} \sum_{\sigma} \sum_{\mathbf{k}} \frac{1}{\beta} \sum_{\omega_n} G_{\bar{\sigma}}(\mathbf{q} + \mathbf{k}, i\omega_{m+n}) G_{\sigma}(-\mathbf{k}, -i\omega_n)$$

Only integrable singularities allowed due to self-consistent screening of the interaction

Freedom in selecting the equation for  $\Lambda$  does not change qualitatively the critical behavior



# 1P propagators – magnetic order

- Thermodynamic propagators (only static corrections)

$$G_{\sigma}(\mathbf{k}, \omega_{+}) = \frac{1}{\omega_{+} + \mu_{\sigma} - \epsilon(\mathbf{k}) + \sigma \Lambda \frac{m}{2} - U_{\frac{n}{2}}}$$

used to determine thermodynamic properties (2P vertex)

- Full renormalized propagators

$$G_{\sigma}(\mathbf{k}, i\omega_n) = \frac{1}{i\omega_n + \mu_{\sigma} - \epsilon(\mathbf{k}) - \sigma \Delta \Sigma - U_{\frac{n}{2}} - \bar{\Sigma}(\mathbf{k}, i\omega_n)}$$

determines all physical (measurable) quantities

Fully 1P & 2P self-consistent theory:  $G \rightarrow \mathcal{G}$



# Self-energies

- Odd self-energy w.r.t. magnetic field – order parameter

$$\Delta\Sigma = -\Lambda[U; n, m]m/2$$

- Dynamical (spectral) self-energy

$$\bar{\Sigma}(\mathbf{k}, \omega_+) = -\frac{U\Lambda}{N} \sum_{\mathbf{q}} P \int_{-\infty}^{\infty} \frac{dx}{\pi} \left\{ b(x) \bar{\mathcal{G}}(\mathbf{k} + \mathbf{q}, \omega_+ + x) \right. \\ \left. \times \Im \left[ \frac{\bar{\Phi}(\mathbf{q}, x_+)}{1 + \Lambda\phi(\mathbf{q}, x_+)} \right] - \frac{f(x + \omega) \bar{\Phi}(\mathbf{q}, x_-)}{1 + \Lambda\phi(\mathbf{q}, x_-)} \Im \bar{\mathcal{G}}(\mathbf{q} + \mathbf{k}, x + \omega_+) \right\}$$

- Full self-energy:  $\Sigma_{\sigma}(\mathbf{k}, \omega_+) = U n/2 + \sigma \Delta\Sigma + \bar{\Sigma}(\mathbf{k}, \omega_+)$

Both self-energies from the same vertex  $\Lambda$



# Physical quantities – spectral representation

- Charge density

$$n = -\frac{1}{N} \sum_{\mathbf{k}} \sum_{\sigma} \int_{-\infty}^{\infty} \frac{dx}{\pi} f(x) \Im \mathcal{G}_{\sigma}(\mathbf{k}, x_+)$$

- Spin density

$$m = -\frac{1}{N} \sum_{\mathbf{k}} \sum_{\sigma} \sigma \int_{-\infty}^{\infty} \frac{dx}{\pi} f(x) \Im \mathcal{G}_{\sigma}(\mathbf{k}, x_+)$$

- Two-particle bubble (even symmetry)

$$\bar{\Phi}(\mathbf{q}, \omega_+) = -\frac{1}{2N} \sum_{\sigma} \sum_{\mathbf{k}} \int_{-\infty}^{\infty} \frac{dx}{\pi} f(x) [\mathcal{G}_{\bar{\sigma}}(\mathbf{k} + \mathbf{q}, x + \omega_+) + \mathcal{G}_{\bar{\sigma}}(\mathbf{k} - \mathbf{q}, x - \omega_+)] \Im \mathcal{G}_{\sigma}(\mathbf{k}, x_+)$$



# Conclusions I - Renormalizations

## Many-body perturbation theory

- **Spectrum of the full Hamiltonian unknown**
- **Fundamental objects** - asymptotic states (quasiparticles)
- Interaction only perturbatively - source of scatterings
- **Static renormalizations** - mean-field theories
- **Dynamical corrections** - Green functions
- **Renormalized perturbation theory** - self-consistent determination of irreducible functions
- **1PIR vertex** (Self-energy) - mass renormalization
- **2PIR vertices** - charge renormalization



# Conclusions II - Green functions

## Ambiguous way to relate 1P and 2P Green functions

### Two many-body approaches

- **1P approach** – single self-energy  $\Sigma$  & two 2P vertices
  - Inconsistent (ambiguous) critical behavior
  - Ordered phase does not match the disordered one
- **2P approach** – single 2PIR vertex  $\Lambda$  & two self-energies
  - Unique criticality
  - Symmetry-breaking field splits the self-energy
  - Odd self-energy from WI – thermodynamic order parameter
  - Even self-energy from SDE – spectra & dynamics

Mean-field theory with 2P self-consistency:  
 analytically controlled approximation  
 interpolating between weak and strong coupling  
 & suppressing spurious poles (phase transitions)



# Conclusions II - Green functions

Schwinger-Dyson equation & Ward identity incompatible with single self-energy and single 2P vertex

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