

# Resonant Inelastic X-ray Scattering on elementary excitations

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TECHNISCHE  
UNIVERSITÄT  
DRESDEN

Ament, van Veenendaal, Devereaux, Hill & JvdB  
Rev. Mod. Phys. 83, 705 (2011)

Autumn School in  
Correlated Electrons  
Jülich  
13.09.2016

## *Outline*

**1. Introducing RIXS**

**2. Magnetic RIXS on low dimensional magnets**

# 1. Introducing RIXS

*Basic Scattering Process*

*Direct & Indirect RIXS*

*5 features of RIXS*

*Elementary Excitations  
Accessible to RIXS*

*Progress in past decade*

## 2. Magnetic RIXS on low dimensional magnets

*Quasi 2D cuprates*

*Quasi 1D cuprates*

*Quasi 2D iron pnictide*

*Quasi 2D iridate*

*Doped Cu & Fe systems*

## 2. Magnetic RIXS on low dimensional magnets

*Quasi 2D cuprates*

*Some theory*

*Some experiments*

*A (big) open problem*

**Basic Scattering Process**

**Direct and Indirect RIXS**

*What is*

*Resonant*

*Inelastic*

*X-ray scattering*

*RIXS*

*X-ray scattering: photon in  $\rightarrow$  solid  $\rightarrow$  photon out*

*inelastic:  $\omega_{out} < \omega_{in}$*

*resonant: tune  $\omega_{in}$  to an atomic absorption edge*

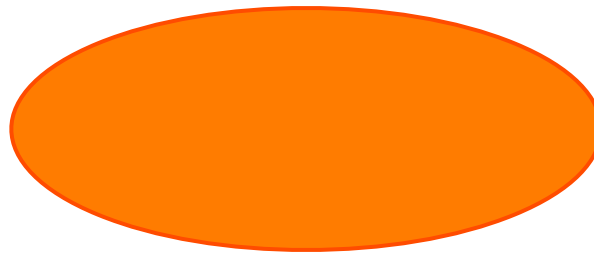
*Cu*

*K-edge*

*4 p*



*~9 KeV*



*1 s*



*What is*

*Resonant*

*Inelastic*

*X-ray scattering*

*RIXS*

*X-ray scattering: photon in  $\rightarrow$  solid  $\rightarrow$  photon out*

*inelastic:  $\omega_{out} < \omega_{in}$*

*resonant: tune  $\omega_{in}$  to an atomic absorption edge*

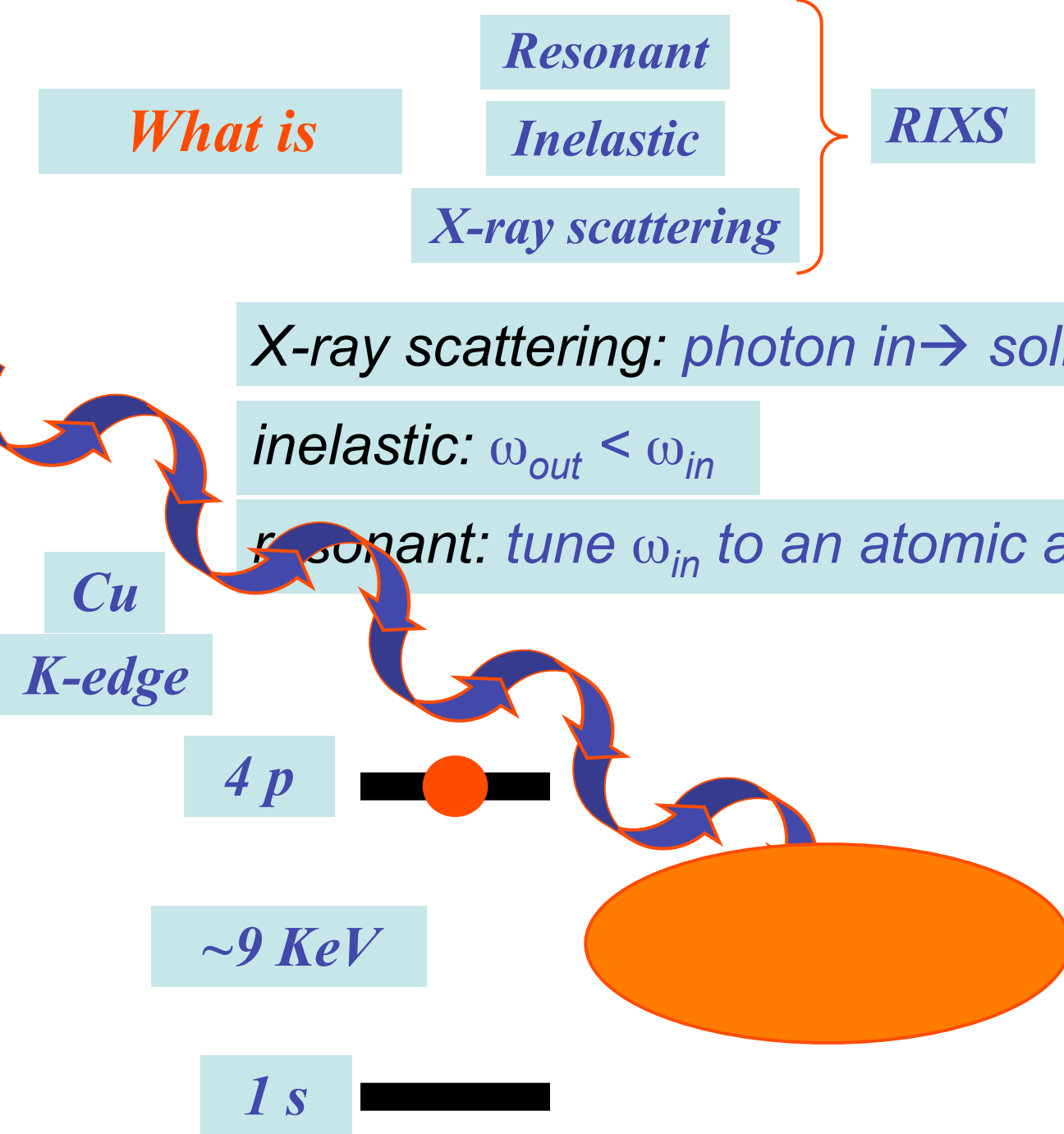
*Cu*

*K-edge*

*4 p*

*~9 KeV*

*1 s*





*What is*

*Resonant*

*Inelastic*

*X-ray scattering*

*RIXS*

*X-ray scattering: photon in  $\rightarrow$  solid  $\rightarrow$  photon out*

*inelastic:  $\omega_{out} < \omega_{in}$*

*resonant: tune  $\omega_{in}$  to an atomic absorption edge*

*Cu*

*K-edge*

**INDIRECT**

*4 p*

*~9 KeV*

*1 s*

*Momentum transfer:  $q$*

*Energy loss*

*What is*

*Resonant*

*Inelastic*

*X-ray scattering*

*RIXS*

*X-ray scattering: photon in  $\rightarrow$  solid  $\rightarrow$  photon out*

*inelastic:  $\omega_{out} < \omega_{in}$*

*resonant: tune  $\omega_{in}$  to an atomic absorption edge*

*Cu*

*L-edge*

**DIRECT**

*3 d*

*$\sim 900$  eV*

*2 p*

*Momentum transfer:  $q$*

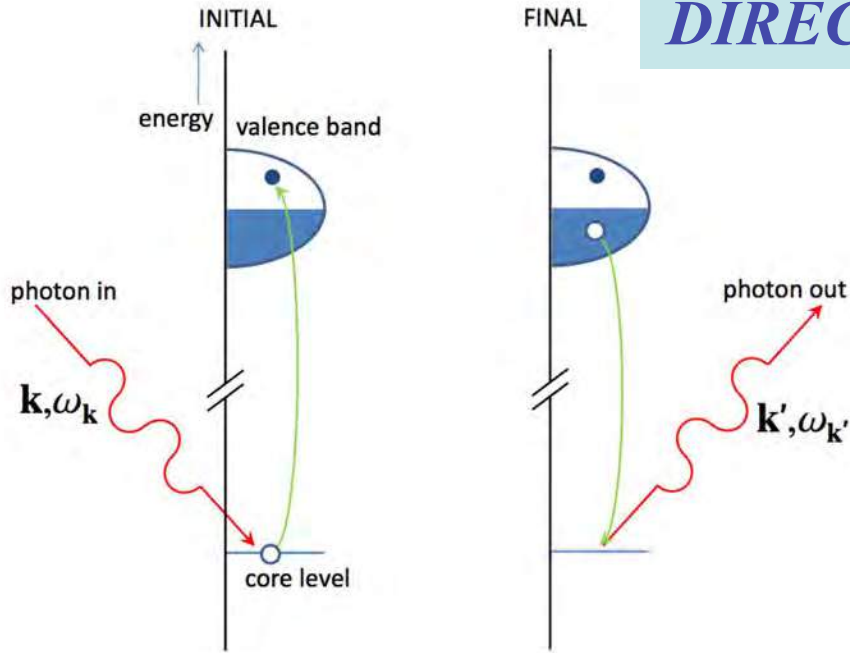
*Energy loss*

*resolution  $< 100$  meV*

# Direct and Indirect RIXS

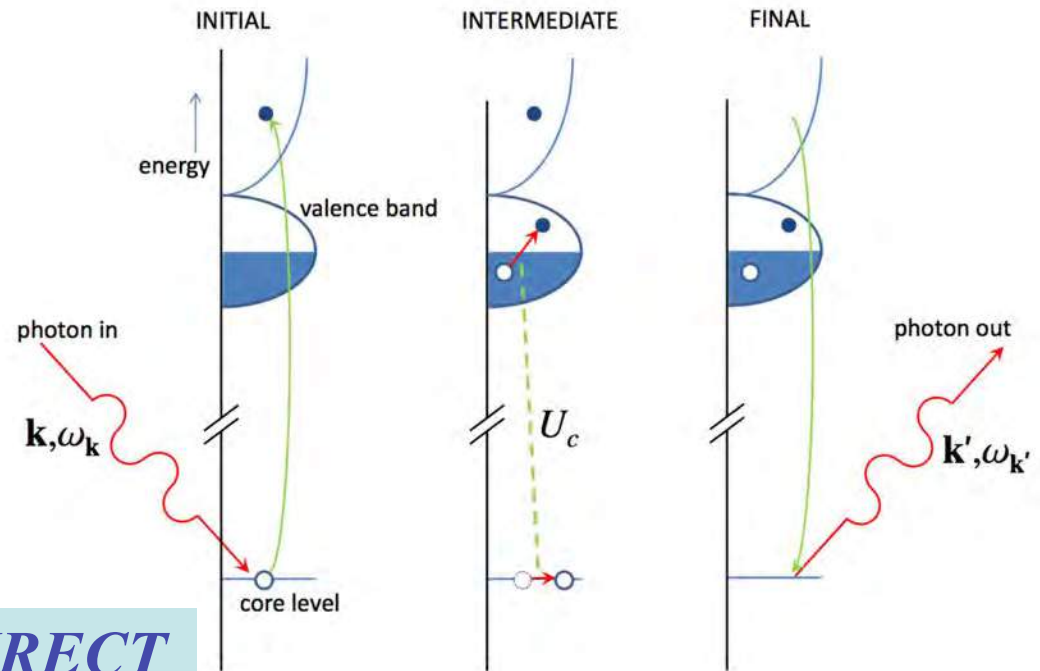
**DIRECT**

*scattering via absorption-emission matrix elements*



*scattering via intermediate state core-hole shake-up*

**INDIRECT**



*$RIXS = |GS\rangle \rightarrow XAS \rightarrow |INTERMEDIATE\rangle \rightarrow XES \rightarrow |FS\rangle$*

*Complicated state with  
strong core-hole potential*

*Local atomic transition*

*Local atomic transition*

*Contains chemical detail and atom specific physics*

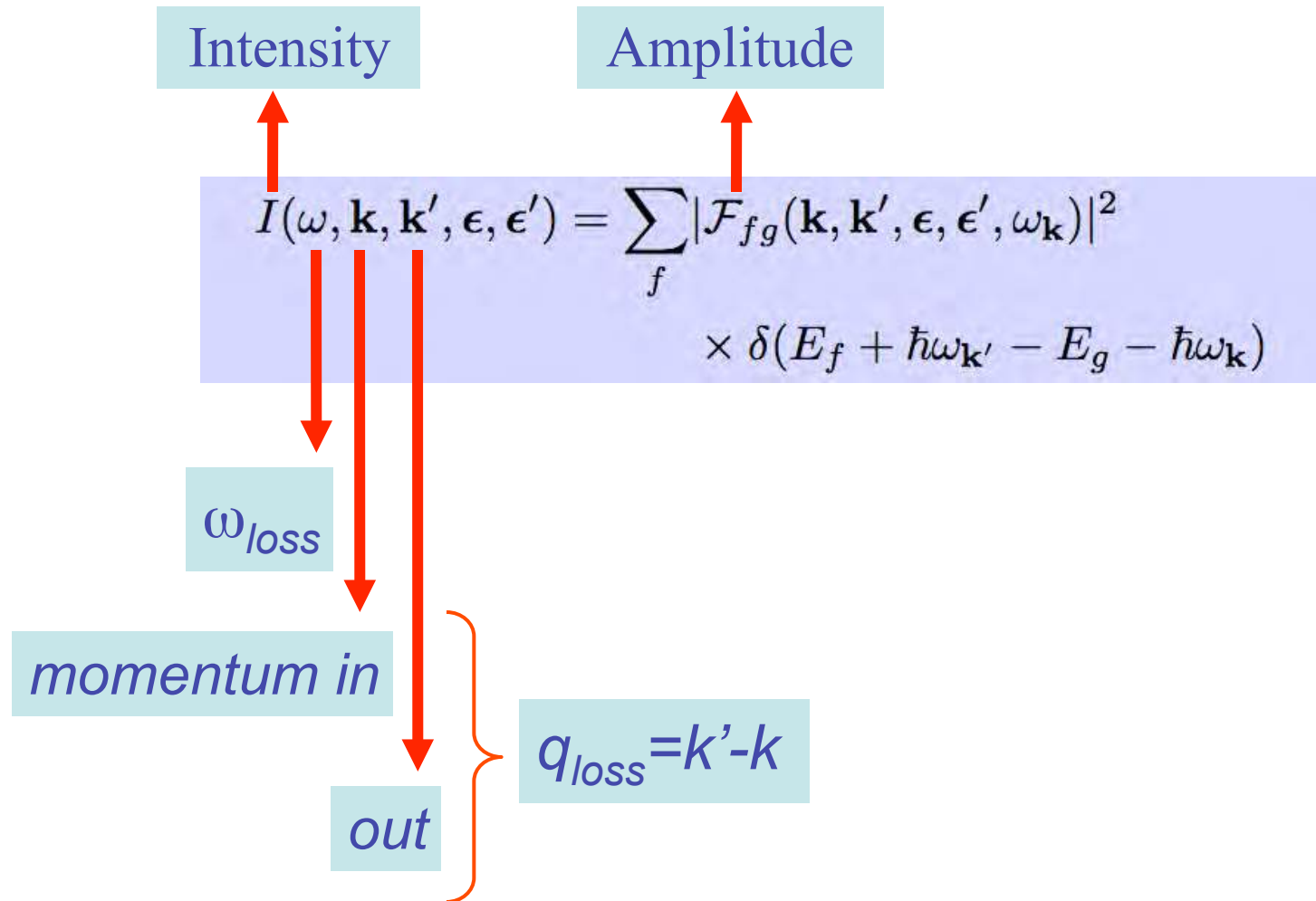
*But:*

*$RIXS = |GS\rangle \rightarrow \dots \rightarrow |FS\rangle$*

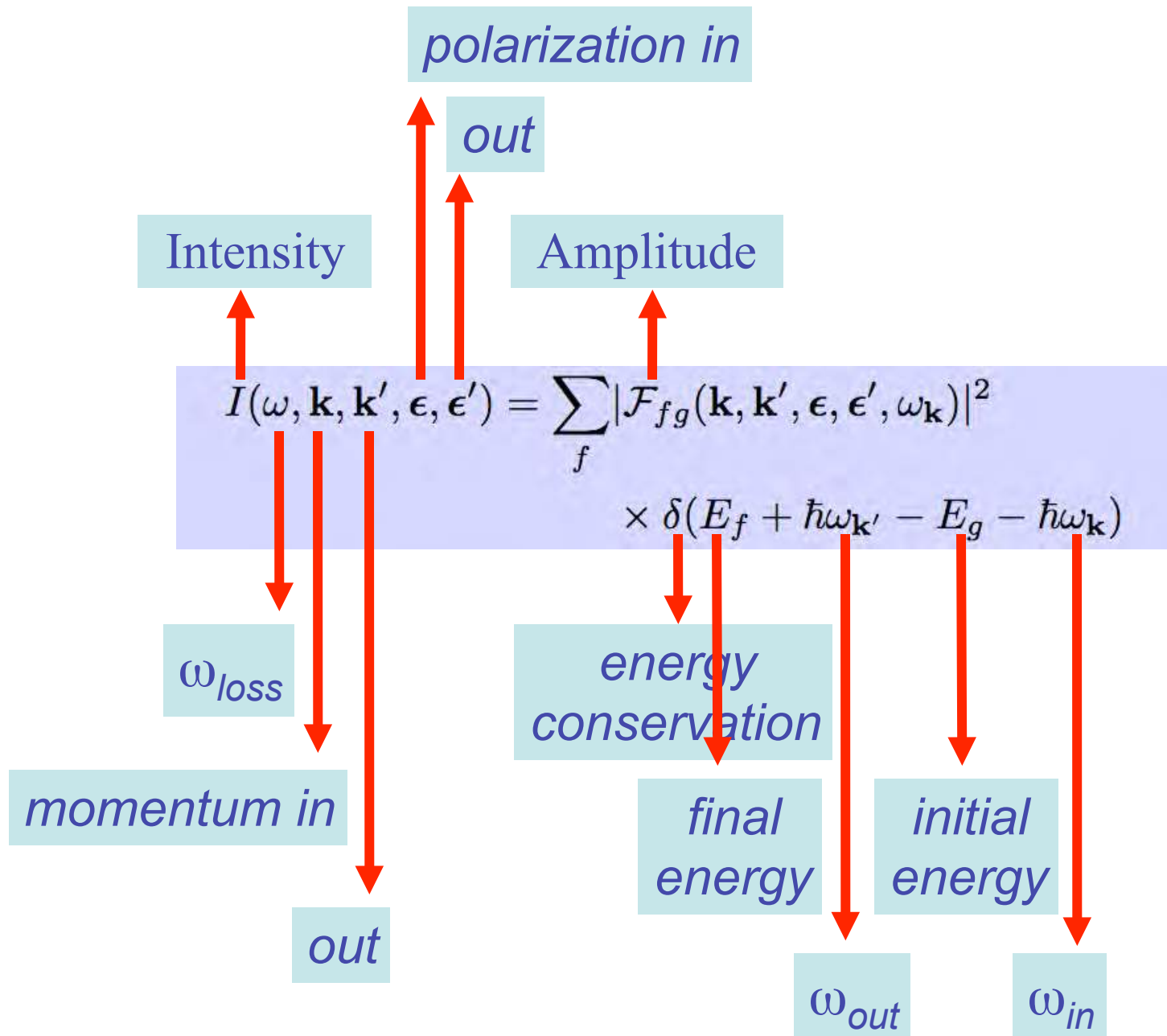
*Carries low energy, long wavelength, elementary excitations*

*Universal effective low energy (magnetic) behavior*

# RIXS amplitude $F$ and intensity $I$



# RIXS amplitude $F$ and intensity $I$



# 2nd Order: Resonant Scattering

RIXS  
amplitude

$$\mathcal{F}_{fg}(\mathbf{k}, \mathbf{k}', \boldsymbol{\epsilon}, \boldsymbol{\epsilon}', \omega_{\mathbf{k}}, \omega_{\mathbf{k}'}) = \sum_n \frac{\langle f | \mathcal{D}'^\dagger | n \rangle \langle n | \mathcal{D} | g \rangle}{E_g + \hbar\omega_{\mathbf{k}} - E_n + i\Gamma_n}$$

*Kramers-Heisenberg expression*

RIXS  
transition  
operator

$$\mathcal{D} = \frac{1}{im\omega_{\mathbf{k}}} \sum_{i=1}^N e^{i\mathbf{k} \cdot \mathbf{r}_i} \boldsymbol{\epsilon} \cdot \mathbf{p}_i,$$

# Greens function expression for RIXS amplitude

RIXS  
amplitude

$$\mathcal{F}_{fg}(\mathbf{k}, \mathbf{k}', \epsilon, \epsilon', \omega_{\mathbf{k}}, \omega_{\mathbf{k}'}) = \sum_n \frac{\langle f | \mathcal{D}'^\dagger | n \rangle \langle n | \mathcal{D} | g \rangle}{E_g + \hbar\omega_{\mathbf{k}} - E_n + i\Gamma_n}$$

Greens function

$$G(z_{\mathbf{k}}) = \frac{1}{z_{\mathbf{k}} - H} = \sum_n \frac{|n\rangle\langle n|}{z_{\mathbf{k}} - E_n}$$

=intermediate state propagator

with

$$z_{\mathbf{k}} = E_g + \hbar\omega_{\mathbf{k}} + i\Gamma$$

so that:

$$\mathcal{F}_{fg} = \langle f | \mathcal{D}'^\dagger G(z_{\mathbf{k}}) \mathcal{D} | g \rangle$$



# 5 distinguishing features of RIXS

*Why*

*Inelastic Scattering*

*with X-rays*

*at a resonance*

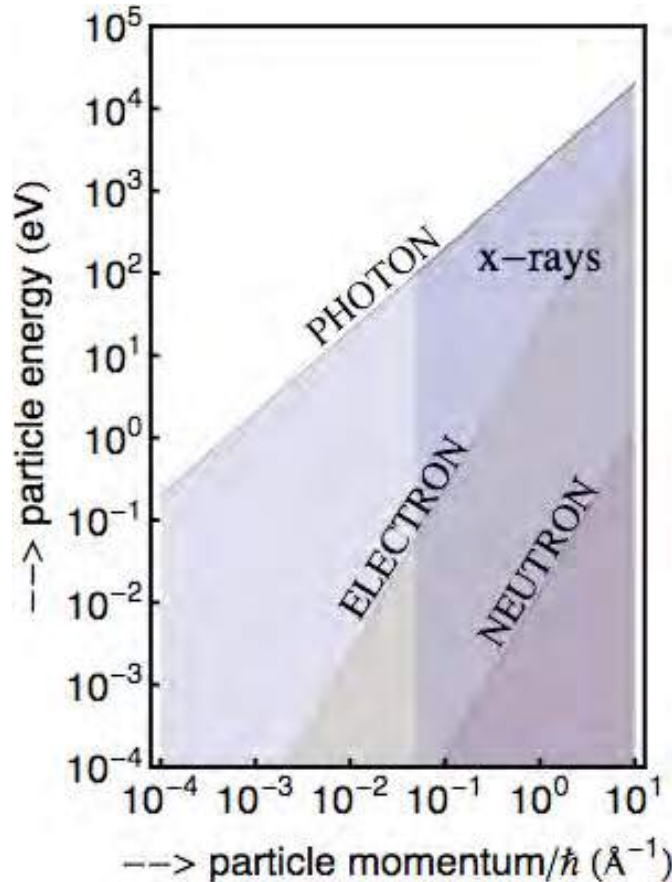
*X-rays:*

*momentum  $\sim \text{\AA}^{-1}$*

*probe charged excitations*

*have angular momentum  $l=1$*

*polarization dependence*



*Solid:*

*Lattice spacings  $\sim \text{\AA}$*

*Brillouin zone  $\sim \text{\AA}^{-1}$*

*Visible  
Photons:*

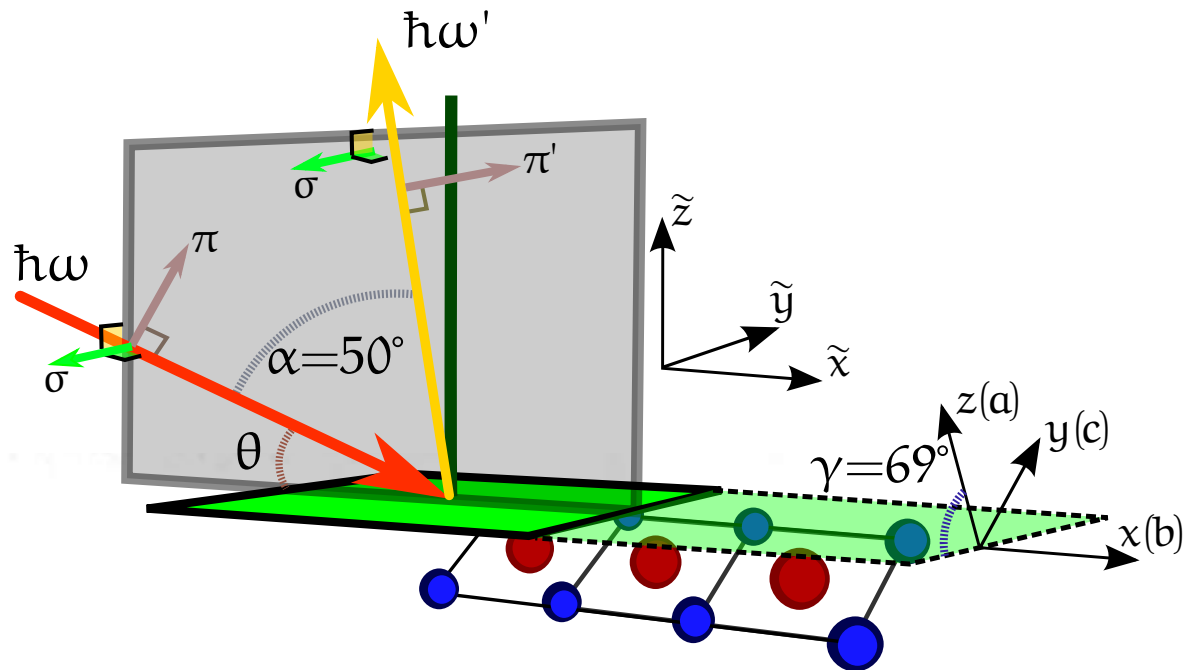
*momentum  $\sim 10^{-3} \text{\AA}^{-1}$*

*X-rays at  
10 keV*

*momentum  $\sim 5 \text{\AA}^{-1}$*

*several BZ's*

1. RIXS exploits both the *energy and momentum* dependence of the photon scattering cross-section. Comparing the energies of a neutron, electron, and photon, each with a wavelength on the order of the relevant length scale in a solid, *i.e.* the interatomic lattice spacing, which is on the order of a few Angstroms, it is obvious that an x-ray photon has much more energy than an equivalent neutron or electron.



2. RIXS can utilize the *polarization* of the photon: the nature of the excitations created in the material can be disentangled through polarization analysis of the incident and scattered photons, which allows one, through the use of various selection rules, to characterize the symmetry and nature of the excitations. To date, no experimental facility allows the polarization of the scattered photon to be measured, though the incident photon polarization is frequently varied. It is important to note that a polarization change of a photon is necessarily related to an angular momentum change. Conservation of angular momentum means that any angular momentum lost by the scattered photons has been transferred to elementary excitations in the solid.

*Why*

*Inelastic Scattering*

*with X-rays*

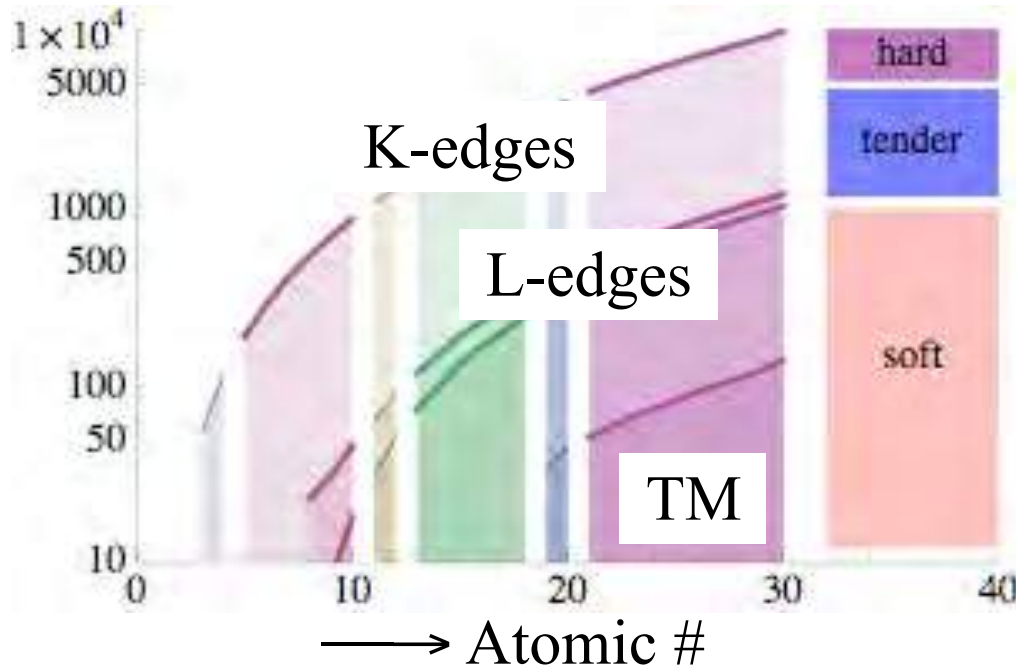
*at a resonance*

*At resonance:*

*enhanced loss features*

*choose element & electronic shell*

### *X-ray Absorption Edges*



*X-ray penetration depth: ~microns*

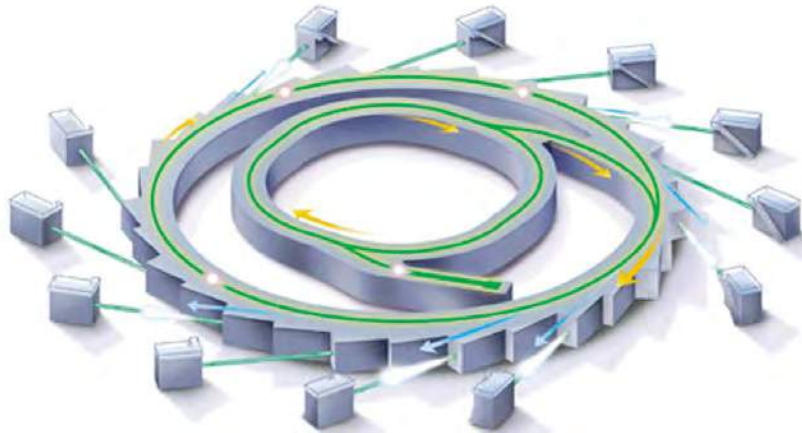
*RIXS is bulk sensitive*

# Tunable X-ray sources

*synchrotron*

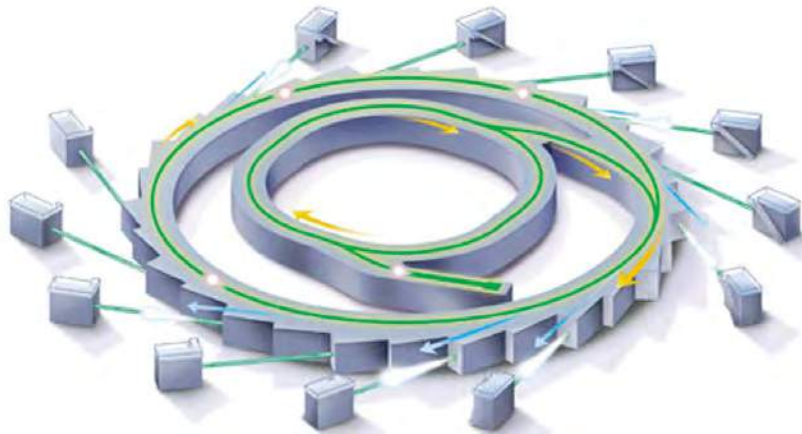


*ESRF Grenoble*

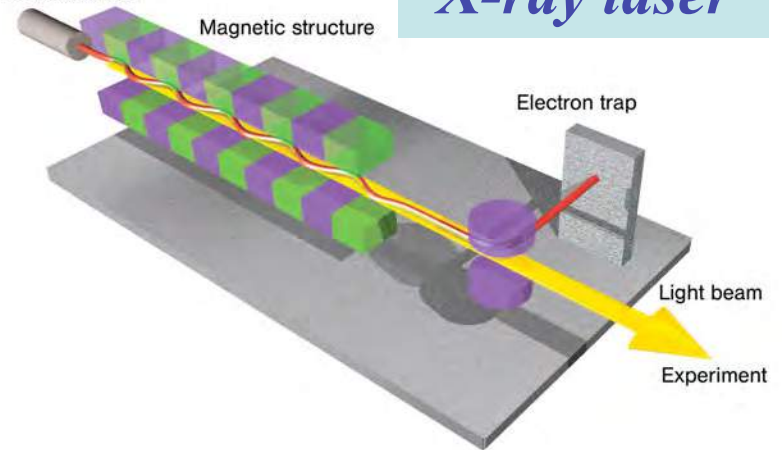


# Tunable X-ray sources

*synchrotron*



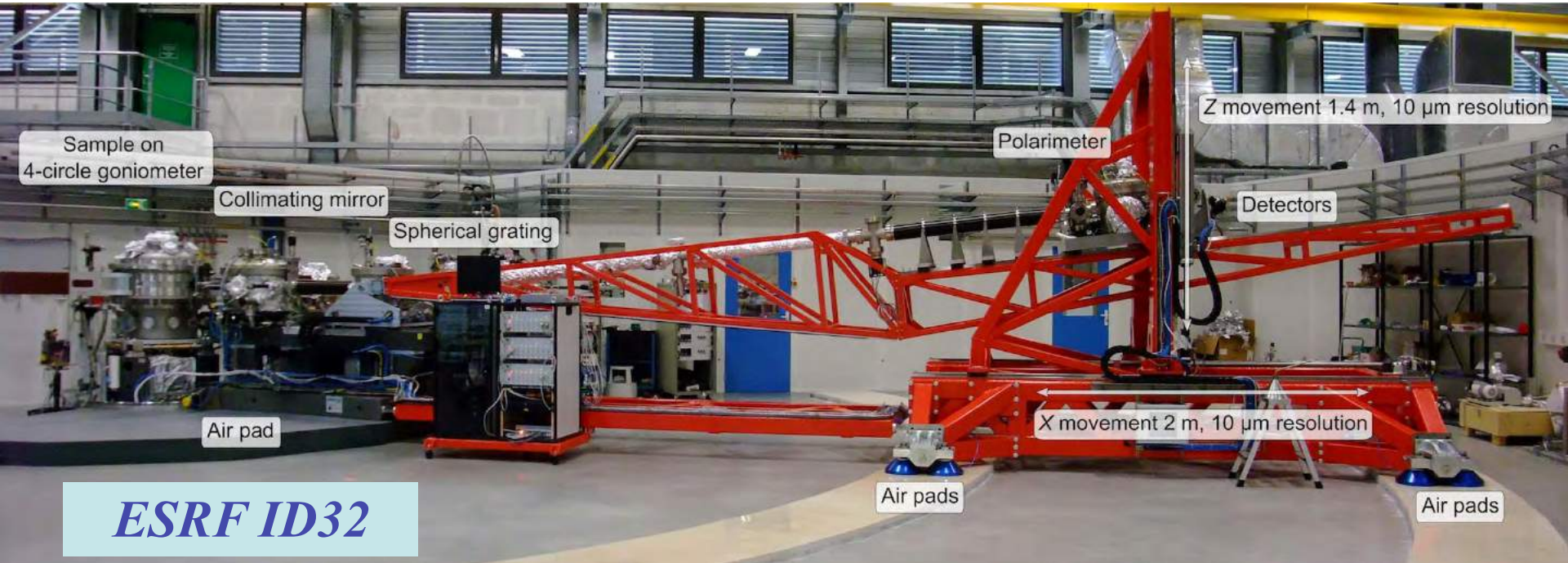
Electron source  
and accelerator



*X-ray laser*

*LCLS, Stanford*

# X-ray spectrometers



*Soft RIXS: TM L-edges*



3. RIXS is *element and orbital specific*: Chemical sensitivity arises by tuning the incident photon energy to specific atomic transitions of the different types of atoms in a material. Such transitions are called absorption edges. RIXS can even differentiate between the same chemical element at sites with inequivalent chemical bondings, with different valencies or at inequivalent crystallographic positions if the absorption edges in these cases are distinguishable.

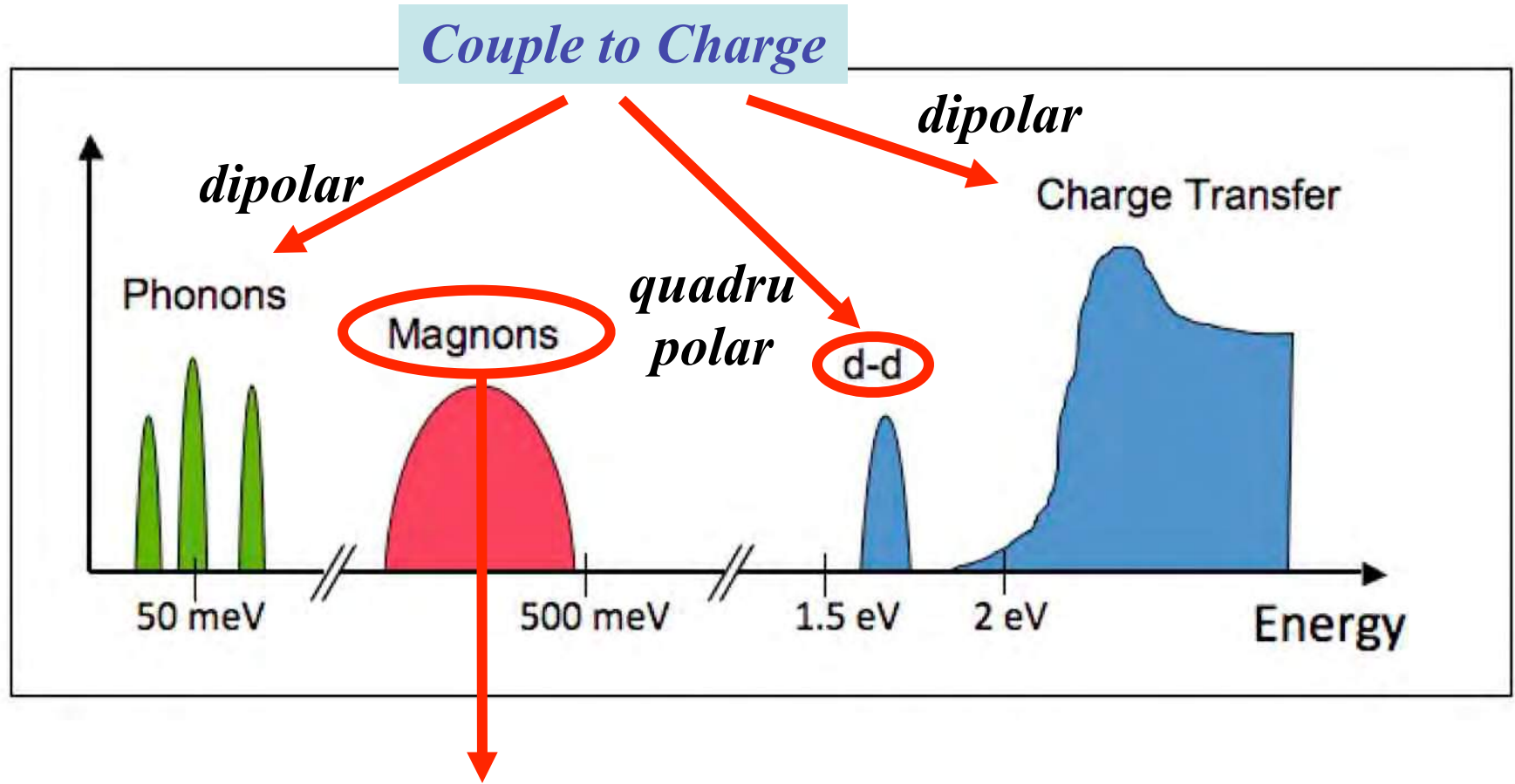
4. RIXS is *bulk sensitive*: the penetration depth of resonant x-ray photons is material and scattering geometry-specific, but typically is on the order of a few  $\mu\text{m}$  in the hard x-ray regime (for example at transition metal *K*-edges) and on the order of  $0.1 \mu\text{m}$  in the soft x-ray regime (e.g transition metal *L*-edges).

5. RIXS needs only *small sample volumes*: the photon-matter interaction is relatively strong, compared to for instance the neutron-matter interaction strength. In addition, photon sources deliver many orders of magnitude more particles per second, in a much smaller spot, than do neutron sources. These facts make RIXS possible on very small volume samples, thin films, surfaces and nano-objects, in addition to bulk single crystal or powder samples.

Elementary Excitations

accessible to RIXS

# Elementary Excitations in TMO: Schematic



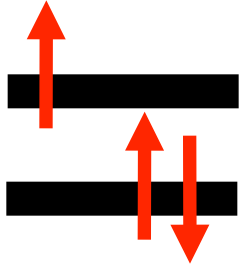
*Couple to  
Angular  
Momentum*

# Direct RIXS @ TM L-edges

*Cu*

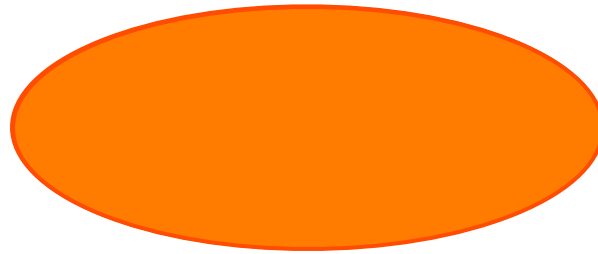
*L-edge*

*3 d*



*~900 eV*

*2 p*



*Momentum transfer*

*Energy loss*

# Direct RIXS @ TM L-edges

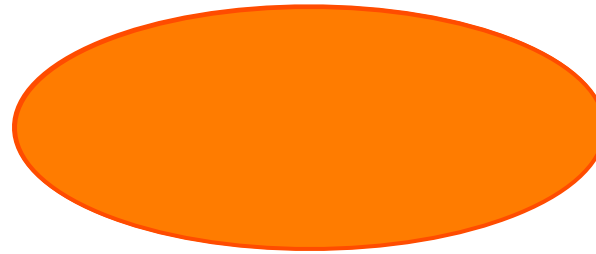
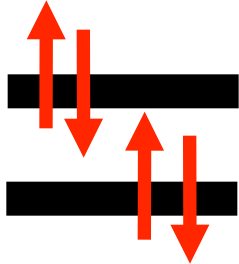
*Cu*

*L-edge*

*3 d*

*~900 eV*

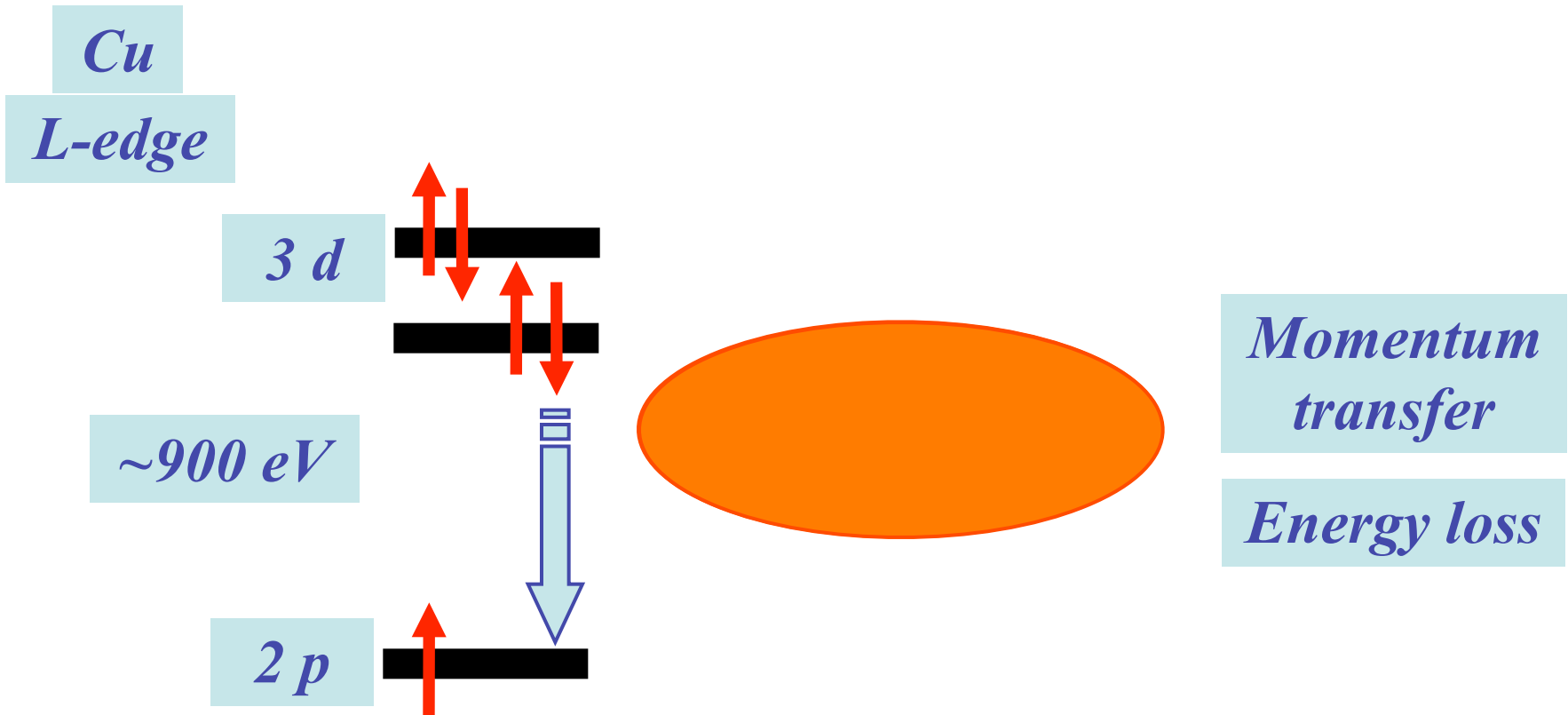
*2 p*



*Momentum transfer*

*Energy loss*

# Direct RIXS @ TM L-edges

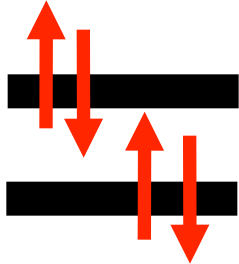


# Direct RIXS @ TM L-edges

*Cu*

*L-edge*

*3 d*



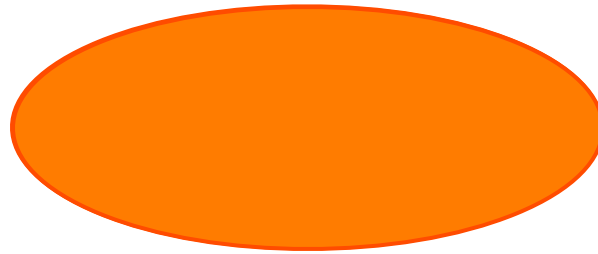
*~900 eV*

*2 p*



*Momentum transfer*

*Energy loss*



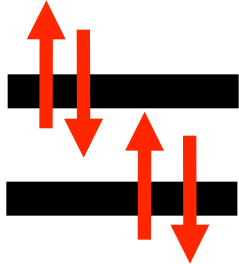


# Direct RIXS @ TM L-edges

*Cu*

*L-edge*

*3 d*

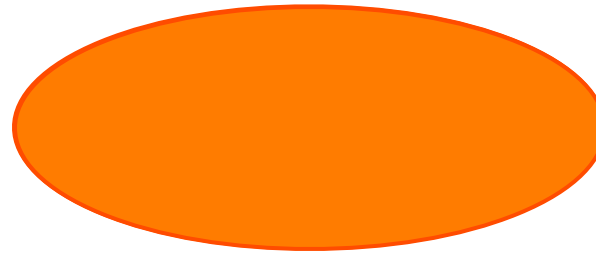


*~900 eV*

*2 p*



*s=1/2*  
*l=1*



*Momentum transfer*

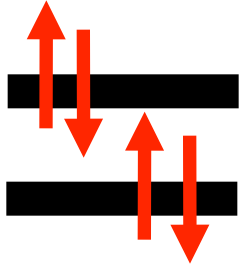
*Energy loss*

# Direct RIXS @ TM L-edges

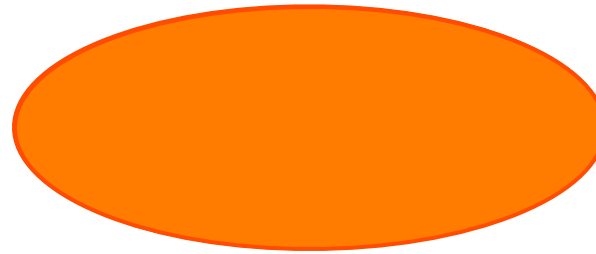
*Cu*

*L-edge*

*3 d*



*~900 eV*



*Momentum transfer*

*Energy loss*

*2 p*



*s=1/2*  
*l=1*

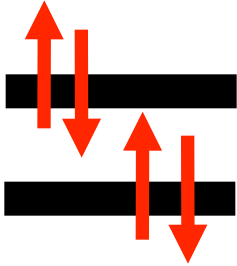
*l•s*

# Direct RIXS @ TM L-edges

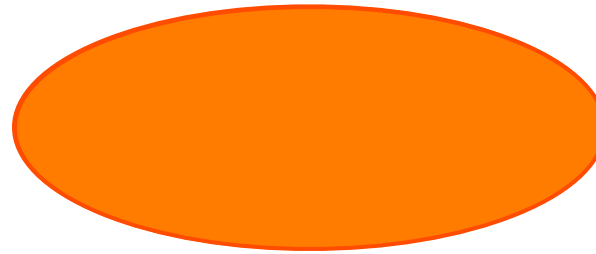
*Cu*

*L-edge*

*3 d*



*~900 eV*



*Momentum transfer*

*Energy loss*

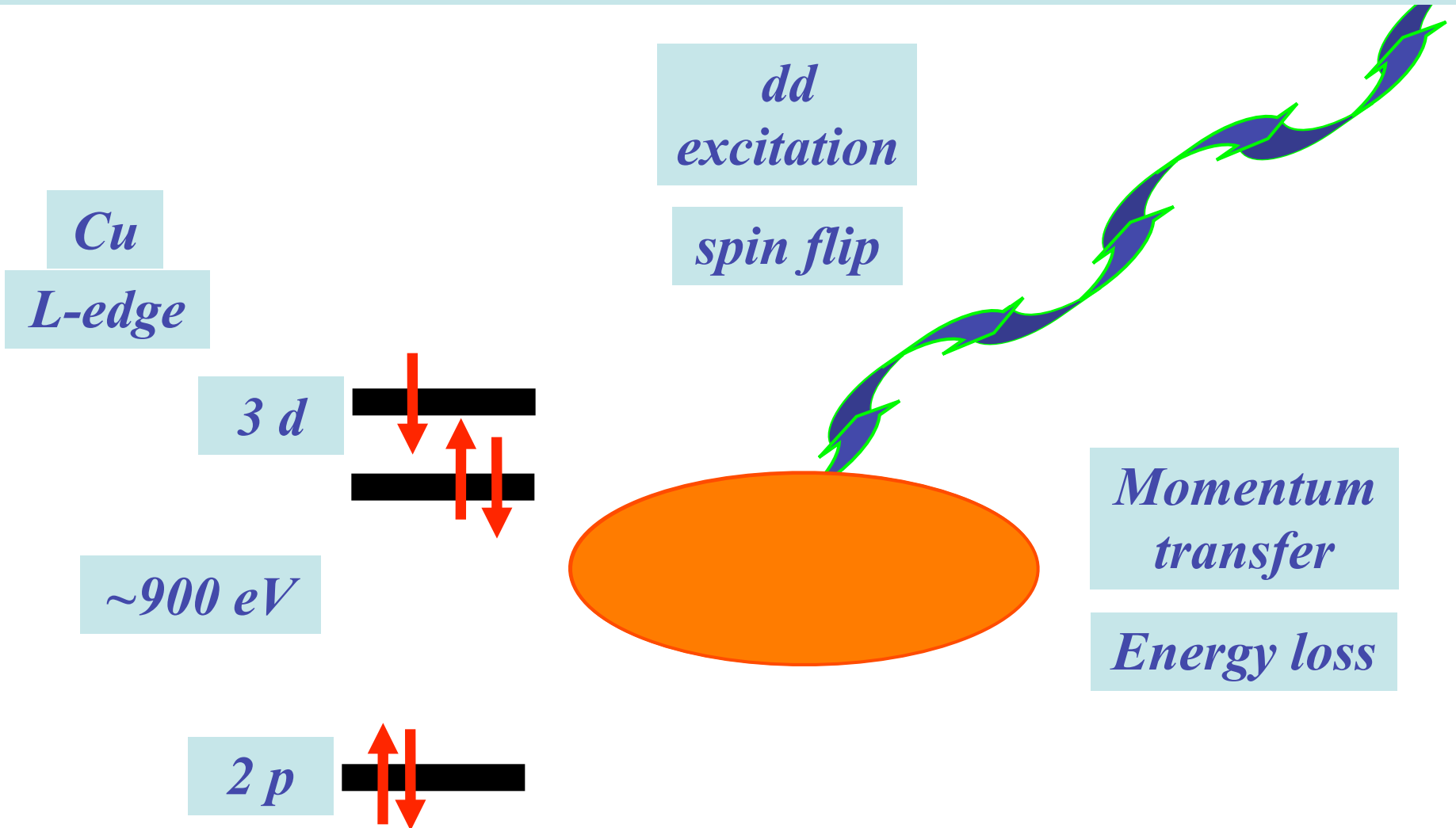
*2 p*



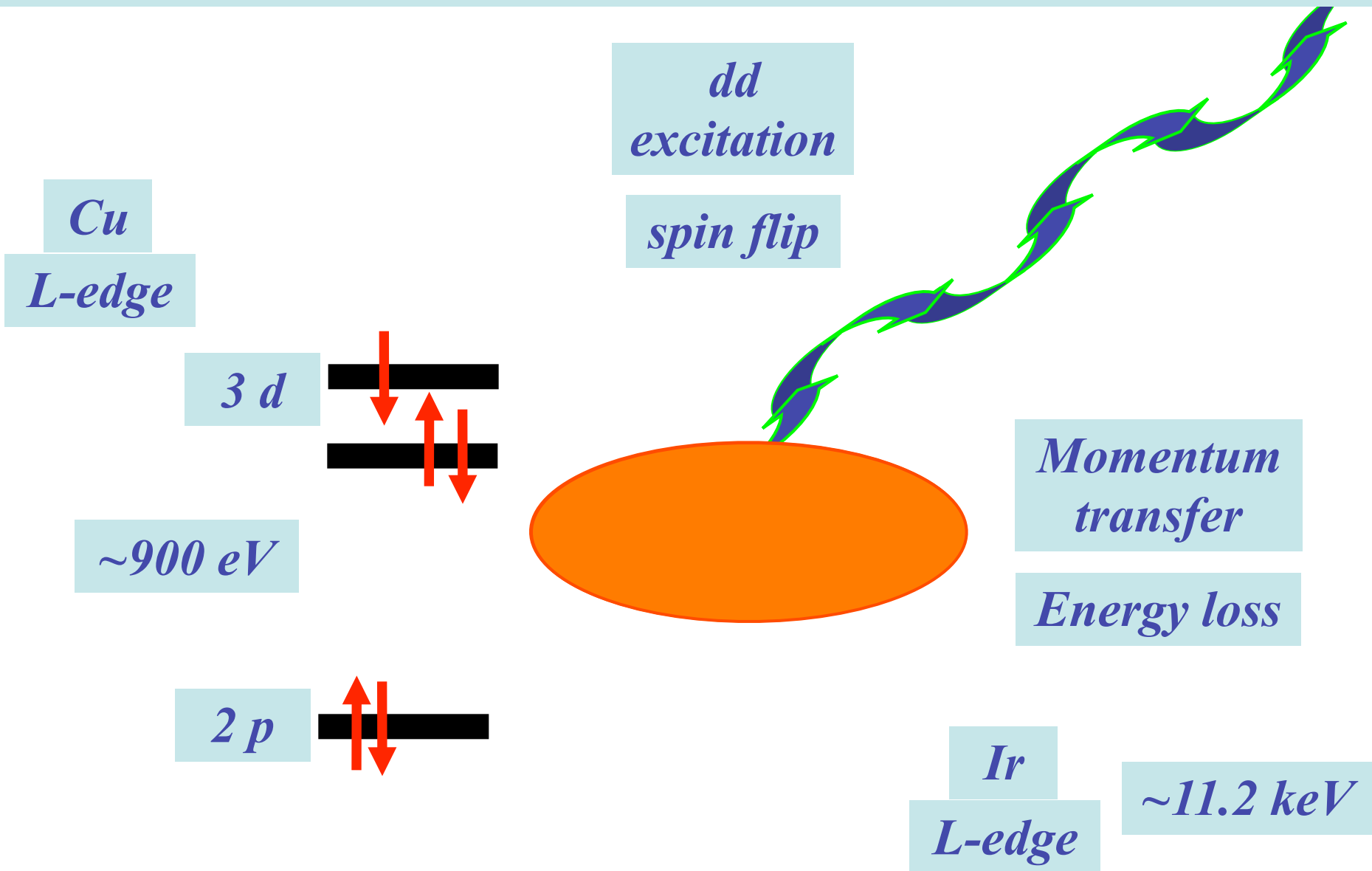
*s=1/2*  
*l=1*

*l•s*

# Direct RIXS @ TM L-edges



# Direct RIXS @ TM L-edges

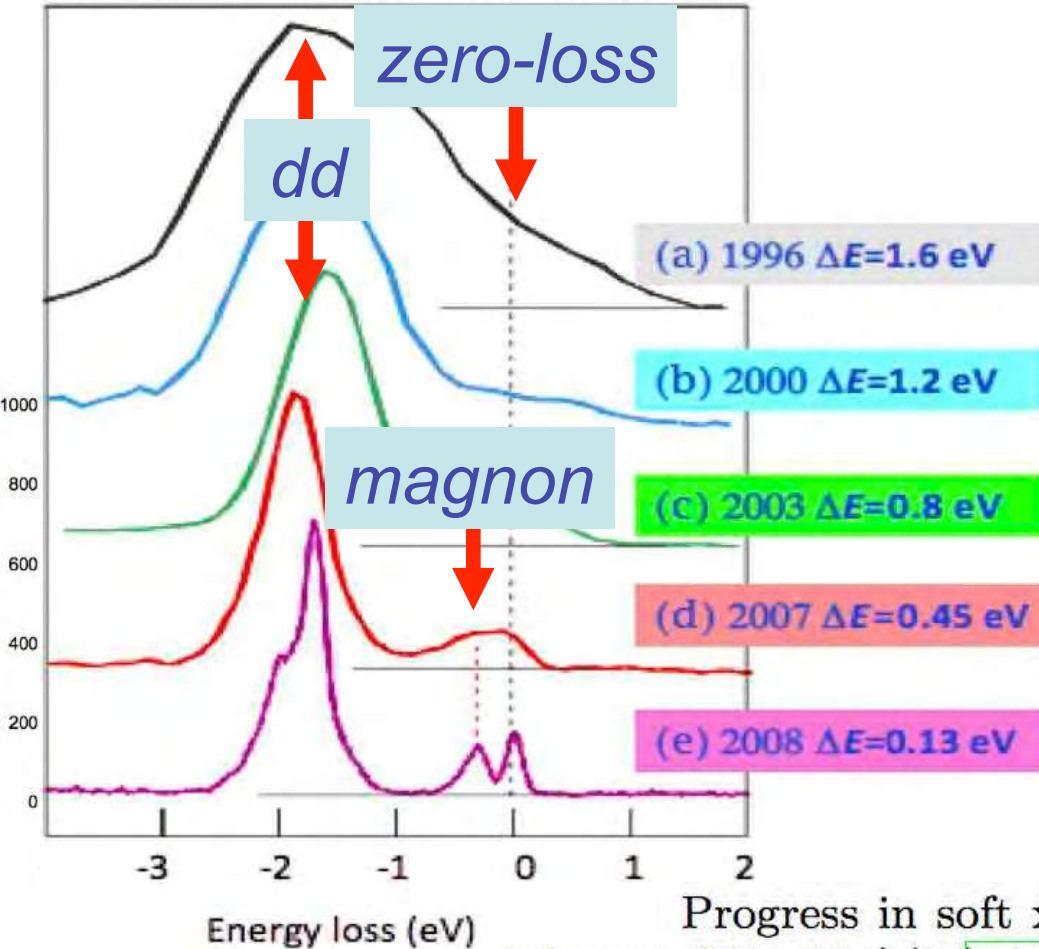


In principle RIXS can probe a very broad class of intrinsic excitations of the system under study – as long as these excitations are overall charge neutral. This constraint arises from the fact that in RIXS the scattered photons do not add or remove charge from the system under study.

# Progress in the Past Decades

# Progress @ Cu L-edge resolution

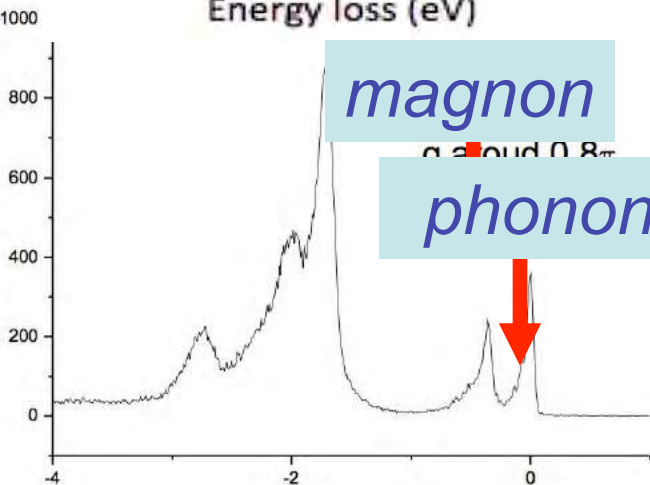
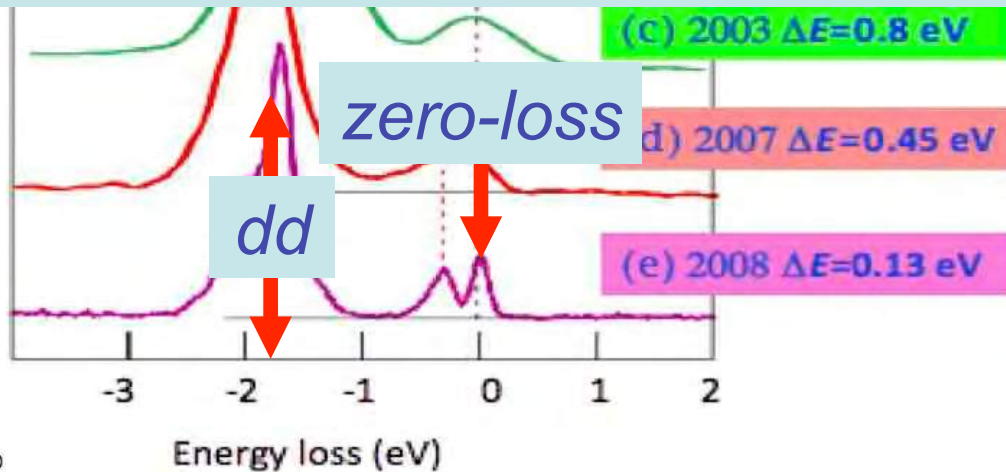
RIXS spectra of  $\text{La}_2\text{CuO}_4$  at Cu  $L_3$ -edge



Progress in soft x-ray RIXS resolution at the Cu L-edge at 931 eV (a) (Ichikawa *et al.*, 1996), BLBB @ Photon Factory (b) I511-3 @ MAX II (Duda *et al.*, 2000b), (c) AXES @ ID08, ESRF (Ghiringhelli *et al.*, 2004) (d) AXES @ ID08, ESRF (Braicovich *et al.*, 2009), (e) SAXES @ SLS (Ghiringhelli *et al.*, 2010). Courtesy of G. Ghiringhelli and L. Braicovich.



# Progress @ Cu L-edge resolution



COURTESY  
Lucio Braicovich  
ESRF Beamline ID32  
13.07.2015

Progress in soft x-ray RIXS resolution at the Cu L-edge at 931 eV (a) (Ichikawa *et al.*, 1996), BLBB @ Photon Factory (b) I511-3 @ MAX II (Duda *et al.*, 2000b), (c) AXES @ ID08, ESRF (Ghiringhelli *et al.*, 2004) (d) AXES @ ID08, ESRF (Braicovich *et al.*, 2009), (e) SAXES @ SLS (Ghiringhelli *et al.*, 2010). Courtesy of G. Ghiringhelli and L. Braicovich.

## *Summary part I*

- Direct and Indirect RIXS
- RIXS measures excitation energy & momentum
- Polarization in/out dependence can be studied
- Element and orbital sensitive
- Bulk sensitive & needs small sample volumes
- Measures charge neutral elementary excitations  
spin, orbital, lattice, charge excitons
- Great progress in resolution in the past decade

## 2. Magnetic RIXS on low dimensional magnets

*Quasi 2D cuprates*

*Quasi 1D cuprates*

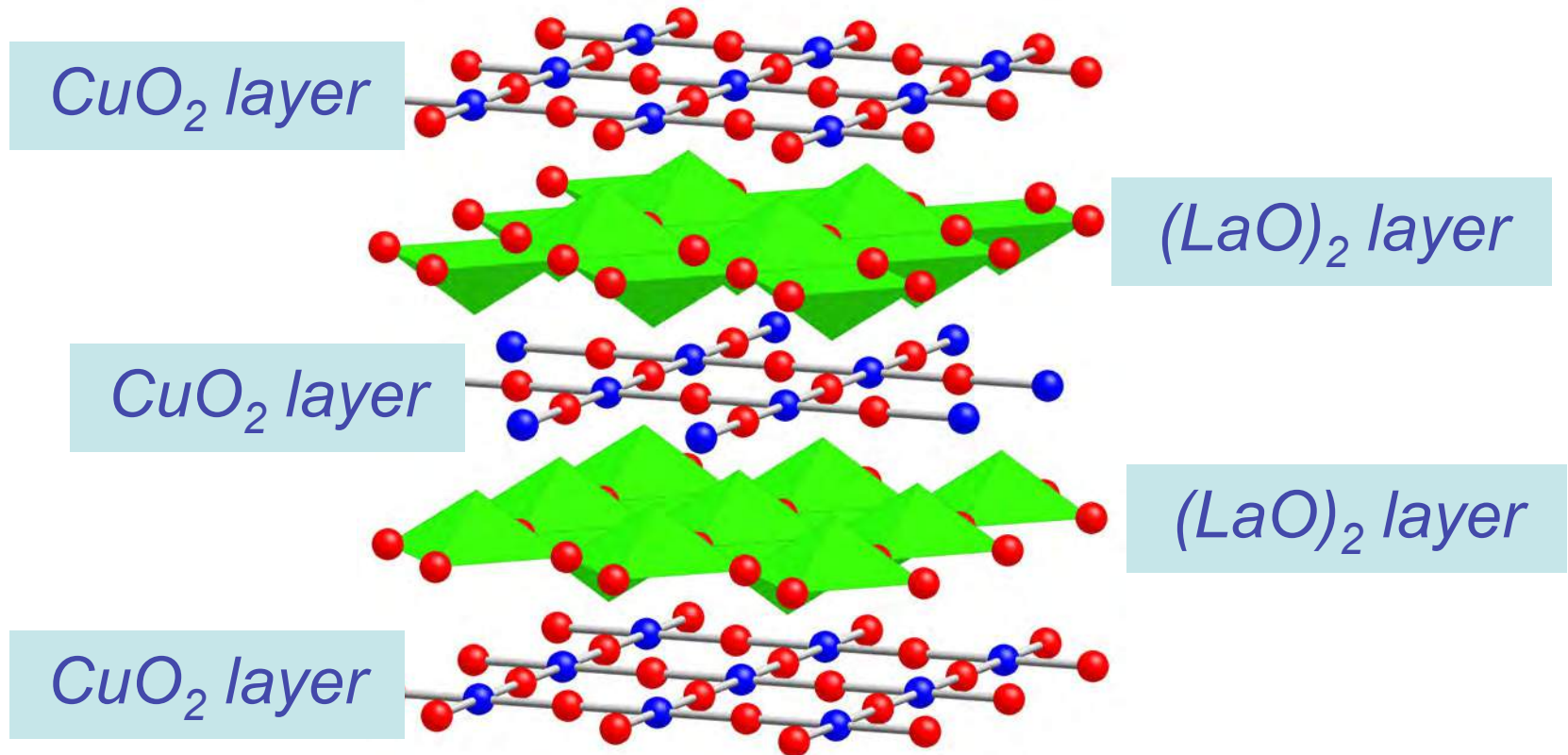
*Quasi 2D iron pnictide*

*Quasi 2D iridate*

*Doped Cu & Fe systems*

# Quasi 2D cuprates

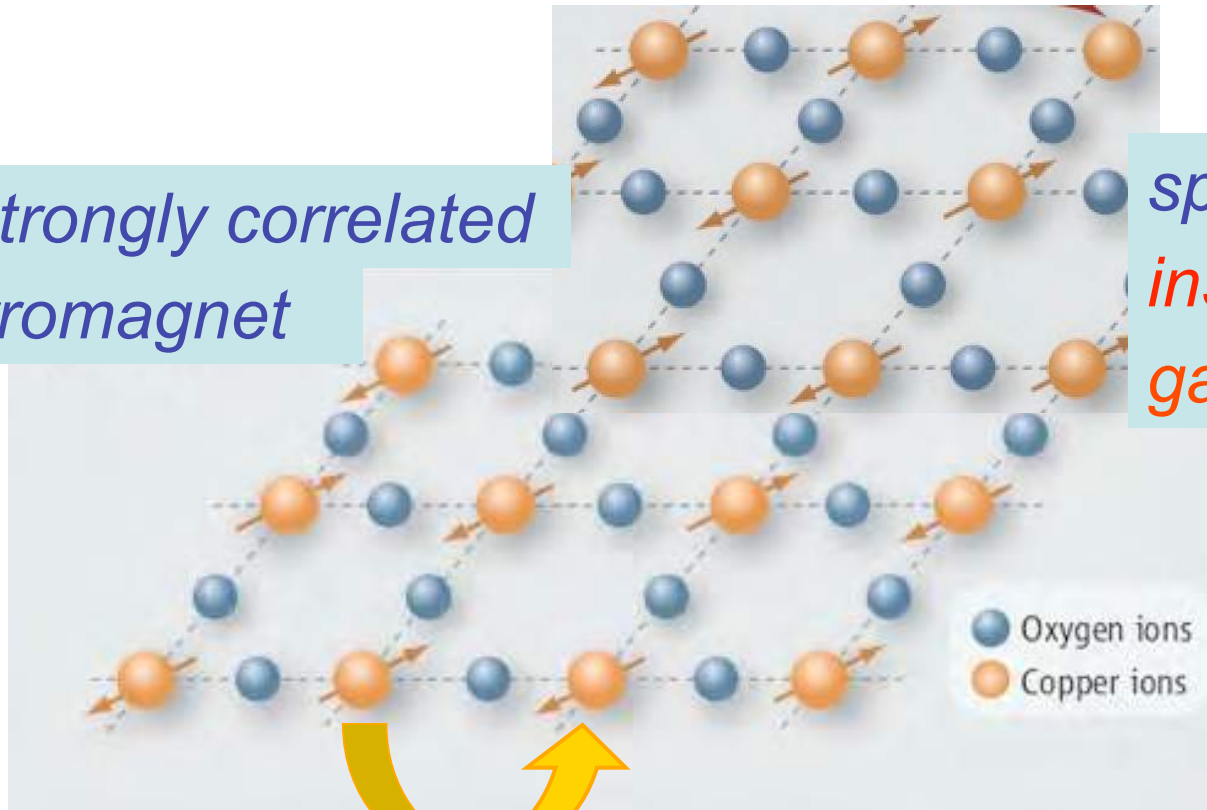
# $La_2CuO_4$ crystal structure



# $\text{La}_2\text{CuO}_4$ magnetic structure

*strongly correlated  
antiferromagnet*

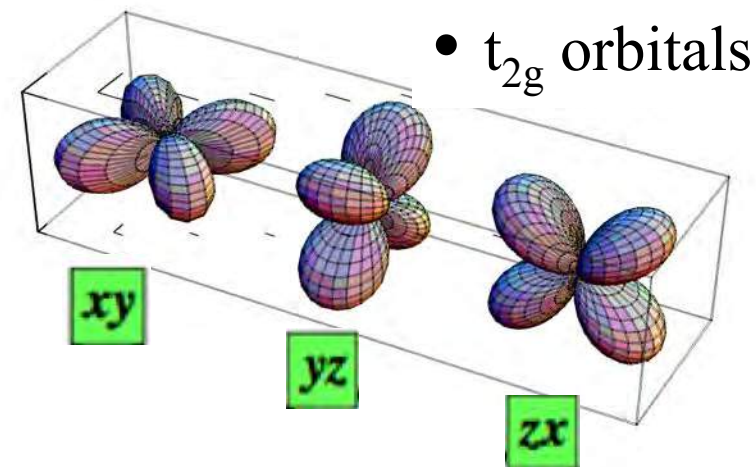
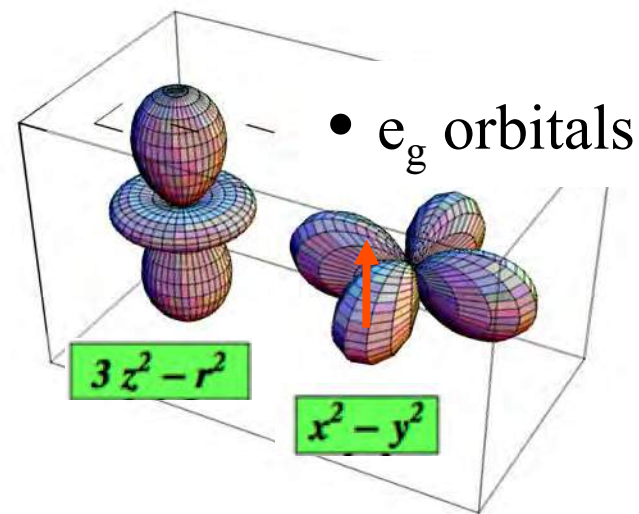
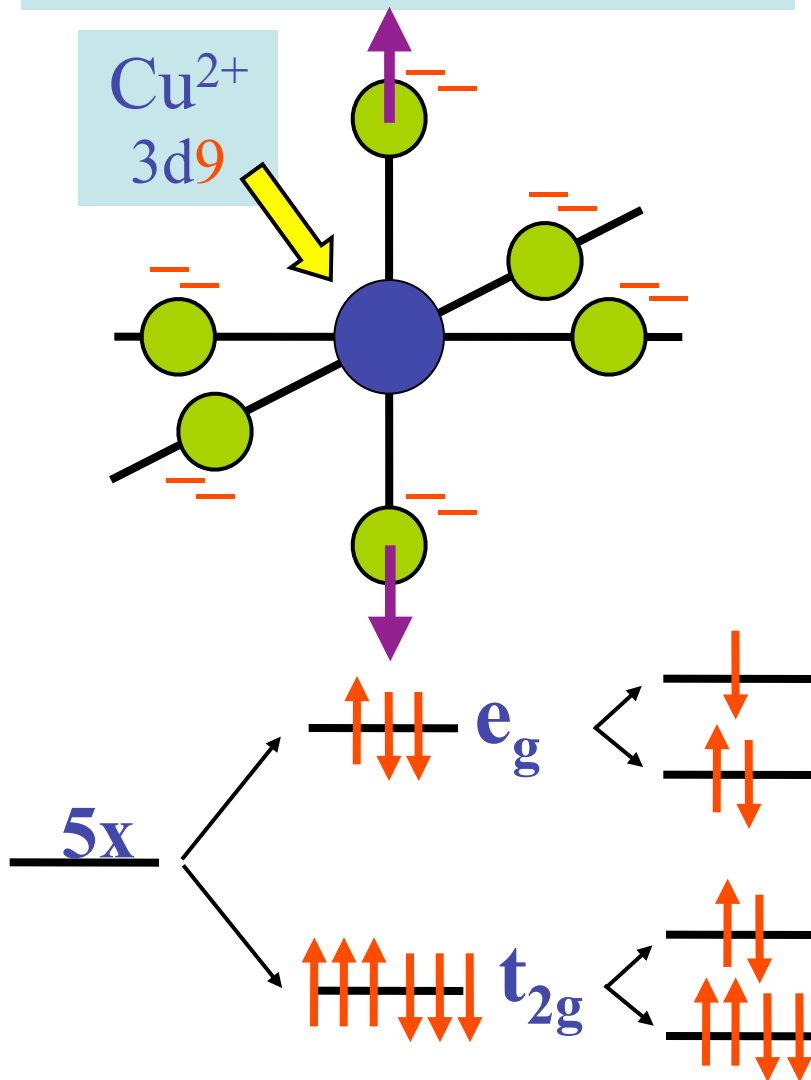
*spin 1/2  
insulator  
gap  $\sim 2$  eV*



$U \sim 6$  eV

# Atomic Model: Local d-d orbital splitting: $\text{Cu}^{2+}$

## Cubic Crystal field splitting



# Ultra-short Core-hole Life-time expansion

short life-time  $\tau$  of the high energy core-hole

large core-hole broadening  $\Gamma = \hbar/\tau$

RIXS  
amplitude

$$\mathcal{F}_{fg} = \langle f | \mathcal{D}'^\dagger G(z_{\mathbf{k}}) \mathcal{D} | g \rangle$$

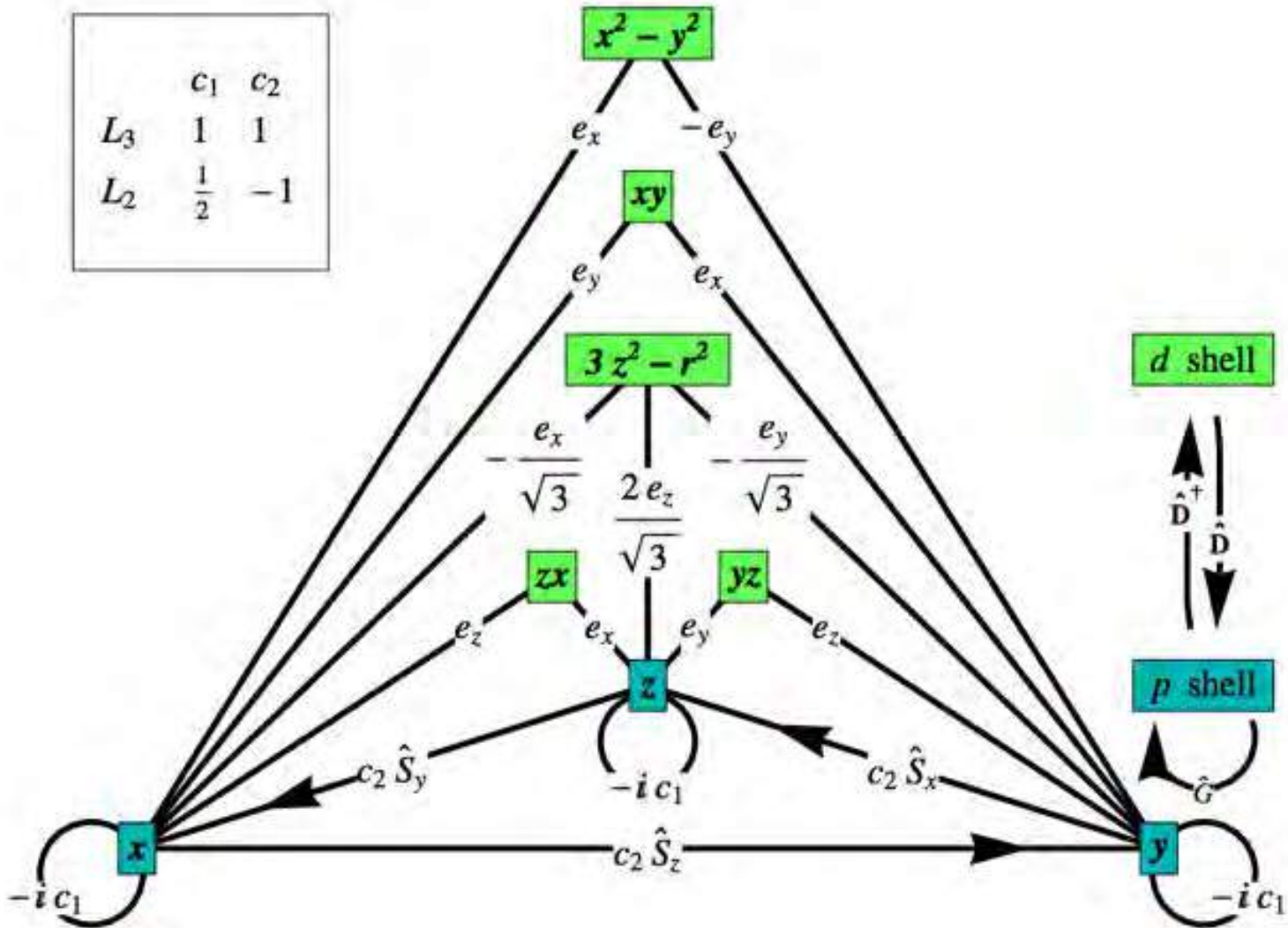
$$z_{\mathbf{k}} = E_g + \hbar\omega_{\mathbf{k}} + i\Gamma \quad \text{large}$$

$$G(z_{\mathbf{k}}) = \frac{1}{z_{\mathbf{k}} - H} = \sum_n \frac{|n\rangle\langle n|}{z_{\mathbf{k}} - E_n} \quad \approx \text{constant}$$

RIXS response governed by (dipole) transition operators



# RIXS amplitude @ transition metal L-edge

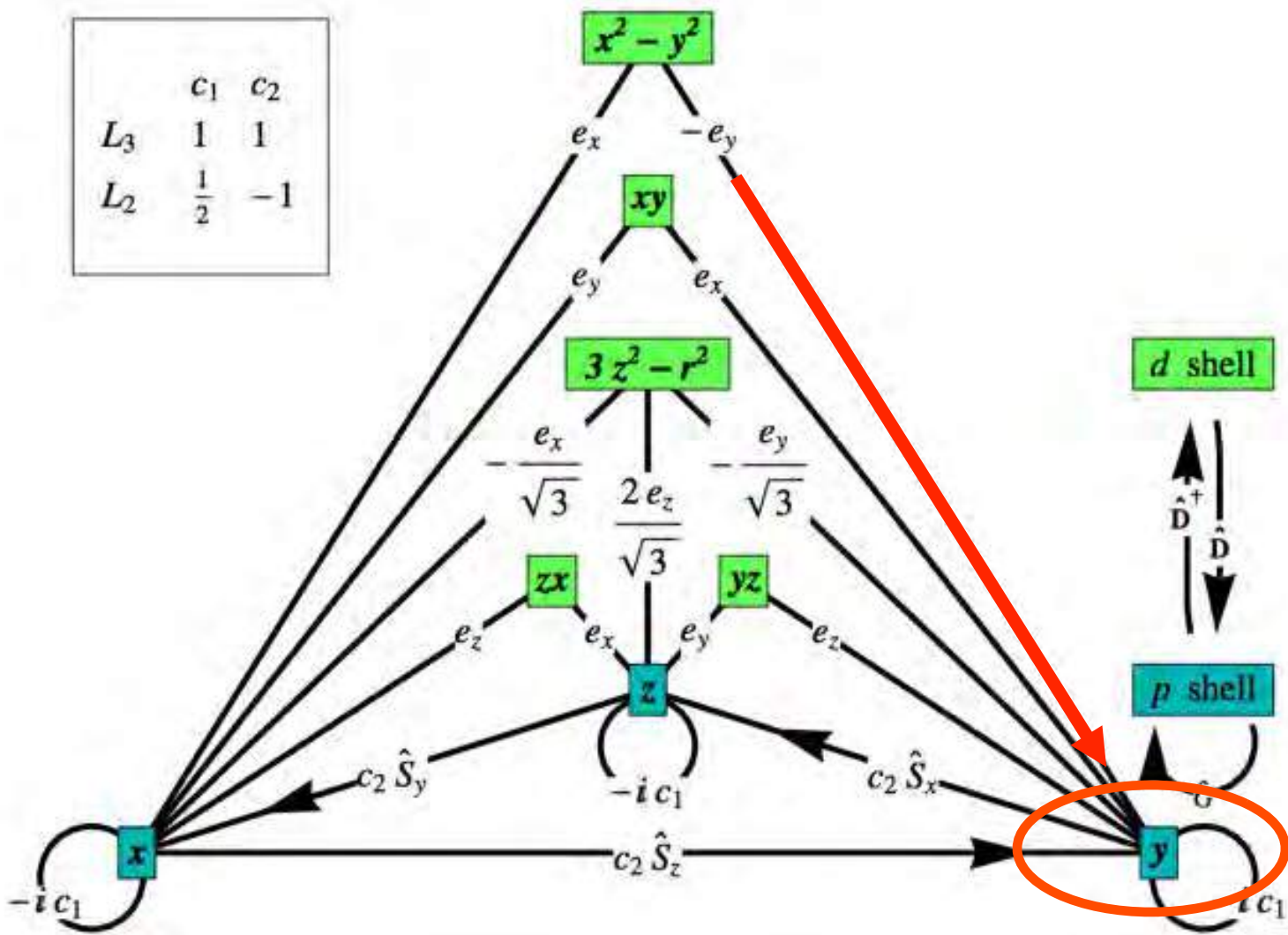


Ament, Ghiringhelli, Moretti,  
Braicovich & JvdB,  
PRL 103, 117003 (2009)

Marra, Wohlfeld & JvdB,  
PRL 109, 117401 (2012)



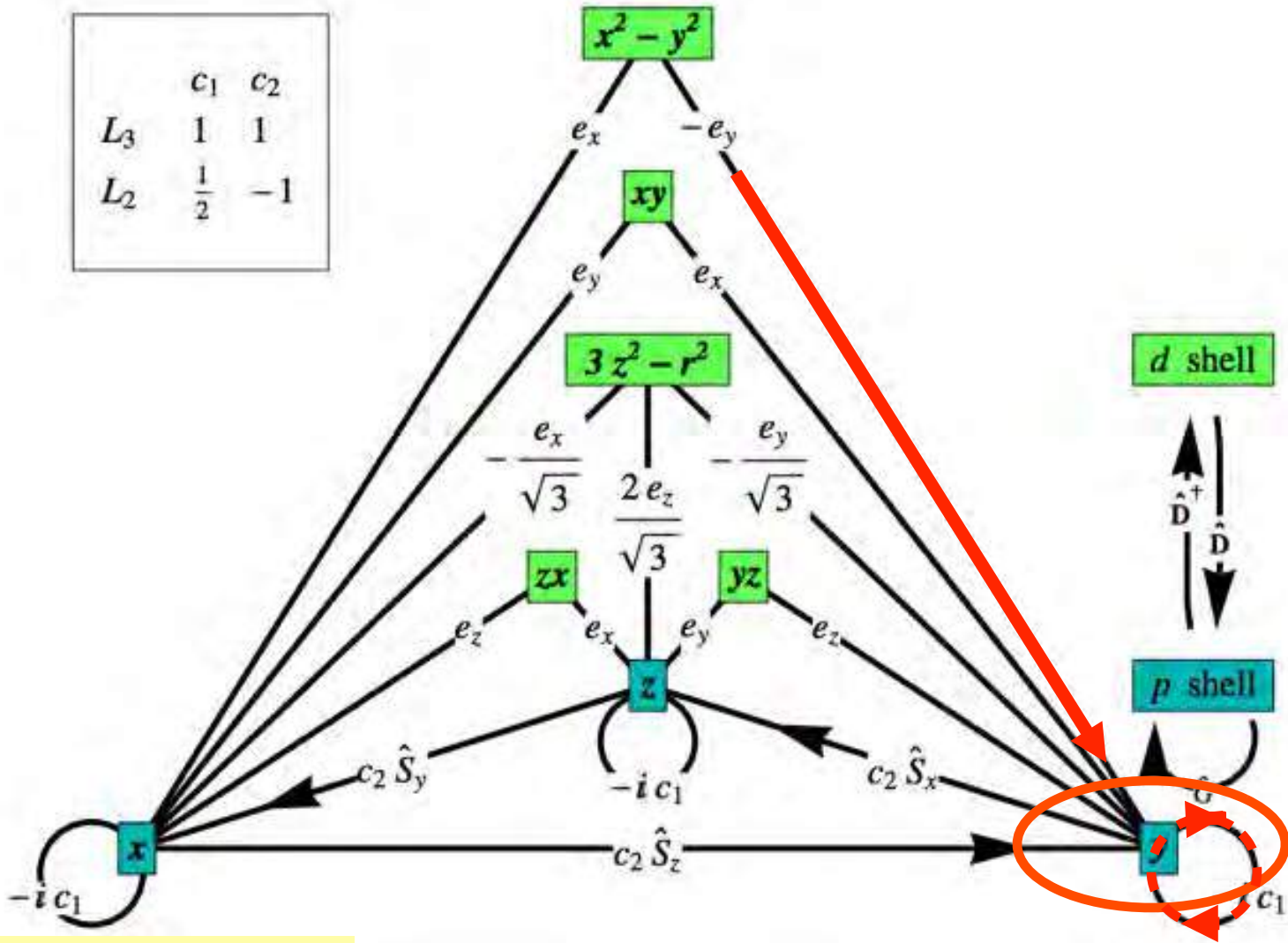
# RIXS amplitude @ transition metal L-edge



Ament, Ghiringhelli, Moretti,  
Braicovich & JvdB,  
PRL 103, 117003 (2009)

Marra, Wohlfeld & JvdB,  
PRL 109, 117401 (2012)

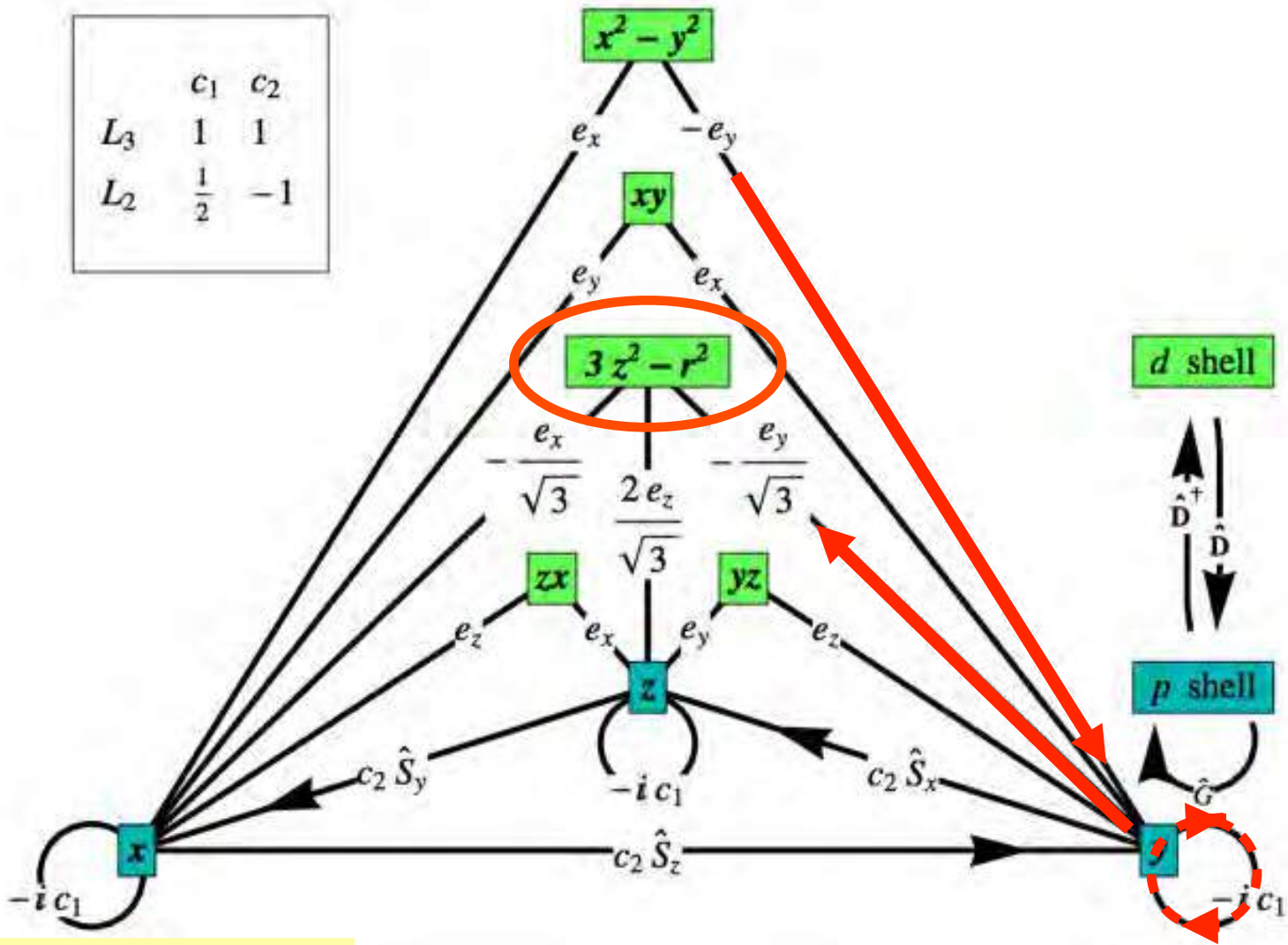
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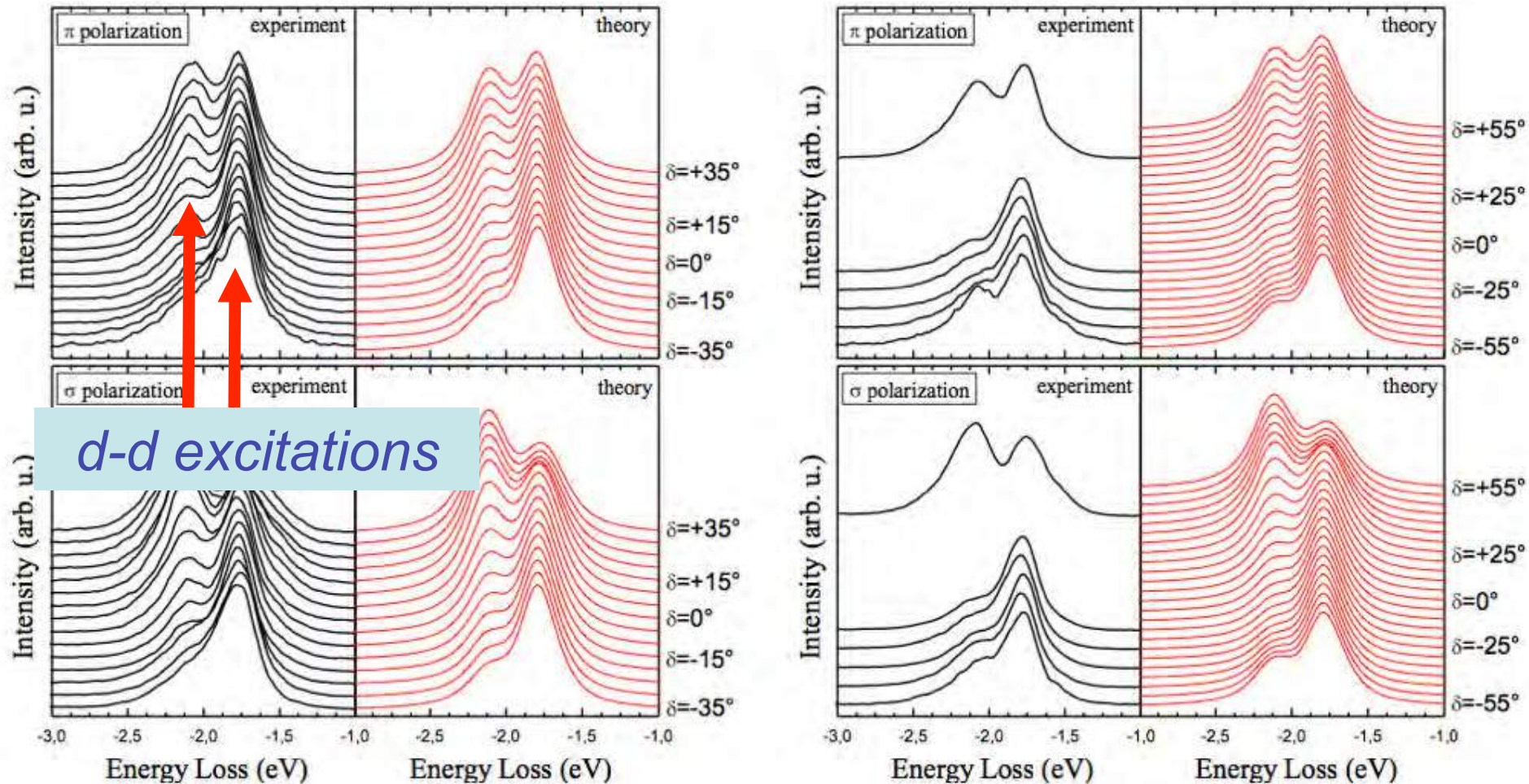
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Ament, Ghiringhelli, Moretti,  
Braicovich & JvdB,  
PRL 103, 117003 (2009)

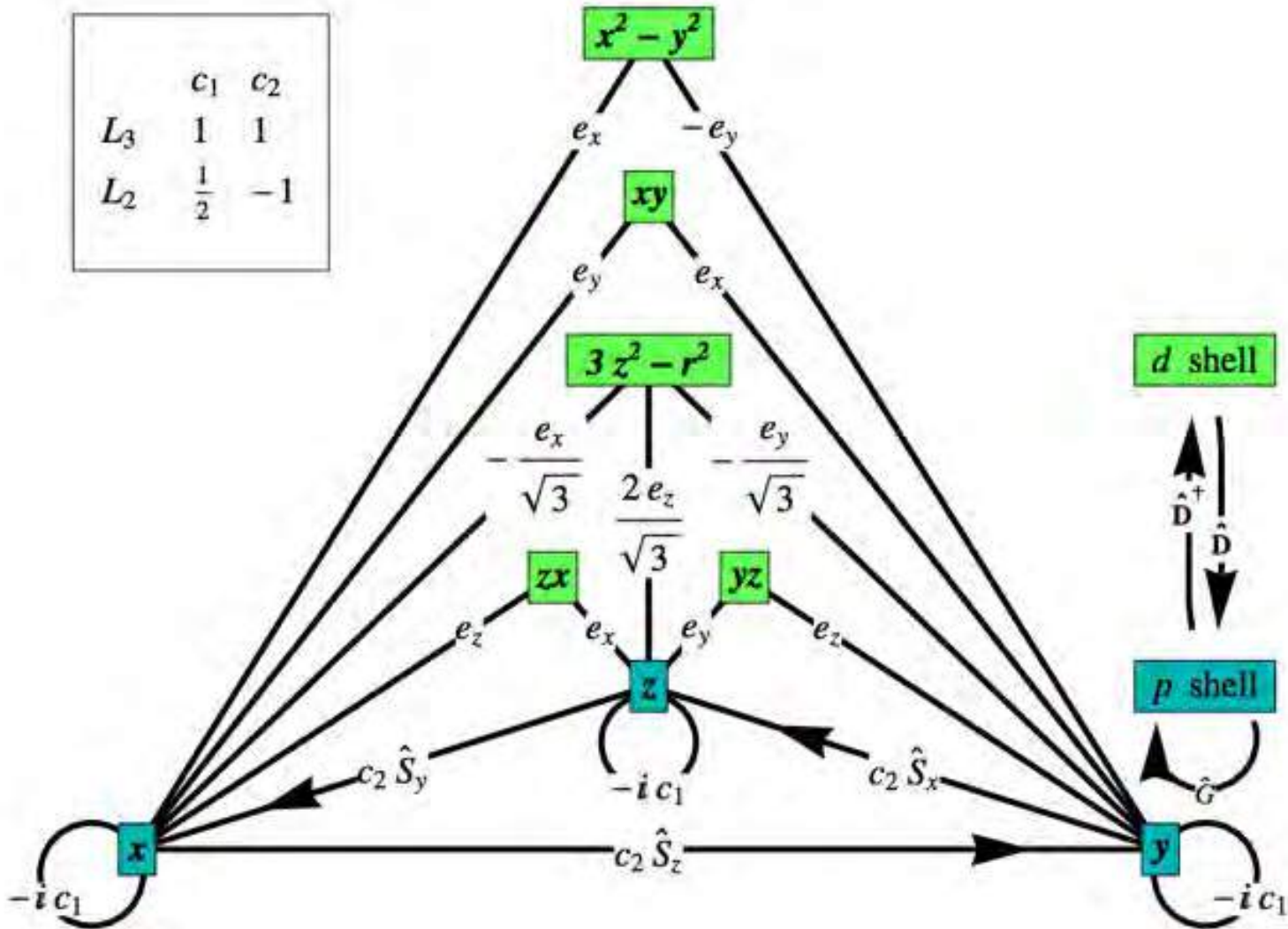
Marra, Wohlfeld & JvdB,  
PRL 109, 117401 (2012)

# Orbital excitations by direct RIXS on $\text{La}_2\text{CuO}_4$



Moretti, Bisogni, Aruta, Balestrino, Berger, Brookes, Luca, Castro, Grioni, Guarise, Medaglia, Miletto, Minola, Perna, Radovic, Salluzzo, Schmitt, Zhou, Braicovich & Ghiringhelli, NJP 13, 043026 (2011)

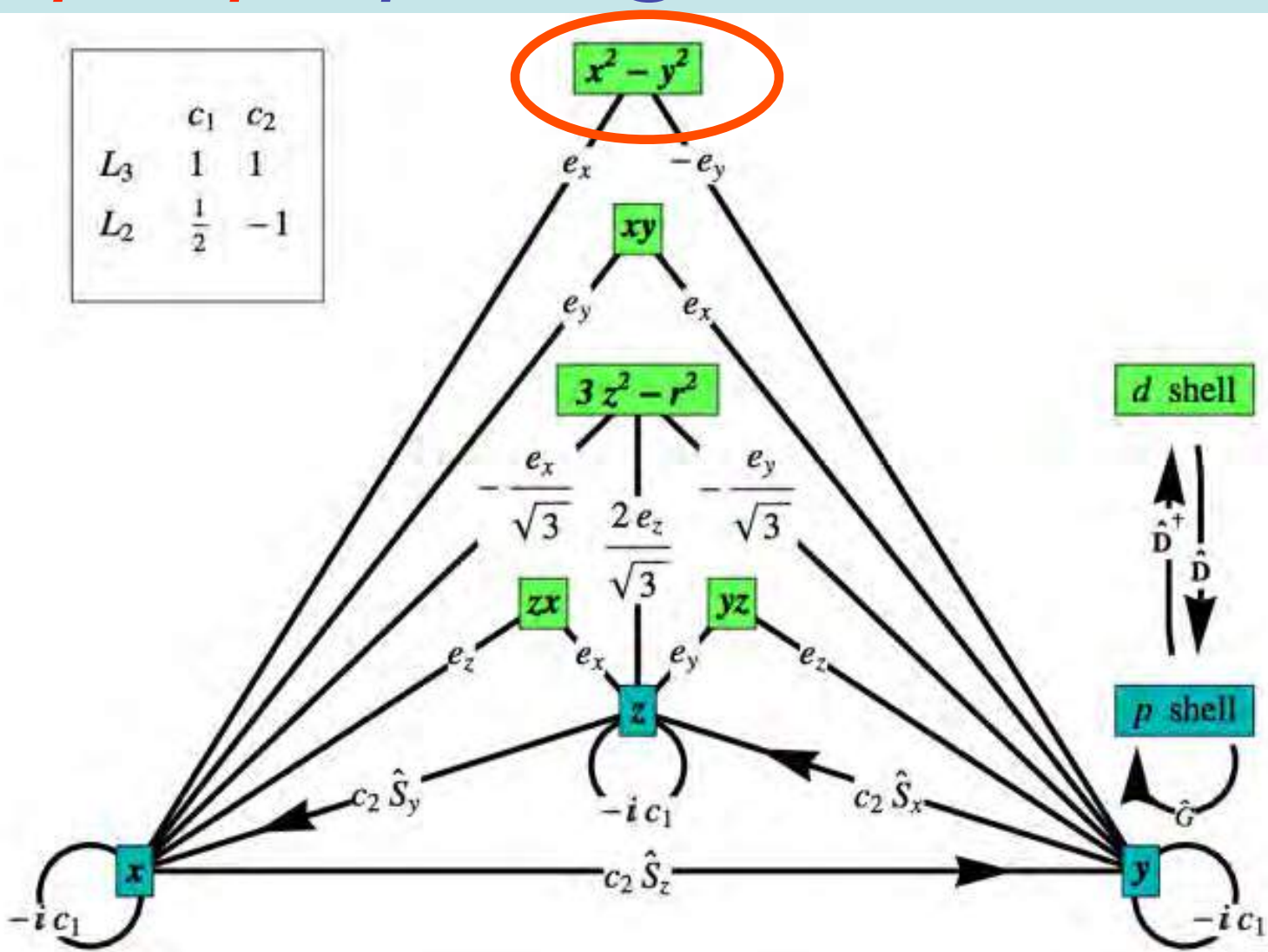
# RIXS *spin-flip* amplitude @ transition metal L-edge



Ament, Ghiringhelli, Moretti,  
Braicovich & JvdB,  
PRL 103, 117003 (2009)

Marra, Wohlfeld & JvdB,  
PRL 109, 117401 (2012)

# RIXS *spin-flip* amplitude @ transition metal L-edge

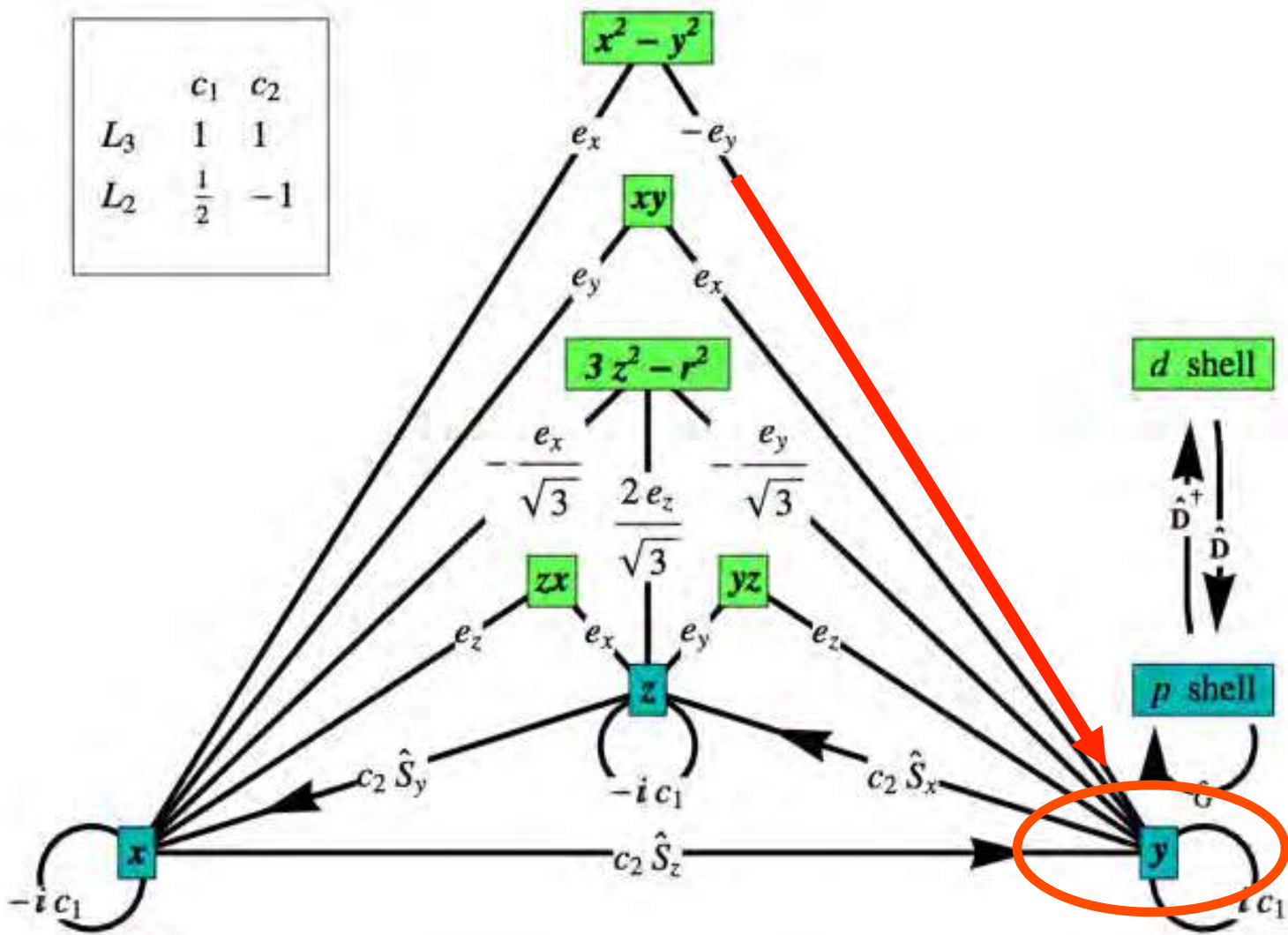


Ament, Ghiringhelli, Moretti,  
Braicovich & JvdB,  
PRL 103, 117003 (2009)

Marra, Wohlfeld & JvdB,  
PRL 109, 117401 (2012)



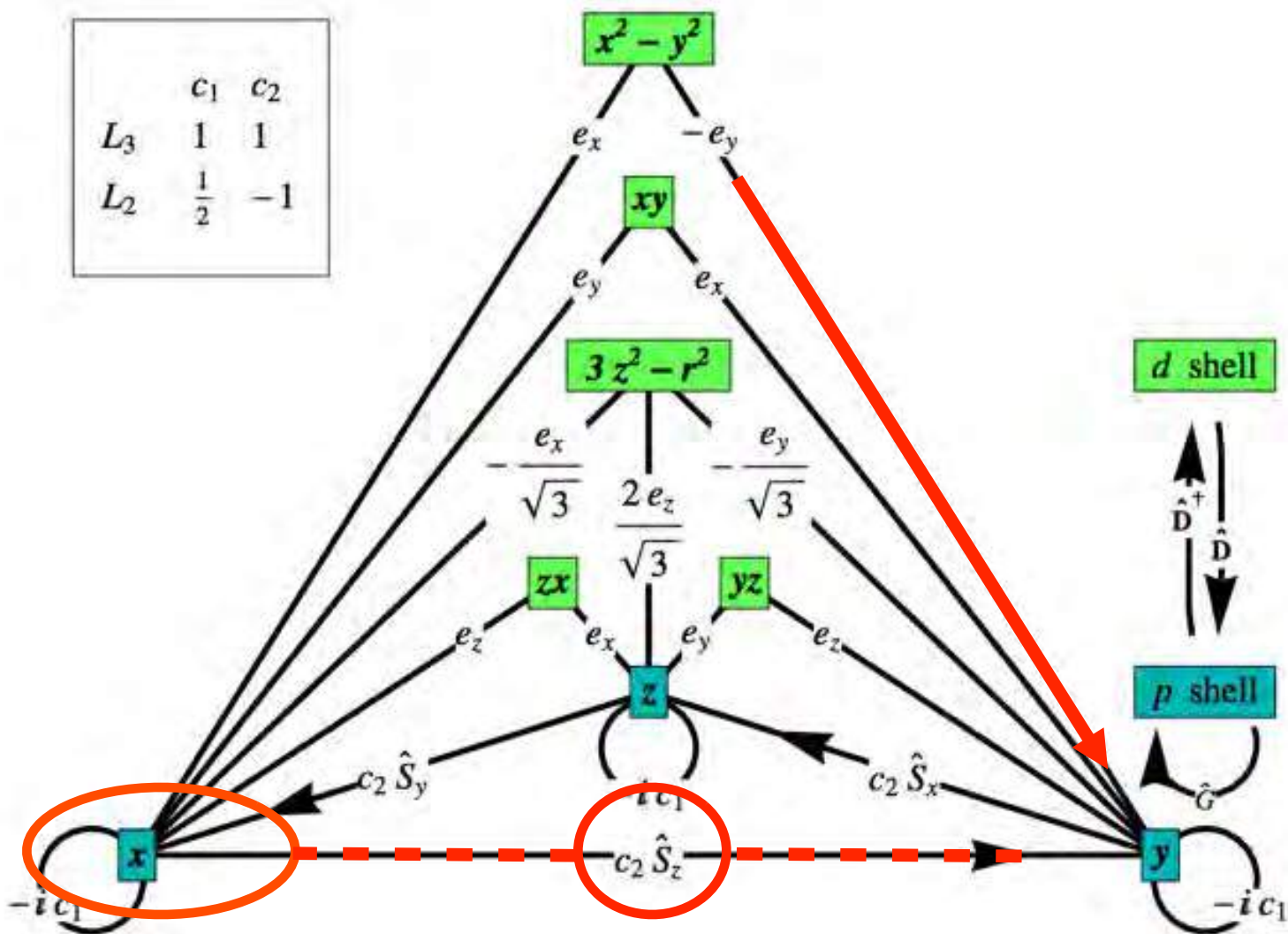
# RIXS *spin-flip* amplitude @ transition metal L-edge



Ament, Ghiringhelli, Moretti,  
Braicovich & JvdB,  
PRL 103, 117003 (2009)

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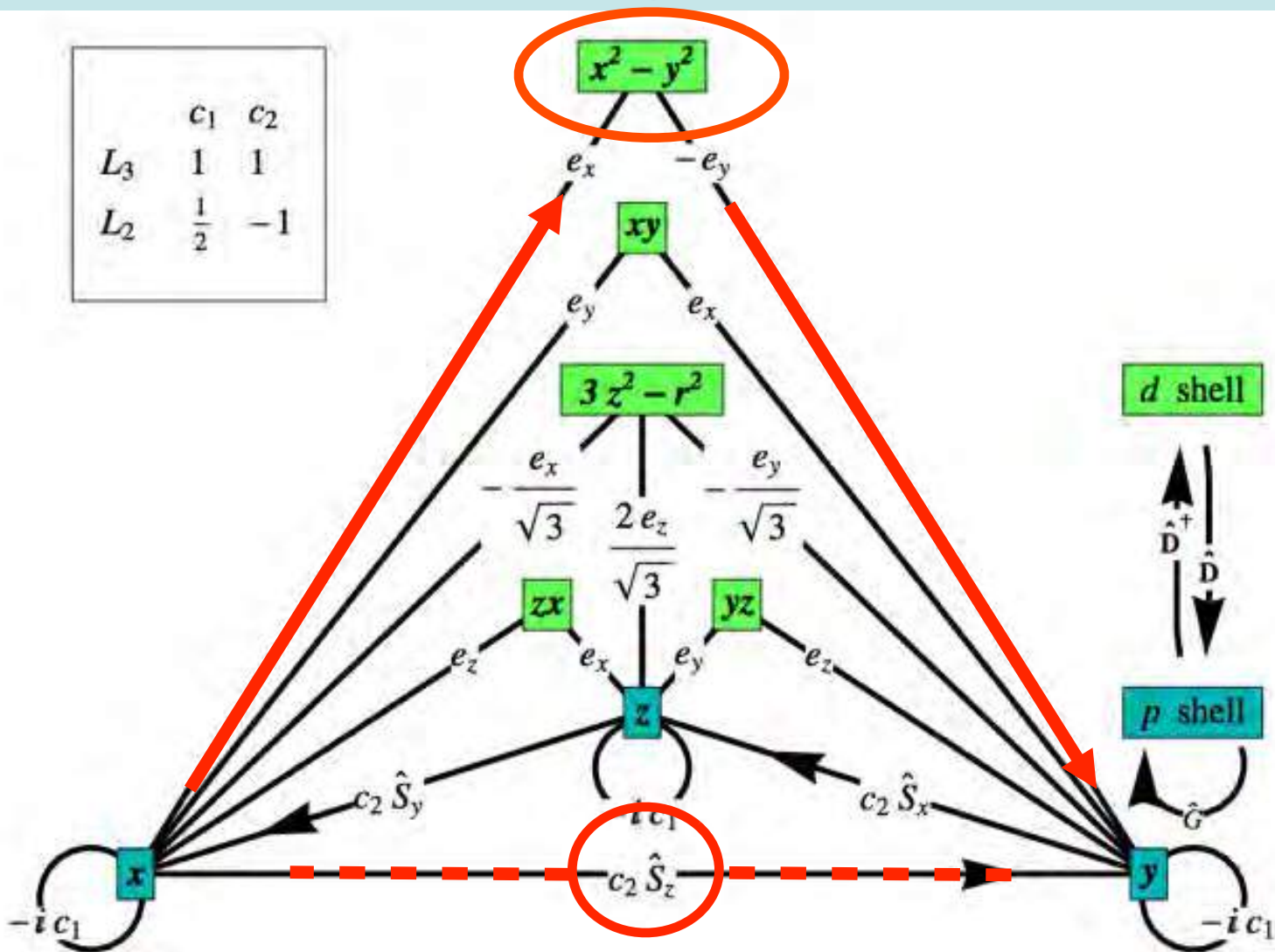
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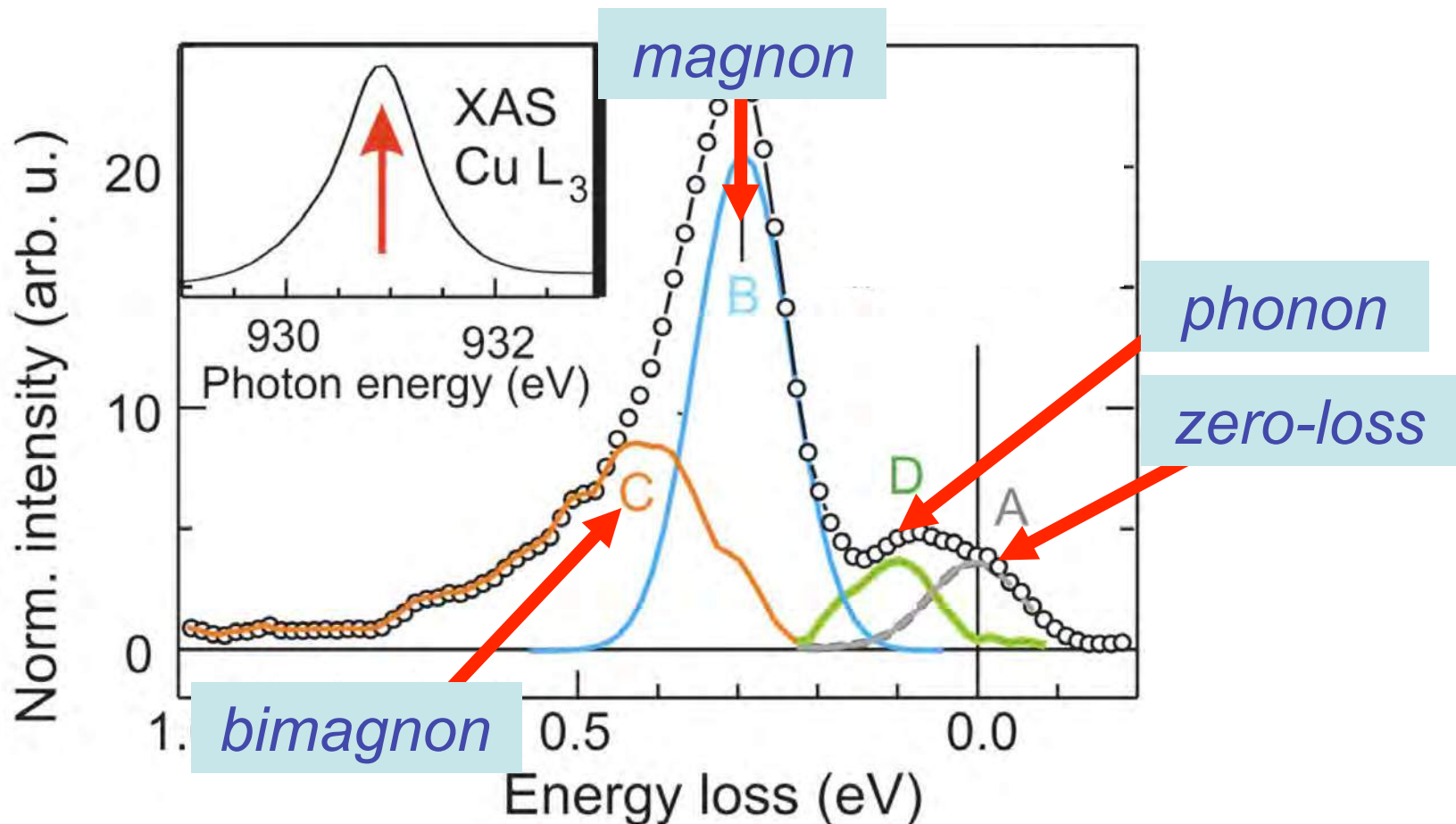
$x^2-y^2$  spin *NOT* //  $z$ :  
*pure spin flip*

Marra, Wohlfeld & JvdB,  
PRL 109, 117401 (2012)

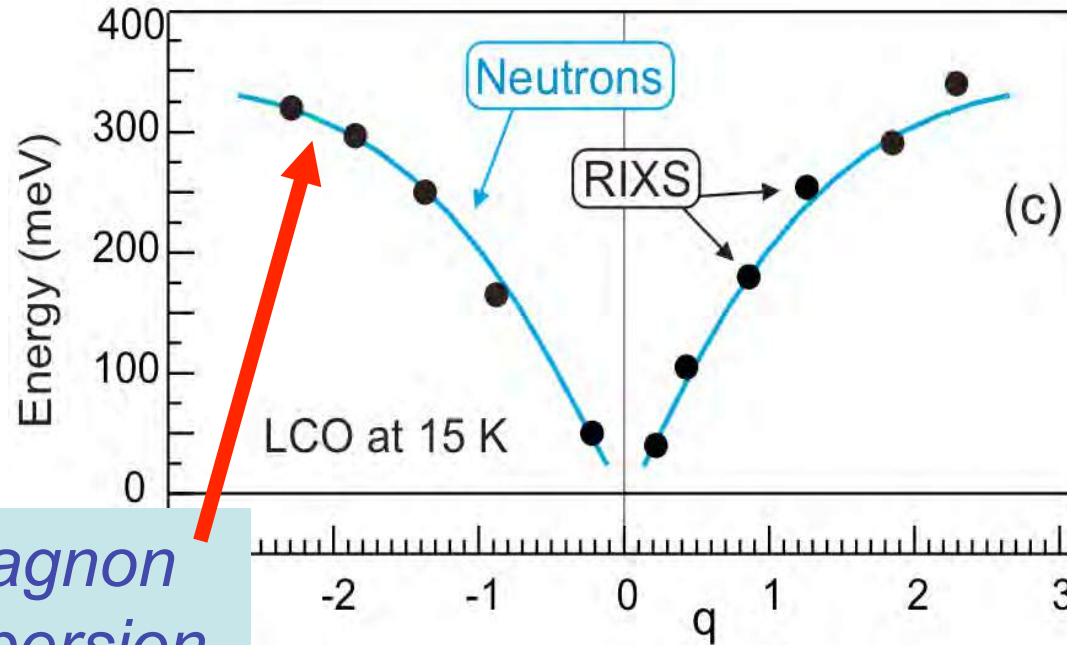
# Magnetic RIXS on $\text{La}_2\text{CuO}_4$ @ Cu L-edge

*In special cases direct spin-flip scattering is allowed at Cu L-edge*

*CuO's are such special cases...*



# Magnetic direct RIXS on $\text{La}_2\text{CuO}_4$ @ Cu L-edge

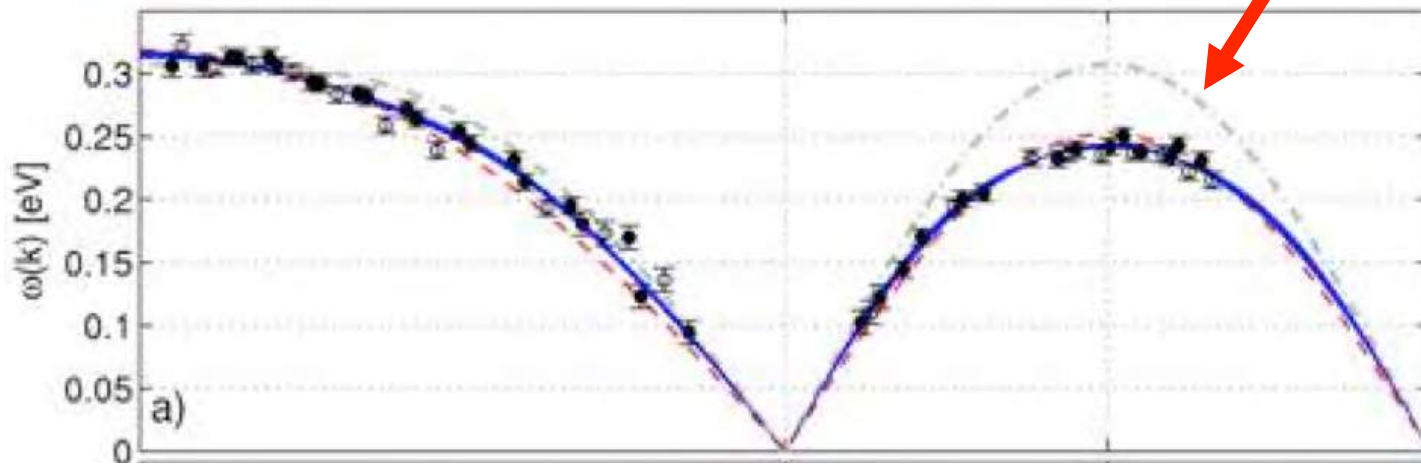


magnon dispersion

Braicovich, JvdB *et al.*,  
PRL 104, 077002 (2010)

# RIXS magnon dispersion of $\text{Sr}_2\text{CuO}_2\text{Cl}_2$

*deviation from  
simple Heisenberg*



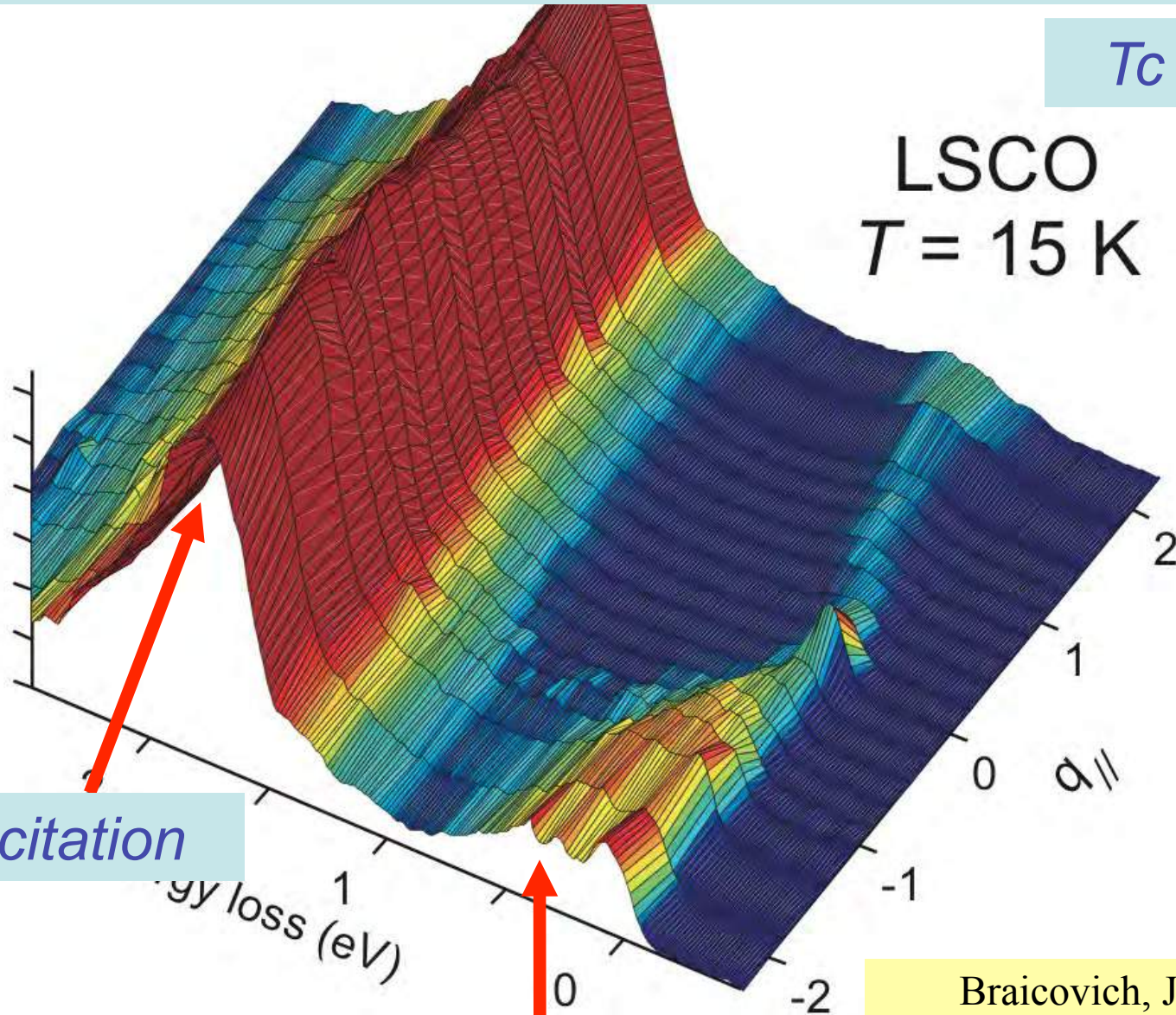
—> transferred momentum  $q$

Guarise *et al.*,  
PRL 105, 157006 (2010)

# Magnetic L-edge RIXS on 8% doped $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

$T_c = 21\text{K}$

LSCO  
 $T = 15\text{K}$



*d-d excitation*

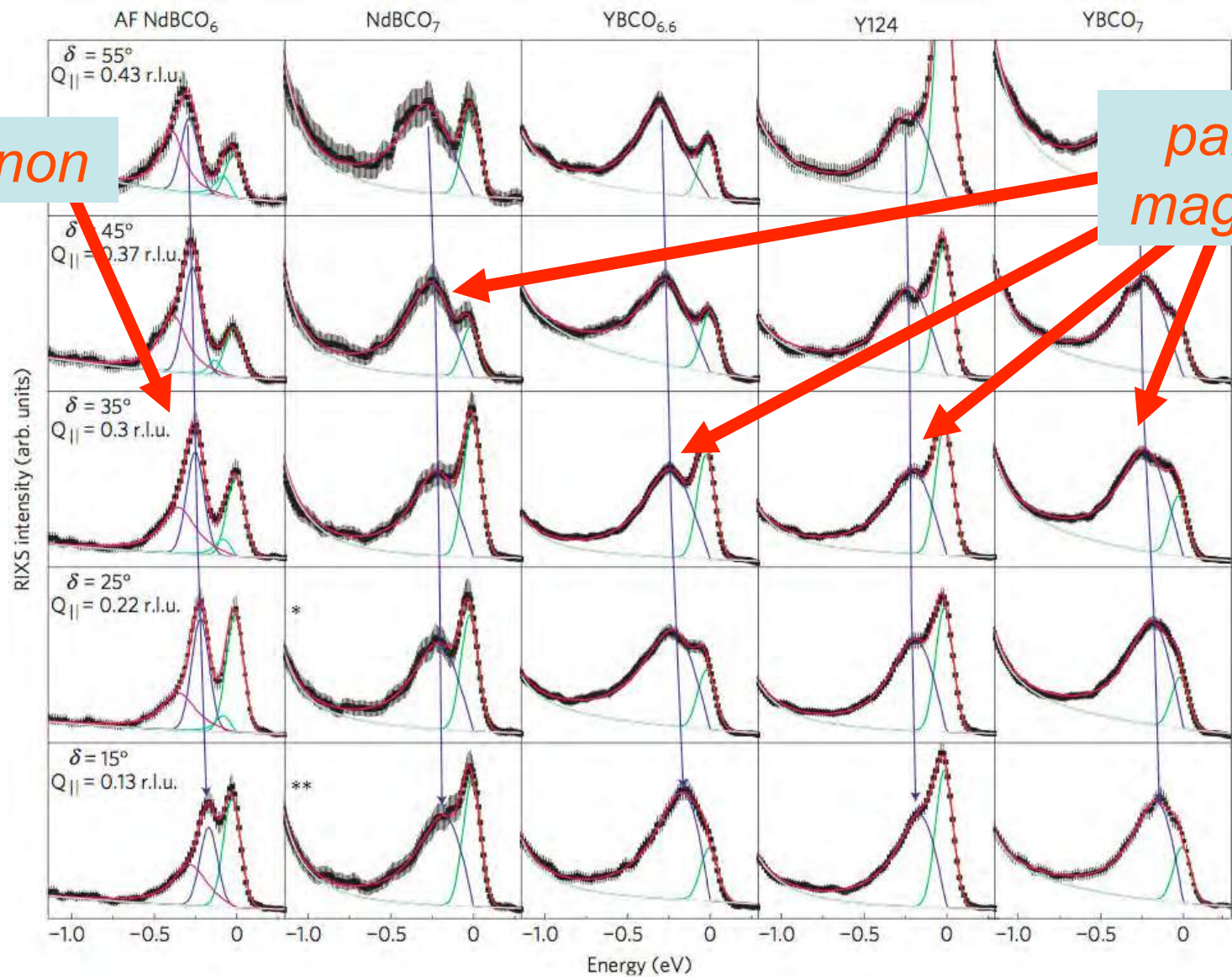
*paramagnon*

Braicovich, JvdB *et al.*  
PRL 104, 077002 (2010)

# Intense paramagnon excitations in a large family of high-temperature superconductors

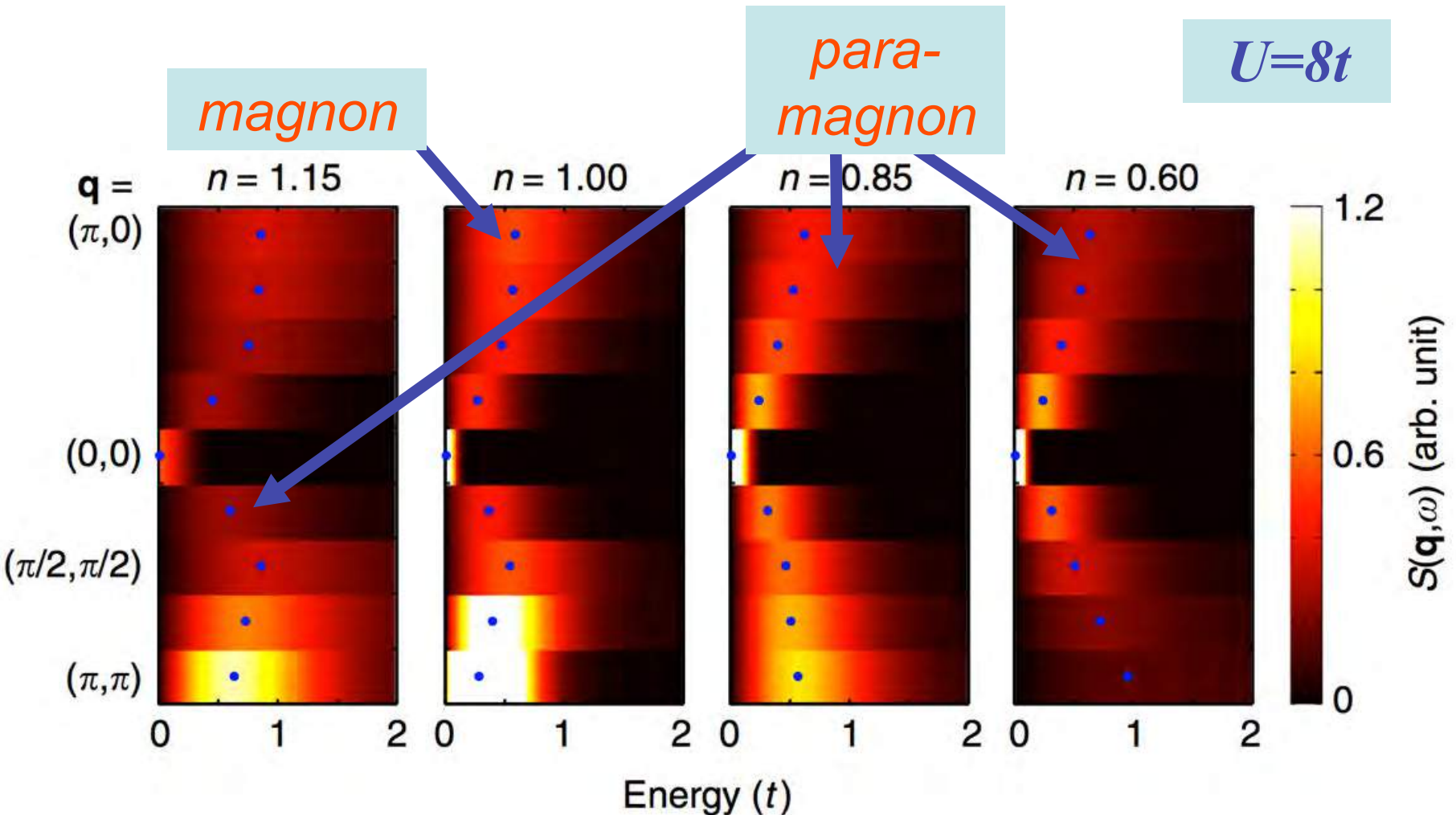
M. Le Tacon<sup>1\*</sup>, G. Ghiringhelli<sup>2</sup>, J. Chaloupka<sup>1</sup>, M. Moretti Sala<sup>2</sup>, V. Hinkov<sup>1,3</sup>, M. W. Haverkort<sup>1</sup>, M. Minola<sup>2</sup>, M. Bakr<sup>1</sup>, K. J. Zhou<sup>4</sup>, S. Blanco-Canosa<sup>1</sup>, C. Monney<sup>4</sup>, Y. T. Song<sup>1</sup>, G. L. Sun<sup>1</sup>, C. T. Lin<sup>1</sup>, G. M. De Luca<sup>5</sup>, M. Salluzzo<sup>5</sup>, G. Khaliullin<sup>1</sup>, T. Schmitt<sup>4</sup>, L. Braicovich<sup>2</sup> and B. Keimer<sup>1\*</sup>





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# Dynamical structure factor Hubbard model, QMC



Jia, Nowadnick, Wohlfeld, Kung, Chen,  
Johnston, Tohyama, Moritz & Devereaux  
Nat. Comm. 5, 3314 (2014)

## *Summary part 2*

- RIXS sensitive to magnetic excitations of e.g.
  - low D cuprates, iron pnictides and iridates
- Magnons, spinons and paramagnons are observed
- Dispersion of these modes can be determined
- Observed paramagnons are challenge for theory
- It can reasonably be assumed that the future of
  - RIXS is even brighter than its past
- More and better experiments, instruments, theory

RIXS amplitude/intensity

Interaction light & matter

# RIXS amplitude $F$ and intensity $I$

Intensity

Amplitude

$$I(\omega, \mathbf{k}, \mathbf{k}', \epsilon, \epsilon') = \sum_f |\mathcal{F}_{fg}(\mathbf{k}, \mathbf{k}', \epsilon, \epsilon', \omega_{\mathbf{k}})|^2 \\ \times \delta(E_f + \hbar\omega_{\mathbf{k}'} - E_g - \hbar\omega_{\mathbf{k}})$$

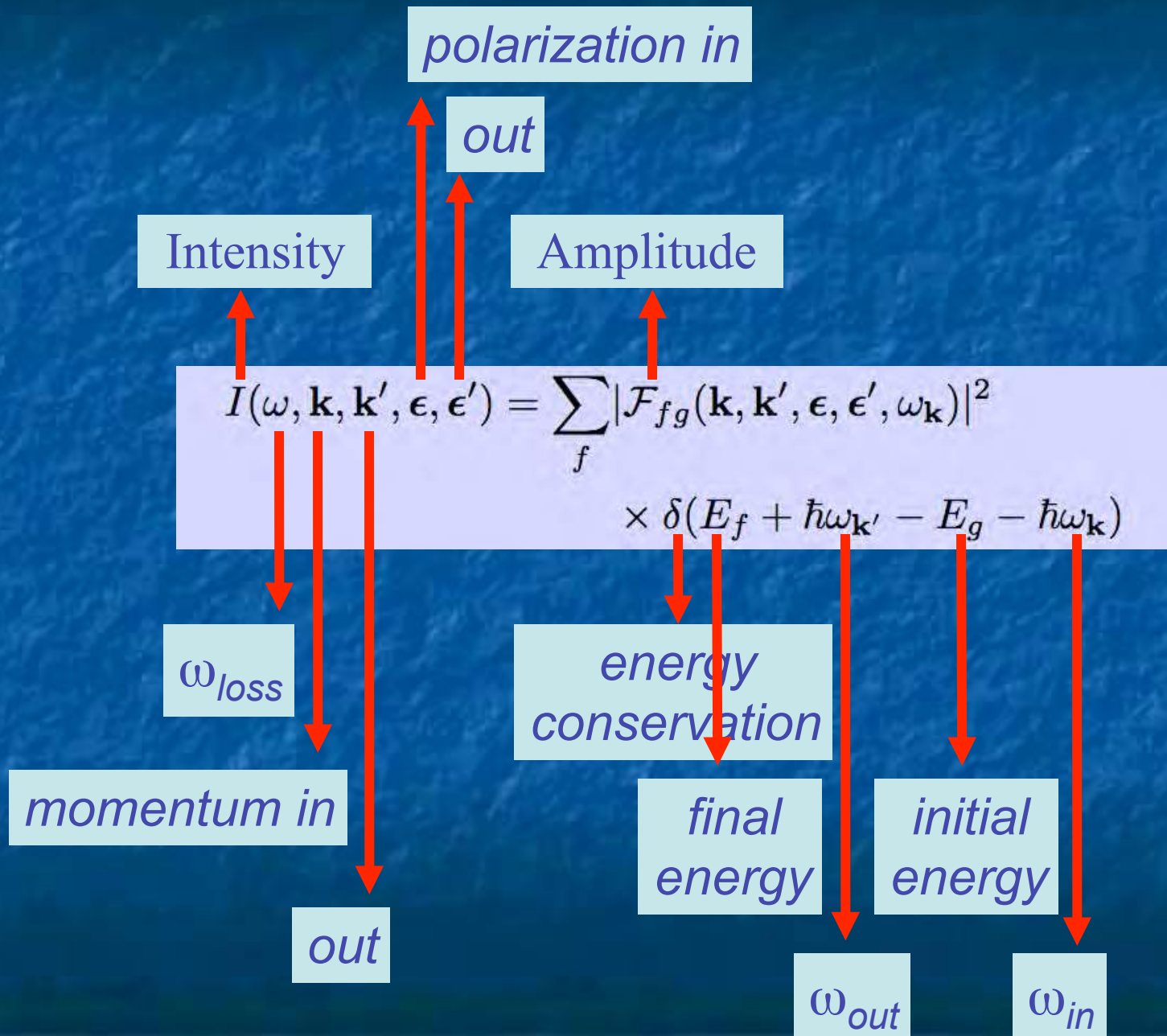
$\omega_{loss}$

momentum in

out

$$q_{loss} = k' - k$$

# RIXS amplitude $F$ and intensity $I$



# Interaction of light and matter: Hamiltonian

kinetic

Zeeman

$$H = \sum_{i=1}^N \left[ \frac{(\mathbf{p}_i + e\mathbf{A}(\mathbf{r}_i))^2}{2m} + \frac{e\hbar}{2m} \boldsymbol{\sigma}_i \cdot \mathbf{B}(\mathbf{r}_i) + \frac{e\hbar}{2(2mc)^2} \times \right. \\ \left. \boldsymbol{\sigma}_i \cdot \left( \mathbf{E}(\mathbf{r}_i) \times (\mathbf{p}_i + e\mathbf{A}(\mathbf{r}_i)) - (\mathbf{p}_i + e\mathbf{A}(\mathbf{r}_i)) \times \mathbf{E}(\mathbf{r}_i) \right) \right] \\ + \frac{e\hbar^2 \rho(\mathbf{r}_i)}{8(mc)^2 \epsilon_0} + H_{\text{Coulomb}} + \sum_{\kappa, \epsilon} \hbar \omega_{\kappa} \left( a_{\kappa \epsilon}^\dagger a_{\kappa \epsilon} + \frac{1}{2} \right)$$

Darwin

free photons

spin-orbit  
coupling

electron-nucleus  
electron-electron

vector potential

plane wave

$$\mathbf{A}(\mathbf{r}) = \sum_{\kappa, \epsilon} \sqrt{\frac{\hbar}{2V\epsilon_0\omega_{\kappa}}} \left( \boldsymbol{\epsilon} a_{\kappa \epsilon} e^{i\boldsymbol{\kappa} \cdot \mathbf{r}} + \boldsymbol{\epsilon}^* a_{\kappa \epsilon}^\dagger e^{-i\boldsymbol{\kappa} \cdot \mathbf{r}} \right)$$

# Lowest order perturbing Hamiltonian: small A

$$H' = \sum_{i=1}^N \left[ \frac{e}{m} \mathbf{A}(\mathbf{r}_i) \cdot \mathbf{p}_i + \frac{e^2}{2m} \mathbf{A}^2(\mathbf{r}_i) + \frac{e\hbar}{2m} \boldsymbol{\sigma}_i \cdot \nabla \times \mathbf{A}(\mathbf{r}_i) - \frac{e^2\hbar}{(2mc)^2} \boldsymbol{\sigma}_i \cdot \frac{\partial \mathbf{A}(\mathbf{r}_i)}{\partial t} \times \mathbf{A}(\mathbf{r}_i) \right]$$

↓  
small

→

Fermi Golden Rule, to second order:

transition rate

$$w = \frac{2\pi}{\hbar} \sum_{\mathbf{f}} \left| \langle \mathbf{f} | H' | \mathbf{g} \rangle + \sum_n \frac{\langle \mathbf{f} | H' | n \rangle \langle n | H' | \mathbf{g} \rangle}{E_g - E_n} \right|^2 \delta(E_f - E_g)$$



## 2nd Order...

$$H' = \sum_{i=1}^N \frac{e}{m} \mathbf{A}(\mathbf{r}_i) \cdot \mathbf{p}_i + \frac{e^2}{2m} \mathbf{A}^2(\mathbf{r}_i) + \frac{e\hbar}{2m} \boldsymbol{\sigma}_i \cdot \nabla \times \mathbf{A}(\mathbf{r}_i)$$

*Fermi Golden Rule, to second order:*

*transition rate*

$$w = \frac{2\pi}{\hbar} \sum_{\mathbf{f}} \left| \langle \mathbf{f} | H' | \mathbf{g} \rangle \right. \\ \left. + \sum_n \frac{\langle \mathbf{f} | H' | n \rangle \langle n | H' | \mathbf{g} \rangle}{E_{\mathbf{g}} - E_n} \right|^2 \delta(E_{\mathbf{f}} - E_{\mathbf{g}})$$

## 2nd Order...

$$H' = \sum_{i=1}^N \frac{e}{m} \mathbf{A}(\mathbf{r}_i) \cdot \mathbf{p}_i + \frac{e\hbar}{2m} \boldsymbol{\sigma}_i \cdot \nabla \times \mathbf{A}(\mathbf{r}_i)$$

*Fermi Golden Rule, to second order:*

*transition rate*

$$w = \frac{2\pi}{\hbar} \sum_{\mathbf{f}} \left| \langle \mathbf{f} | H' | \mathbf{g} \rangle + \sum_n \frac{\langle \mathbf{f} | H' | n \rangle \langle n | H' | \mathbf{g} \rangle}{E_g - E_n} \right|^2 \delta(E_{\mathbf{f}} - E_{\mathbf{g}})$$

## 2nd Order: Resonant Scattering I

RIXS  
amplitude

$$\frac{e^2 \hbar}{2m^2 \mathcal{V} \epsilon_0 \sqrt{\omega_{\mathbf{k}} \omega_{\mathbf{k}'}}} \sum_n \sum_{i,j=1}^N$$

$$\times \frac{\langle f | e^{-i\mathbf{k}' \cdot \mathbf{r}_i} \left( \boldsymbol{\epsilon}'^* \cdot \mathbf{p}_i - \frac{i\hbar}{2} \boldsymbol{\sigma}_i \cdot \mathbf{k}' \times \boldsymbol{\epsilon}'^* \right) | n \rangle}{E_g + \hbar\omega_{\mathbf{k}} - E_n + i\Gamma_n}$$

$$\times \langle n | e^{i\mathbf{k} \cdot \mathbf{r}_j} \left( \boldsymbol{\epsilon} \cdot \mathbf{p}_j + \frac{i\hbar}{2} \boldsymbol{\sigma}_j \cdot \mathbf{k} \times \boldsymbol{\epsilon} \right) | g \rangle$$

small

RIXS  
transition  
operator

$$\mathcal{D} = \frac{1}{im\omega_{\mathbf{k}}} \sum_{i=1}^N e^{i\mathbf{k} \cdot \mathbf{r}_i} \boldsymbol{\epsilon} \cdot \mathbf{p}_i$$

RIXS  
amplitude

$$\mathcal{F}_{fg}(\mathbf{k}, \mathbf{k}', \boldsymbol{\epsilon}, \boldsymbol{\epsilon}', \omega_{\mathbf{k}}, \omega_{\mathbf{k}'}) = \sum_n \frac{\langle f | \mathcal{D}'^\dagger | n \rangle \langle n | \mathcal{D} | g \rangle}{E_g + \hbar\omega_{\mathbf{k}} - E_n + i\Gamma_n}$$

*Kramers-Heisenberg expression*

## 2nd Order: Resonant Scattering II

*RIXS  
amplitude*

$$\mathcal{F}_{fg}(\mathbf{k}, \mathbf{k}', \epsilon, \epsilon', \omega_{\mathbf{k}}, \omega_{\mathbf{k}'}) = \sum_n \frac{\langle f | \mathcal{D}'^\dagger | n \rangle \langle n | \mathcal{D} | g \rangle}{E_g + \hbar\omega_{\mathbf{k}} - E_n + i\Gamma_n}$$

*RIXS intensity:*

$$I(\omega, \mathbf{k}, \mathbf{k}', \epsilon, \epsilon') = r_e^2 m^2 \omega_{\mathbf{k}'}^3 \omega_{\mathbf{k}} \sum_{\mathbf{f}} |\mathcal{F}_{fg}(\mathbf{k}, \mathbf{k}', \epsilon, \epsilon', \omega_{\mathbf{k}}, \omega_{\mathbf{k}'})|^2 \\ \times \delta(E_g - E_f + \hbar\omega),$$

*This expression is essentially exact  
(non-relativistic limit)*